



上海交通大学

SHANGHAI JIAO TONG UNIVERSITY



上海交通大学

约翰·霍普克罗夫特  
计算机科学中心

John Hopcroft Center for Computer Science

# CS 445: Combinatorics

Shuai Li

John Hopcroft Center, Shanghai Jiao Tong University

<https://shuaili8.github.io>

<https://shuaili8.github.io/Teaching/CS445/index.html>

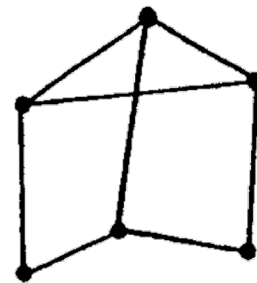
# Basics

# Graphs

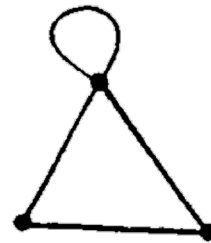
- Definition A graph  $G$  is a pair  $(V, E)$ 
  - $V$ : set of vertices
  - $E$ : set of edges
  - $e \in E$  corresponds to a pair of endpoints  $x, y \in V$

We mainly focus on  
Simple graph:  
No loops, no multi-edges

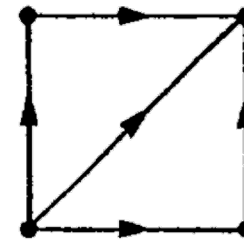
edge	ends
$a$	$x, z$
$b$	$y, w$
$c$	$x, z$
$d$	$z, w$
$e$	$z, w$
$f$	$x, y$
$g$	$z, w$



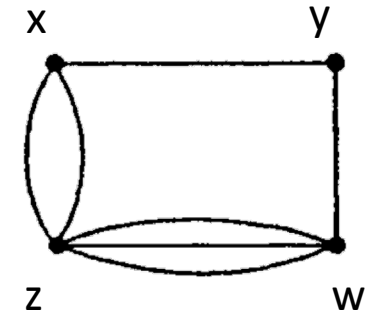
(i) graph



(ii) graph with loop



(iii) digraph



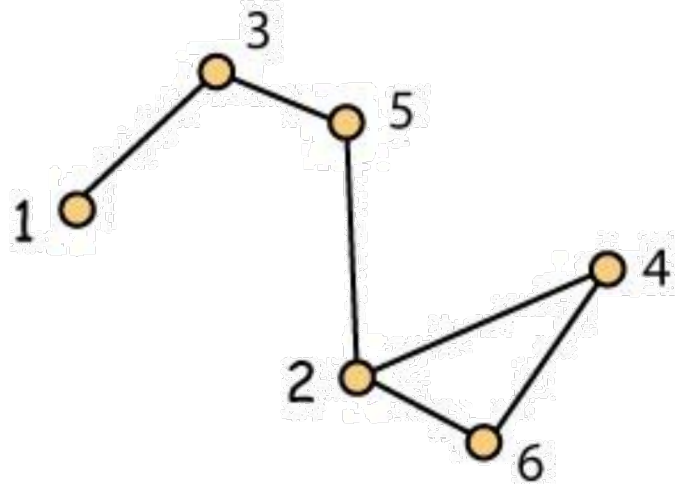
(iv) multiple edges

Figure 1.2

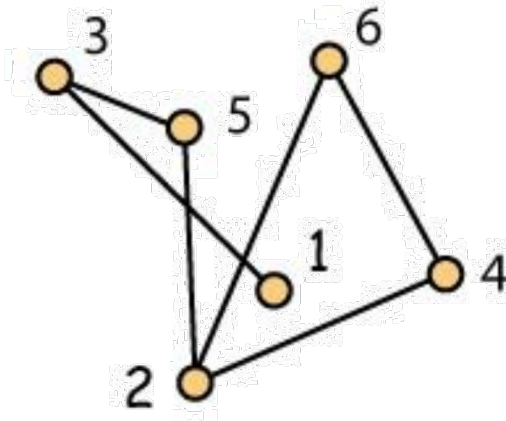
Figure 1.1

# Graphs: All about adjacency

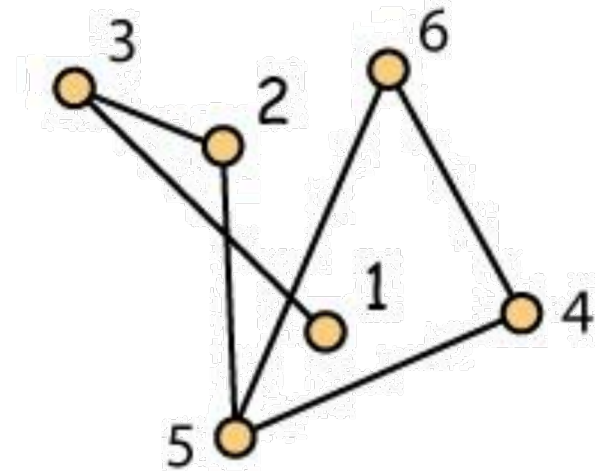
- Same graph or not



(a)



(b)

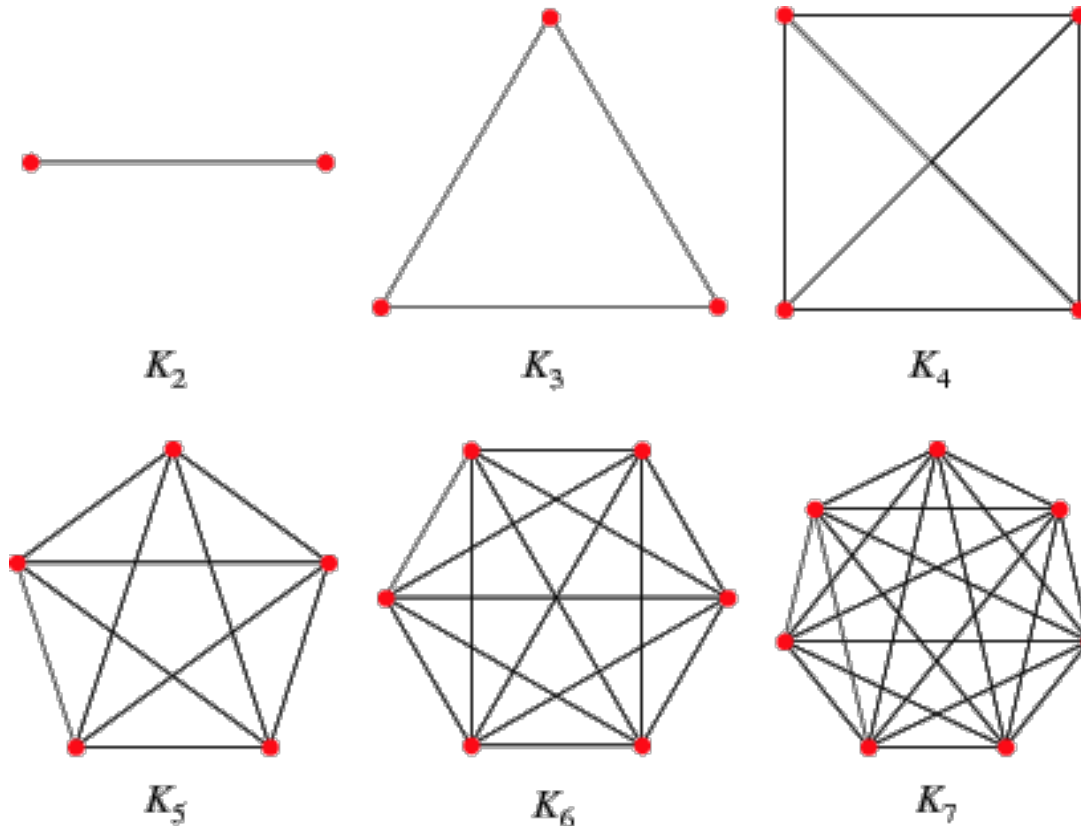


(c)

- Two graphs  $G_1 = (V_1, E_1)$ ,  $G_2 = (V_2, E_2)$  are isomorphic if there is a bijection  $f: V_1 \rightarrow V_2$  s.t.  
$$e = \{a, b\} \in E_1 \iff f(e) := \{f(a), f(b)\} \in E_2$$

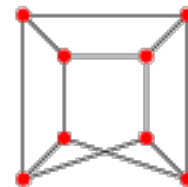
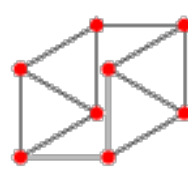
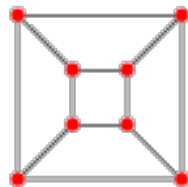
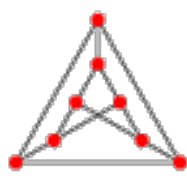
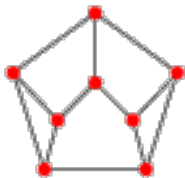
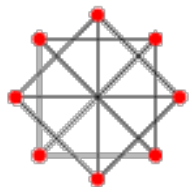
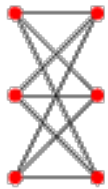
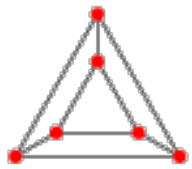
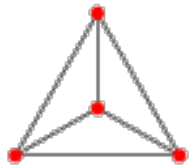
# Example: Complete graphs

- There is an edge between every pair of vertices



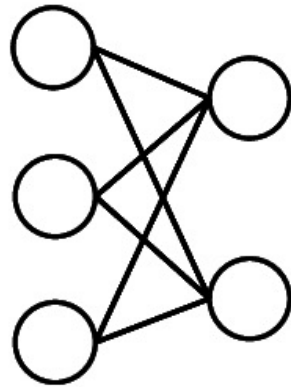
# Example: Regular graphs

- Every vertex has the same degree

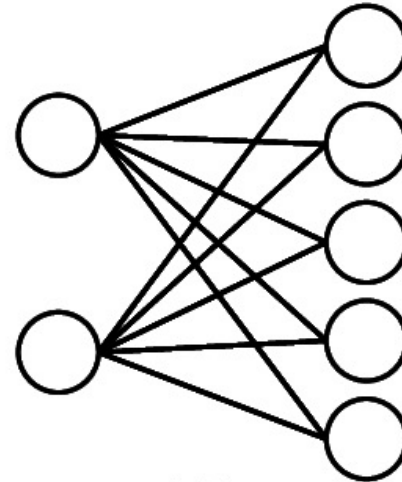



# Example: Bipartite graphs

- The vertex set can be partitioned into two sets  $X$  and  $Y$  such that every edge in  $G$  has one end vertex in  $X$  and the other in  $Y$
- Complete bipartite graphs



$K_{3,2}$



$K_{2,5}$

# Example (1A, L): Peterson graph

- Show that the following two graphs are same/isomorphic

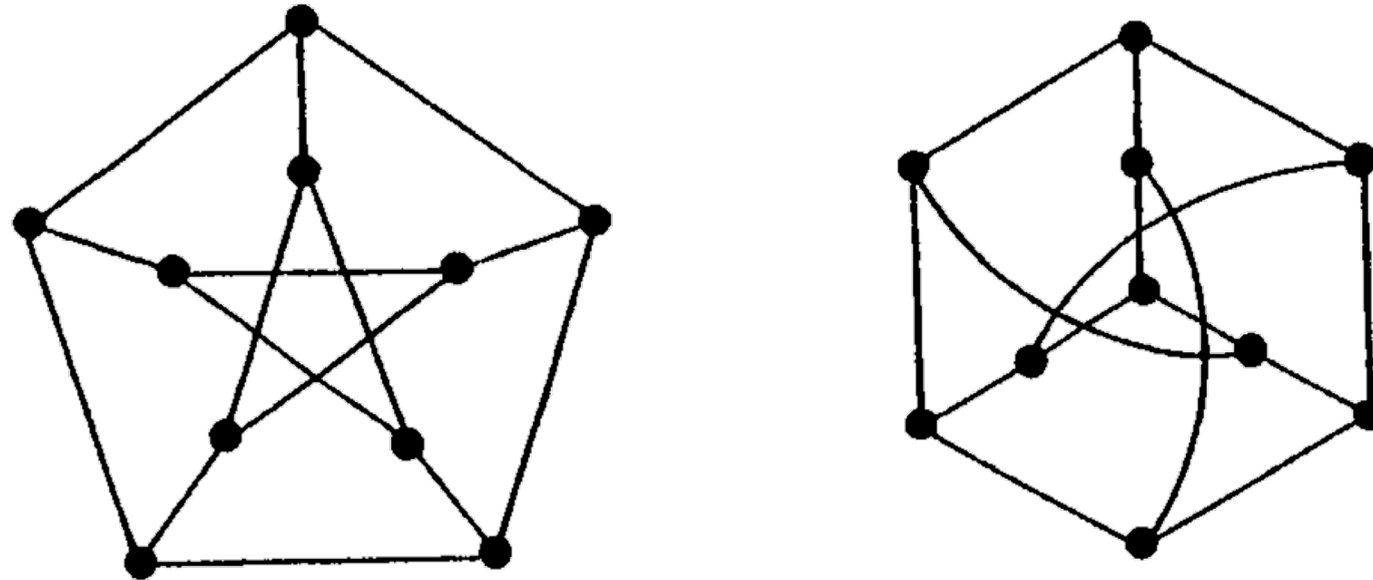
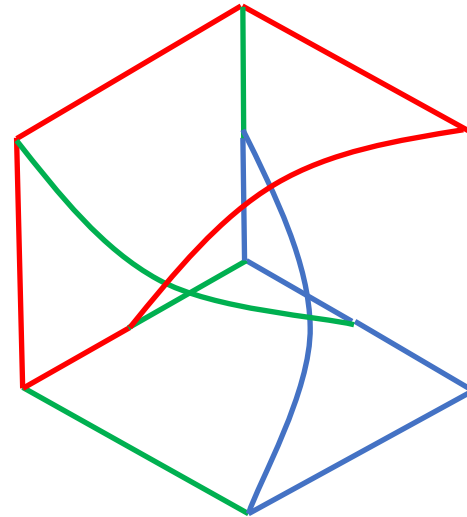
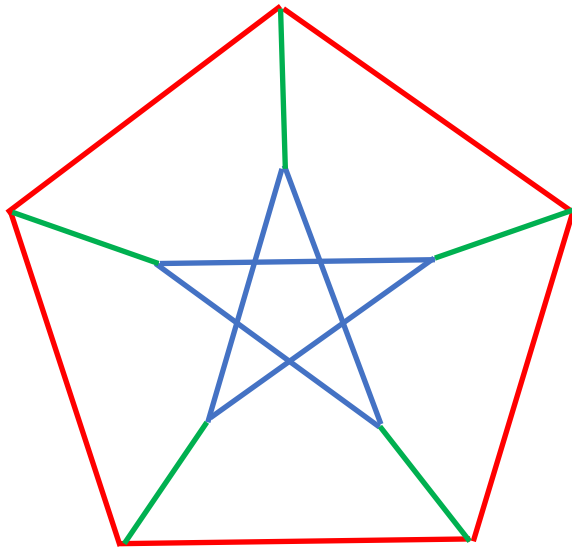


Figure 1.4



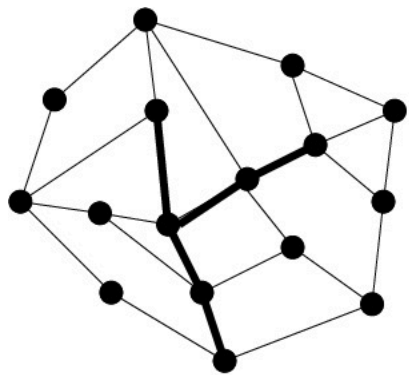
# Example: Peterson graph (cont.)

- Show that the following two graphs are same/isomorphic

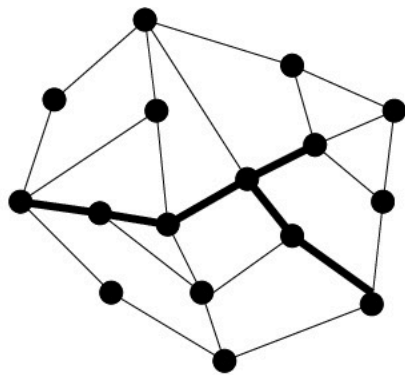


# Subgraphs

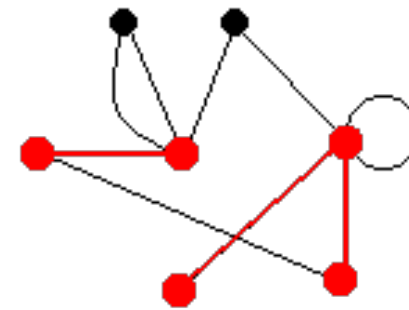
- A subgraph of a graph  $G$  is a graph  $H$  such that
$$V(H) \subseteq V(G), E(H) \subseteq E(G)$$
and the ends of an edge  $e \in E(H)$  are the same as its ends in  $G$ 
  - $H$  is a spanning subgraph when  $V(H) = V(G)$
  - The subgraph of  $G$  induced by a subset  $S \subseteq V(G)$  is the subgraph whose vertex set is  $S$  and whose edges are all the edges of  $G$  with both ends in  $S$



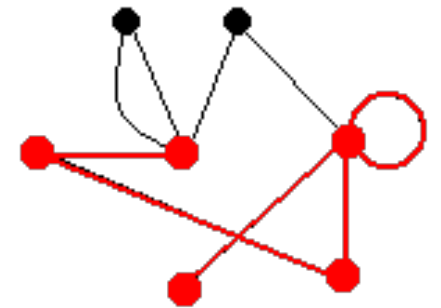
(a)



(b)



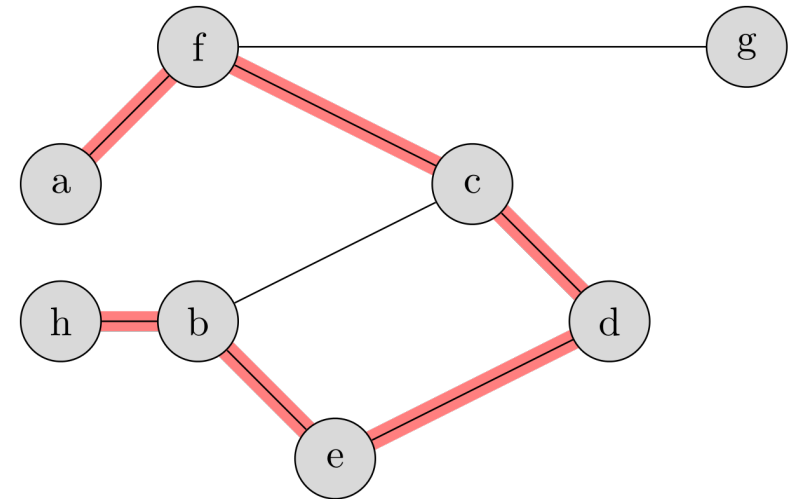
Subgraph (in red)



Induced Subgraph

# Paths (路径)

- A path is a non-empty alternating sequence  $v_0e_1v_1e_2 \dots e_kv_k$  where vertices are all **distinct**
  - Or it can be written as  $v_0v_1 \dots v_k$  in simple graphs
- $P^k$ : path of length  $k$  (the number of edges)

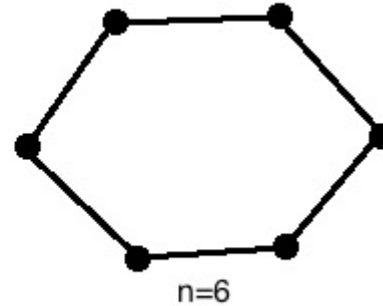
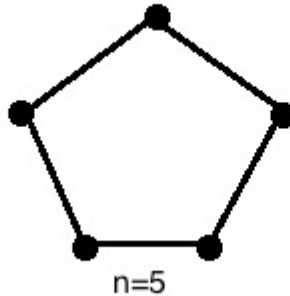
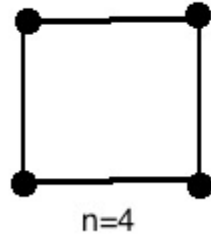


# Walk (游走)

- A walk is a non-empty alternating sequence  $v_0 e_1 v_1 e_2 \dots e_k v_k$ 
  - The vertices not necessarily distinct
  - The length = the number of edges
- Proposition (1.2.5, W) Every  $u$ - $v$  walk contains a  $u$ - $v$  path

# Cycles (环)

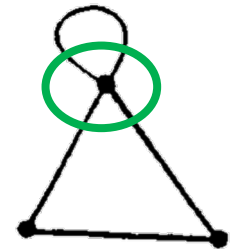
- If  $P = x_0x_1 \dots x_{k-1}$  is a path and  $k \geq 3$ , then the graph  $C := P + x_{k-1}x_0$  is called a cycle
- $C^k$ : cycle of length  $k$  (the number of edges/vertices)



- Proposition (1.2.15, W) Every closed odd walk contains an odd cycle

# Neighbors and degree

- Two vertices  $a \neq b$  are called adjacent if they are joined by an edge
  - $N(x)$ : set of all vertices adjacent to  $x$ 
    - neighbors of  $x$
  - A vertex is isolated vertex if it has no neighbors
- The number of edges incident with a vertex  $x$  is called the degree of  $x$ 
  - A loop contributes 2 to the degree

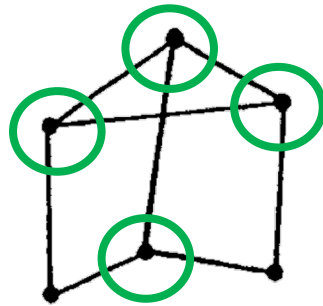


graph with loop

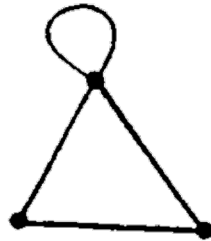
- A graph is finite when both  $E(G)$  and  $V(G)$  are finite sets

# Handshaking Theorem (Euler 1736)

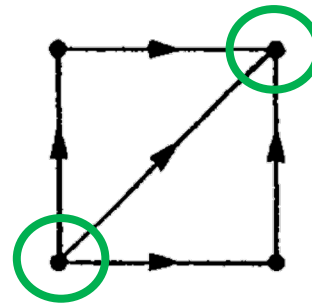
- Theorem A finite graph  $G$  has an even number of vertices with odd degree



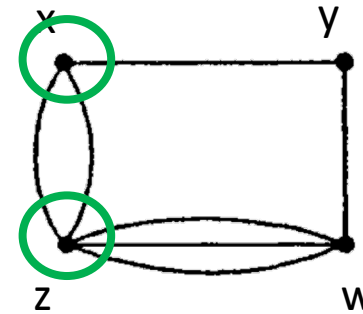
(i) graph



(ii) graph with loop



(iii) digraph



(iv) multiple edges

Figure 1.2

# Proof

- Theorem A finite graph  $G$  has an even number of vertices with odd degree.
- Proof The degree of  $x$  is the number of times it appears in the right column. Thus

$$\sum_{x \in V(G)} \deg(x) = 2|E(G)|$$

edge	ends
$a$	$x, z$
$b$	$y, w$
$c$	$x, z$
$d$	$z, w$
$e$	$z, w$
$f$	$x, y$
$g$	$z, w$

Figure 1.1

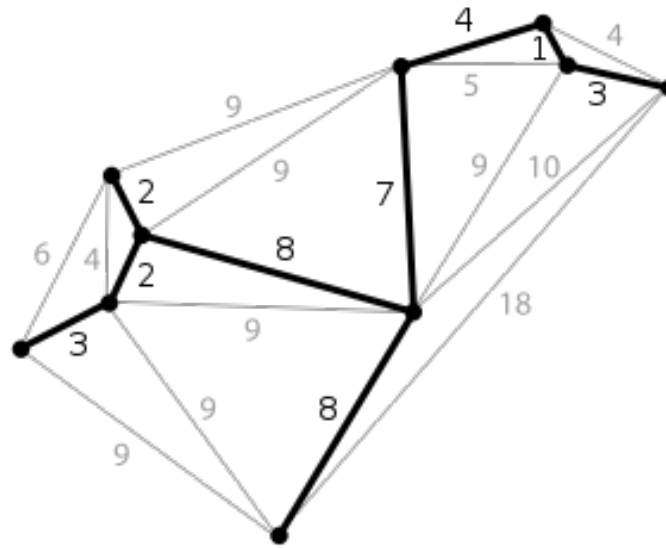


# Degree

- Minimal degree of  $G$ :  $\delta(G) = \min\{d(v): v \in V\}$
- Maximal degree of  $G$ :  $\Delta(G) = \max\{d(v): v \in V\}$
- Average degree of  $G$ :  $d(G) = \frac{1}{|V|} \sum_{v \in V} d(v) = \frac{2|E|}{|V|}$
- All measure the 'density' of a graph
- $d(G) \geq \delta(G)$

# Minimal degree guarantees long paths and cycles

- Proposition (1.3.1, D) Every graph  $G$  contains a path of length  $\delta(G)$  and a cycle of length at least  $\delta(G) + 1$ , provided  $\delta(G) \geq 2$ .



# Distance and diameter

- The distance  $d_G(x, y)$  in  $G$  of two vertices  $x, y$  is the length of a shortest  $x \sim y$  path
  - if no such path exists, we set  $d(x, y) := \infty$
- The greatest distance between any two vertices in  $G$  is the diameter of  $G$

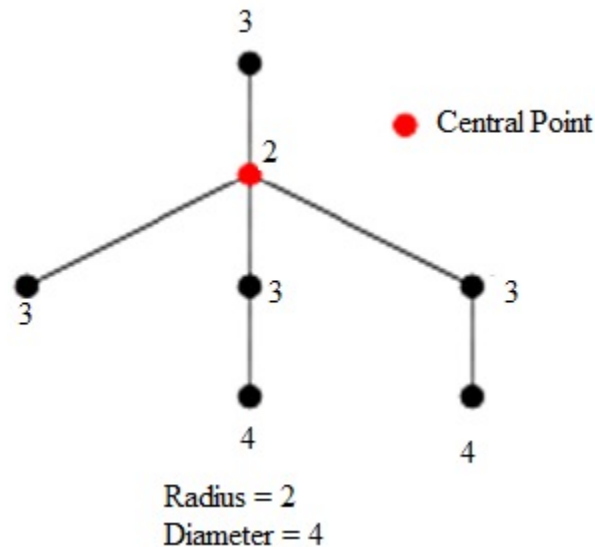
$$\text{diam}(G) = \max_{x, y \in V} d(x, y)$$

# Radius and diameter

- A vertex is central in  $G$  if its greatest distance from other vertex is smallest, such greatest distance is the radius of  $G$

$$\text{rad}(G) := \min_{x \in V} \max_{y \in V} d(x, y)$$

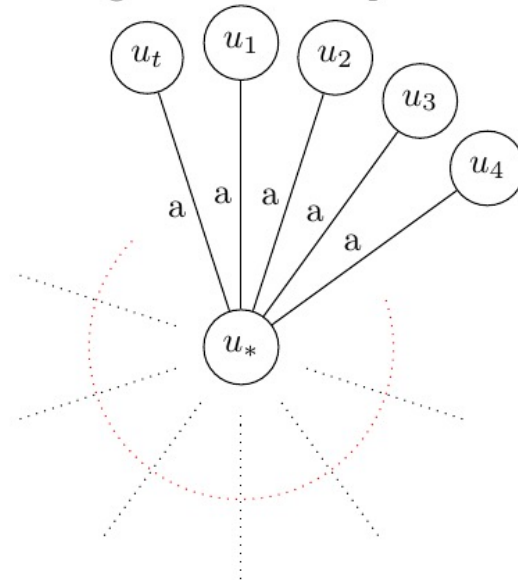
- Proposition (1.4, H; Ex1.6, D)  $\text{rad}(G) \leq \text{diam}(G) \leq 2 \text{rad}(G)$



# Radius and maximum degree control graph size

- Proposition (1.3.3, D) A graph  $G$  with radius at most  $r$  and maximum degree at most  $\Delta \geq 3$  has fewer than  $\frac{\Delta}{\Delta-2} (\Delta - 1)^r$ .

Figure 1: Star Graph



# Lecture 2: Girth, Connectivity and Bipartite Graphs

Shuai Li

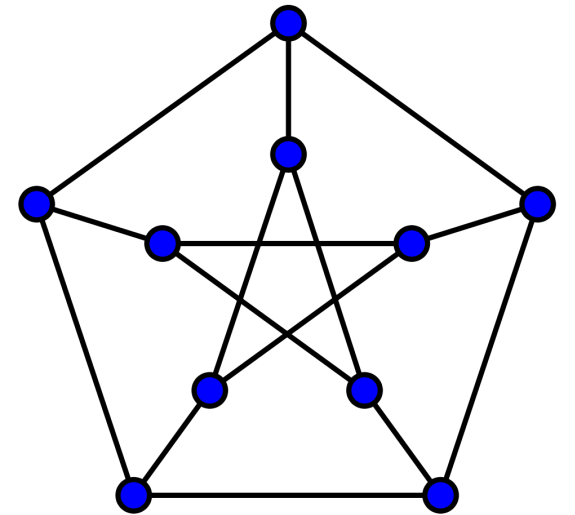
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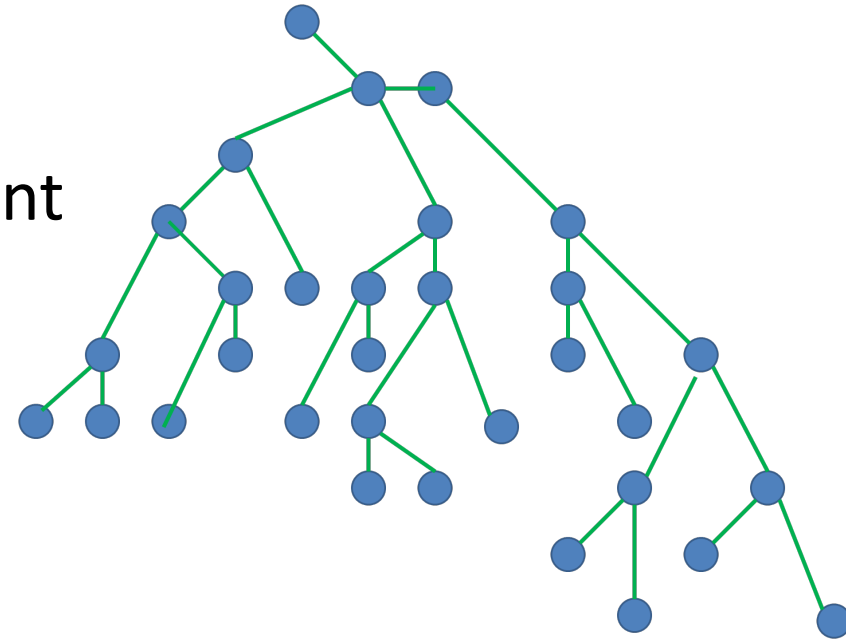
# Girth

- The minimum length of a cycle in a graph  $G$  is the **girth**  $g(G)$  of  $G$
- Example: The Peterson graph is the unique **5-cage**
  - cubic graph (every vertex has degree 3)
  - girth = **5**
  - smallest graph satisfies the above properties



# Girth (cont.)

- A tree has girth  $\infty$
- Note that a tree can be colored with two different colors
- $\Rightarrow$  A graph with large girth has small chromatic number?
- Unfortunately NO!
- Theorem (Erdős, 1959) For all  $k, l$ , there exists a graph  $G$  with  $g(G) > l$  and  $\chi(G) > k$





# Girth and diameter

- Proposition (1.3.2, D) Every graph  $G$  containing a cycle satisfies  $g(G) \leq 2 \operatorname{diam}(G) + 1$
- When the equality holds?

# Girth and minimal degree lower bounds graph size

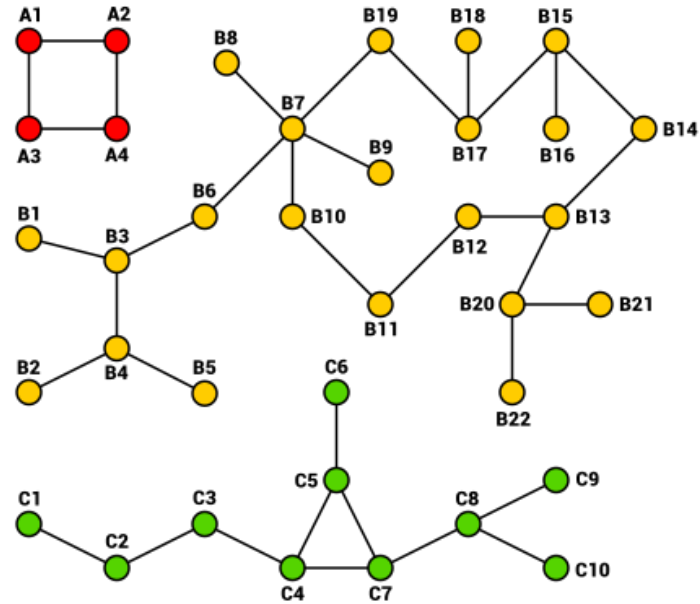
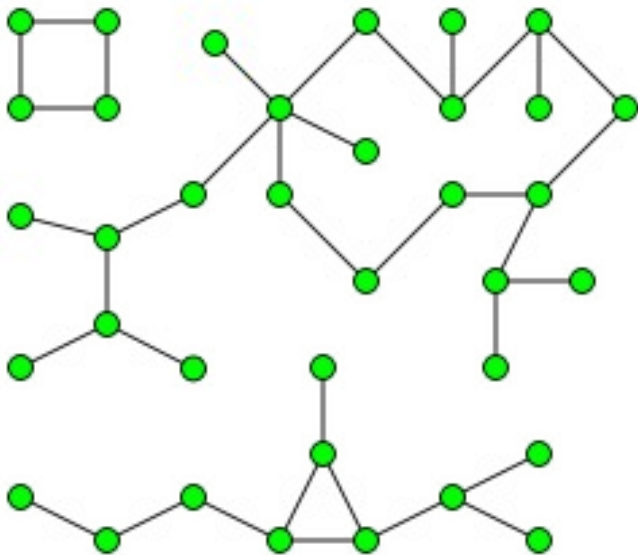
- $n_0(\delta, g) := \begin{cases} 1 + \delta \sum_{i=0}^{r-1} (\delta - 1)^i, & \text{if } g = 2r + 1 \text{ is odd} \\ 2 \sum_{i=0}^{r-1} (\delta - 1)^i, & \text{if } g = 2r \text{ is even} \end{cases}$
- Exercise (Ex7, ch1, D) Let  $G$  be a graph. If  $\delta(G) \geq \delta \geq 2$  and  $g(G) \geq g$ , then  $|G| \geq n_0(\delta, g)$
- Corollary (1.3.5, D) If  $\delta(G) \geq 3$ , then  $g(G) < 2 \log_2 |G|$

# Triangle-free upper bounds # of edges

- Theorem (1.3.23, W, Mantel 1907) The maximum number of edges in an  $n$ -vertex triangle-free simple graph is  $\lfloor n^2/4 \rfloor$
- The bound is best possible
- There is a triangle-free graph with  $\lfloor n^2/4 \rfloor$  edges:  $K_{\lfloor n/2 \rfloor, \lfloor n/2 \rfloor}$
- Extremal problems

# Connected, connected component

- A graph  $G$  is connected if  $G \neq \emptyset$  and any two of its vertices are linked by a path
- A maximal connected subgraph of  $G$  is a (connected) component



# Quiz

- Problem (1B, L) Suppose  $G$  is a graph on 10 vertices that is not connected. Prove that  $G$  has at most 36 edges. Can equality occur?
- More general (Ex9, S1.1.2, H) Let  $G$  be a graph of order  $n$  that is not connected. What is the maximum size of  $G$ ?

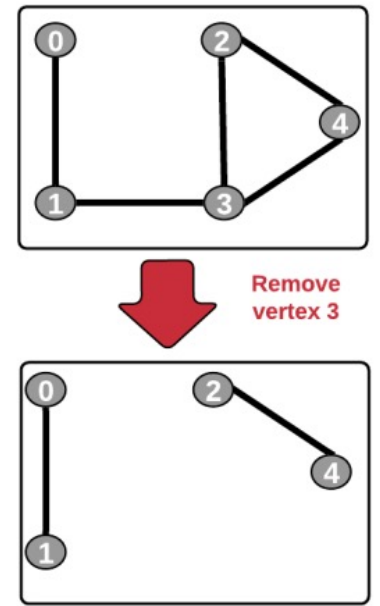
# Connected vs. minimal degree

- Proposition (1.3.15, W) If  $\delta(G) \geq \frac{n-1}{2}$ , then  $G$  is connected
- (Ex16, S1.1.2, H; 1.3.16, W)  
If  $\delta(G) \geq \frac{n-2}{2}$ , then  $G$  need not be connected
- Extremal problems
- “best possible” “sharp”



# Cut vertex and connectivity

- A node  $v$  is a **cut vertex** if the graph  $G - v$  has more components
- A proper subset  $S$  of vertices is a **vertex cut set** if the graph  $G - S$  is disconnected, or trivial (a graph of order 0 or 1)
- The **connectivity**,  $\kappa(G)$ , is the minimum size of a cut set of  $G$ 
  - The graph is  $k$ -connected for any  $k \leq \kappa(G)$





# Connectivity properties

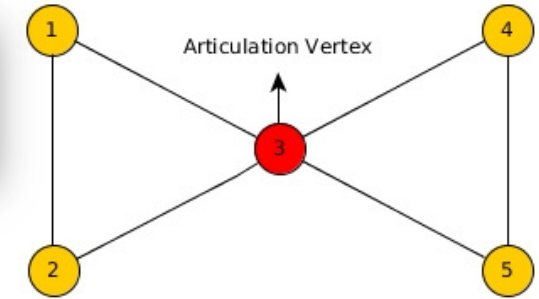
- $\kappa(K^n) = n - 1$
- If  $G$  is disconnected,  $\kappa(G) = 0$ 
  - $\Rightarrow$  A graph is connected  $\Leftrightarrow \kappa(G) \geq 1$
- If  $G$  is connected, non-complete graph of order  $n$ , then
$$1 \leq \kappa(G) \leq n - 2$$

# Connectivity properties (cont.)

**Proposition** (1.2.14, W)

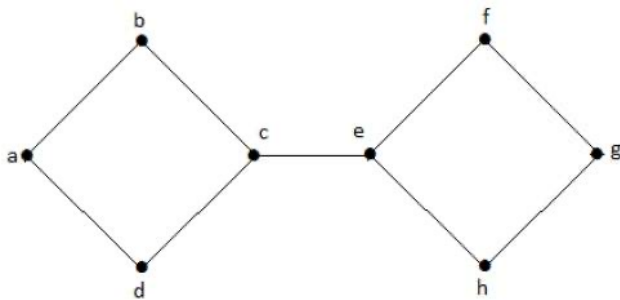
An edge  $e$  is a bridge  $\Leftrightarrow e$  lies on no cycle of  $G$

- Or equivalently, an edge  $e$  is not a bridge  $\Leftrightarrow e$  lies on a cycle of  $G$



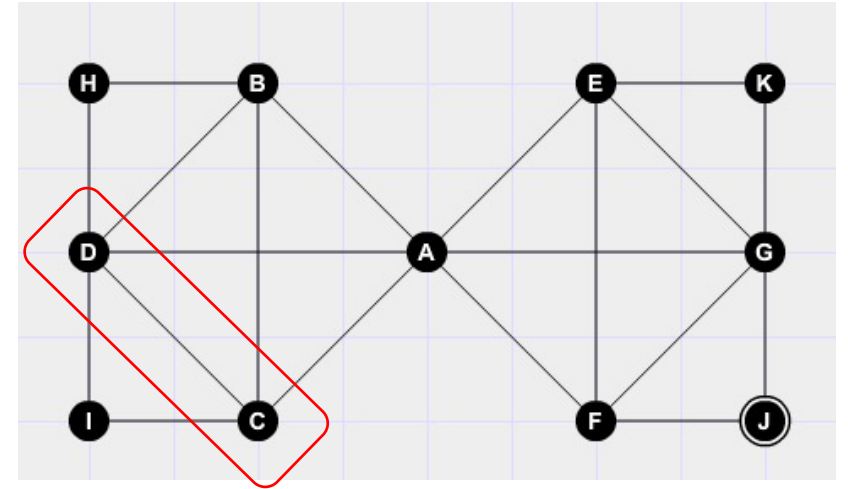
- $\kappa(G) \geq 2 \Leftrightarrow G$  is connected and has no cut vertices
- A vertex lies on a cycle  $\nRightarrow$  it is not a cut vertex
  - $\Rightarrow$  (Ex13, S1.1.2, H) Every vertex of a connected graph  $G$  lies on at least one cycle  $\nRightarrow \kappa(G) \geq 2$
  - (Ex14, S1.1.2, H)  $\kappa(G) \geq 2$  implies  $G$  has at least one cycle

- (Ex12, S1.1.2, H)  $G$  has a cut vertex vs.  $G$  has a bridge



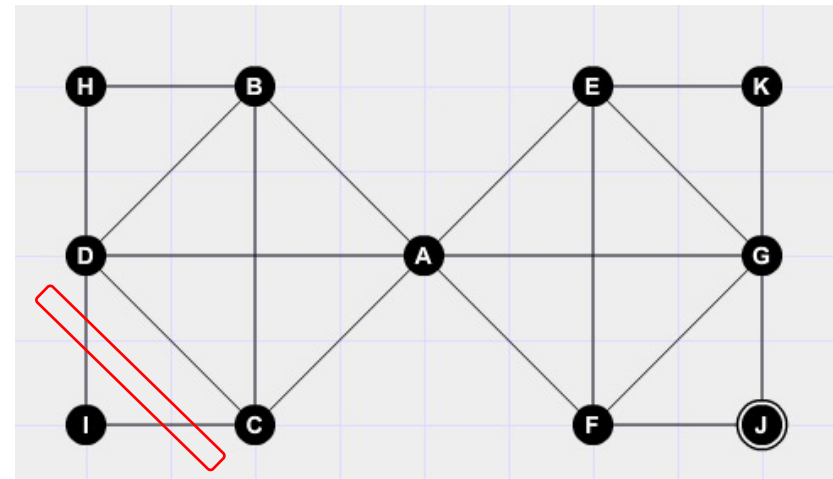
# Connectivity and minimal degree

- (Ex15, S1.1.2, H)
- $\kappa(G) \leq \delta(G)$
- If  $\delta(G) \geq n - 2$ , then  $\kappa(G) = \delta(G)$



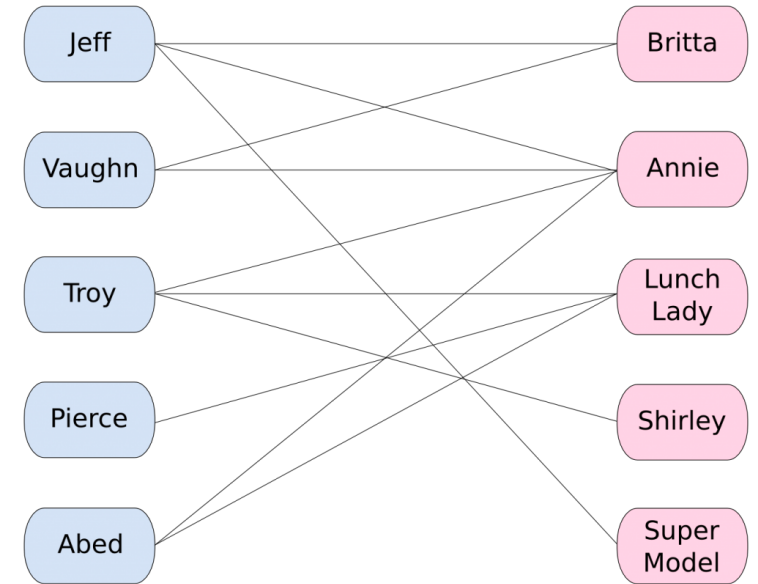
# Edge-connectivity

- A proper subset  $F \subset E$  is edge cut set if the graph  $G - F$  is disconnected
- The **edge-connectivity**  $\lambda(G)$  is the minimal size of edge cut set
- $\lambda(G) = 0$  if  $G$  is disconnected
- Proposition (1.4.2, D) If  $G$  is non-trivial, then  $\kappa(G) \leq \lambda(G) \leq \delta(G)$



# Bipartite graphs

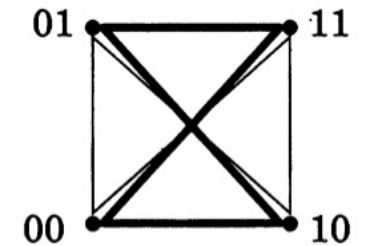
- Theorem (1.2.18, W, König 1936)  
A graph is bipartite  $\iff$  it contains no odd cycle



**Proposition** (1.2.15, W) Every closed odd walk contains an odd cycle

# Complete graph is a union of bipartite graphs

- The union of graphs  $G_1, \dots, G_k$ , written  $G_1 \cup \dots \cup G_k$ , is the graph with vertex set  $\bigcup_{i=1}^k V(G_i)$  and edge set  $\bigcup_{i=1}^k E(G_i)$
- Consider an air traffic system with  $k$  airlines
  - Each pair of cities has direct service from at least one airline
  - No airline can schedule a cycle through an odd number of cities
  - Then, what is the maximum number of cities in the system?
- Theorem (1.2.23, W) The complete graph  $K_n$  can be expressed as the union of  $k$  bipartite graphs  $\Leftrightarrow n \leq 2^k$



# Bipartite subgraph is large

- Theorem (1.3.19, W) Every loopless graph  $G$  has a bipartite subgraph with at least  $|E|/2$  edges

# Lecture 3: Trees

Shuai Li

John Hopcroft Center, Shanghai Jiao Tong University

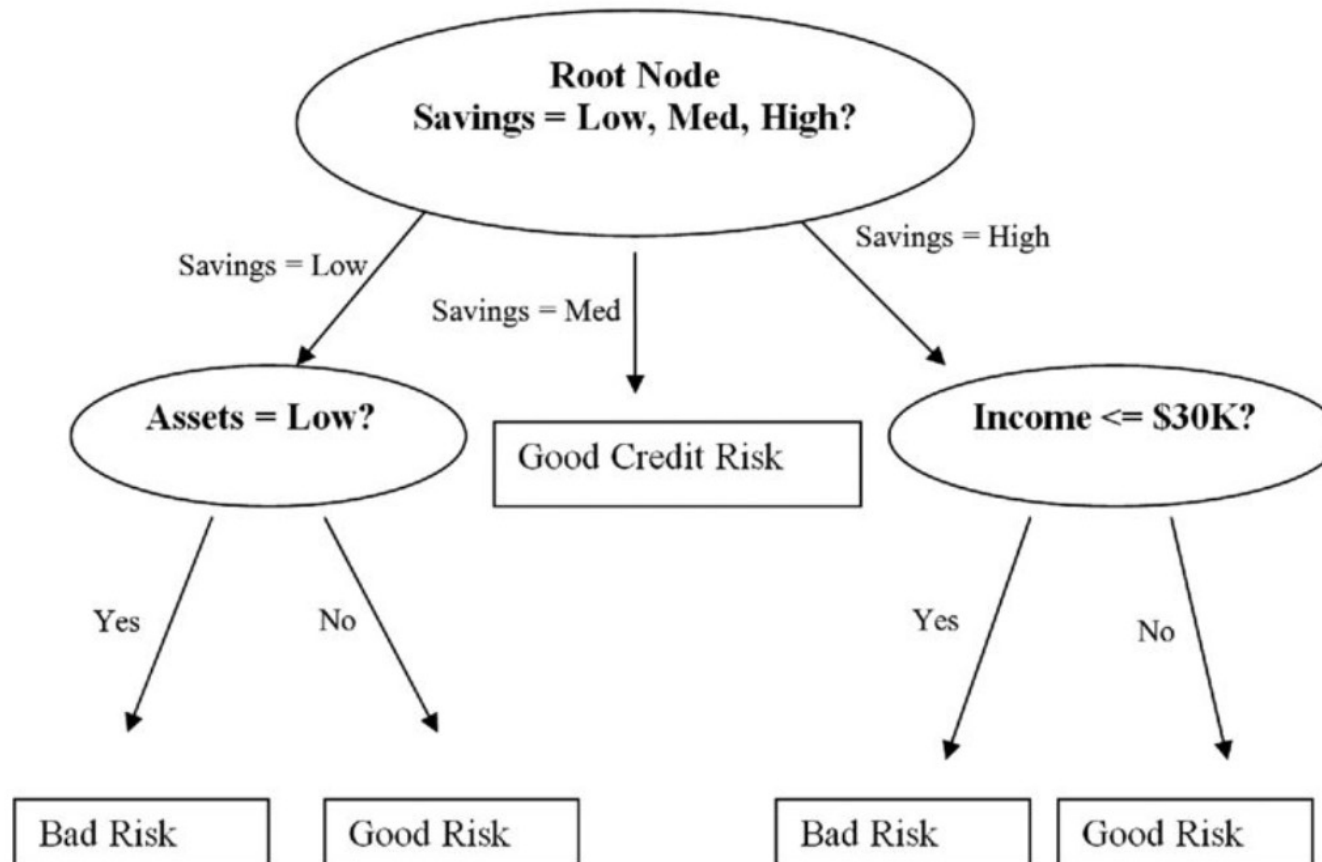
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# Trees

- A **tree** is a connected graph  $T$  with no cycles



# Properties

- Recall that **Theorem** (1.2.18, W, König 1936)  
A graph is bipartite  $\Leftrightarrow$  it contains no odd cycle
- $\Rightarrow$  (Ex 3, S1.3.1, H) A tree of order  $n \geq 2$  is a bipartite graph

- Recall that **Proposition** (1.2.14, W)  
An edge  $e$  is a bridge  $\Leftrightarrow e$  lies on no cycle of  $G$ 
  - Or equivalently, an edge  $e$  is not a bridge  $\Leftrightarrow e$  lies on a cycle of  $G$
- $\Rightarrow$  Every edge in a tree is a bridge
- $T$  is a tree  $\Leftrightarrow T$  is minimally connected, i.e.  $T$  is connected but  $T - e$  is disconnected for every edge  $e \in T$

# Equivalent definitions (Theorem 1.5.1, D)

- $T$  is a tree of order  $n$ 
  - $\Leftrightarrow$  Any two vertices of  $T$  are linked by a unique path in  $T$
  - $\Leftrightarrow T$  is minimally connected
    - i.e.  $T$  is connected but  $T - e$  is disconnected for every edge  $e \in T$
  - $\Leftrightarrow T$  is maximally acyclic
    - i.e.  $T$  contains no cycle but  $T + xy$  does for any non-adjacent vertices  $x, y \in T$
  - $\Leftrightarrow$  (Theorem 1.10, 1.12, H)  $T$  is connected with  $n - 1$  edges
  - $\Leftrightarrow$  (Theorem 1.13, H)  $T$  is acyclic with  $n - 1$  edges

# Leaves of tree

- A vertex of degree 1 in a tree is called a **leaf**
- Theorem (1.14, H; Ex9, S1.3.2, H) Let  $T$  be a tree of order  $n \geq 2$ . Then  $T$  has at least two leaves
- (Ex3, S1.3.2, H) Let  $T$  be a tree with max degree  $\Delta$ . Then  $T$  has at least  $\Delta$  leaves
- (Ex10, S1.3.2, H) Let  $T$  be a tree of order  $n \geq 2$ . Then the number of leaves is

$$2 + \sum_{v:d(v) \geq 3} (d(v) - 2)$$

- (Ex8, S1.3.2, H) Every nonleaf in a tree is a cut vertex
- Every leaf node is not a cut vertex

# The center of a tree is a vertex or 'an edge'

- Theorem (1.15, H) In any tree, the center is either a single vertex or a pair of adjacent vertices

# Any tree can be embedded in a 'dense' graph

- Theorem (1.16, H) Let  $T$  be a tree of order  $k + 1$  with  $k$  edges. Let  $G$  be a graph with  $\delta(G) \geq k$ . Then  $G$  contains  $T$  as a subgraph

# Spanning tree

- Given a graph  $G$  and a subgraph  $T$ ,  $T$  is a **spanning tree** of  $G$  if  $T$  is a tree that contains every vertex of  $G$
- Example: A telecommunications company tries to lay cable in a new neighbourhood
- Proposition (2.1.5c, W) Every connected graph contains a spanning tree

# Cayley's tree formula

- Theorem (1.18, H; 2.2.3, W). There are  $n^{n-2}$  distinct labeled trees of order  $n$

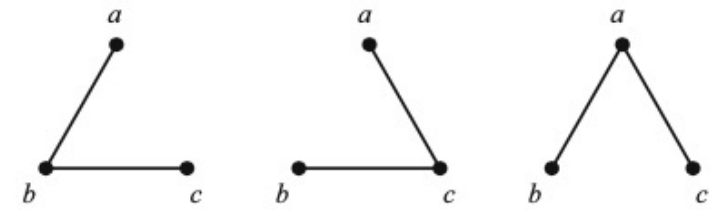
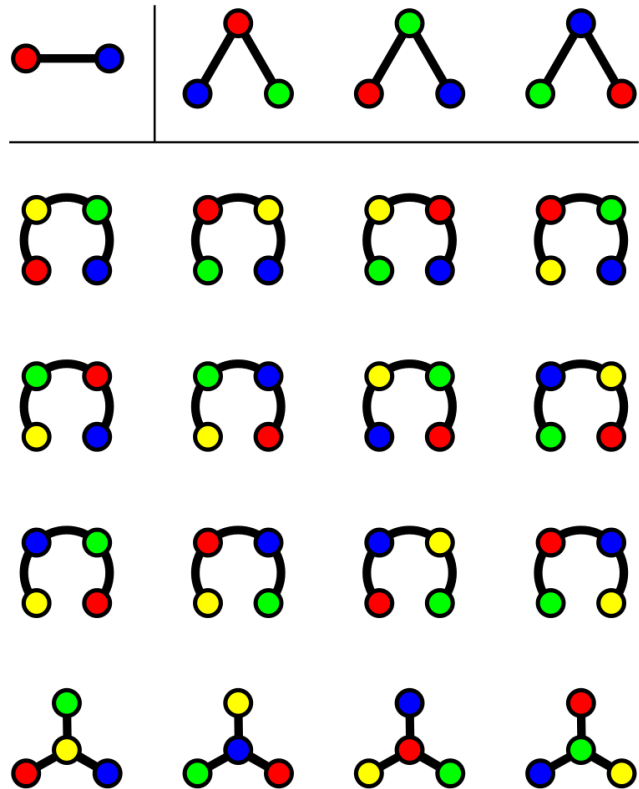


FIGURE 1.45. Labeled trees on three vertices.

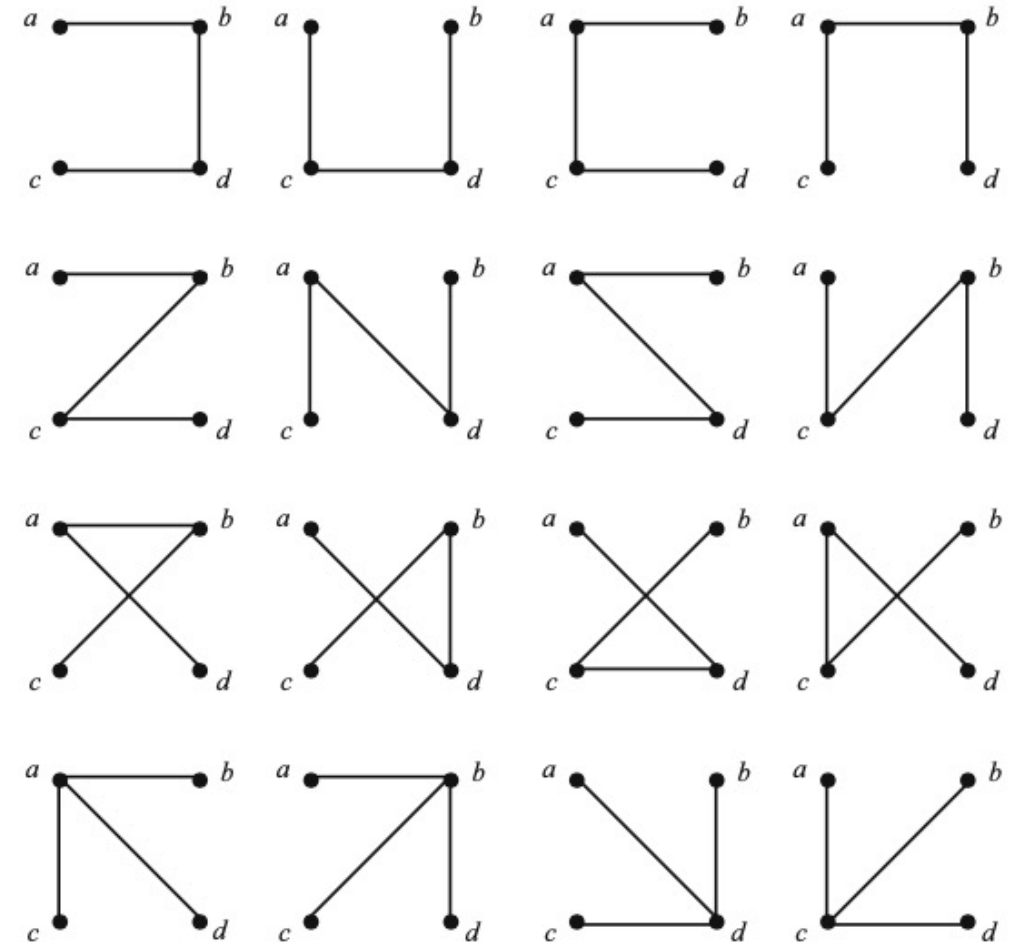


FIGURE 1.46. Labeled trees on four vertices.



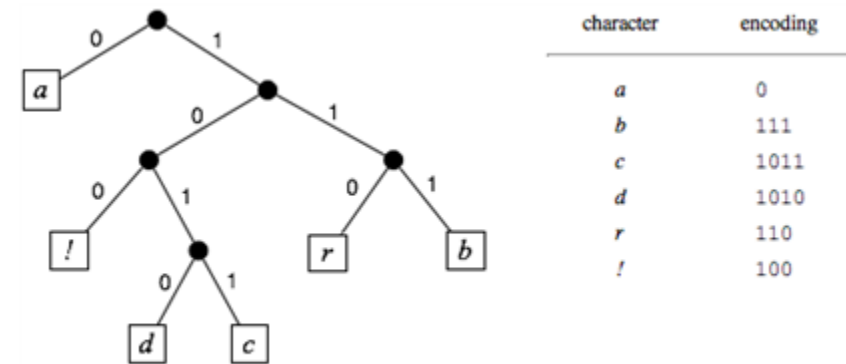
# Wiener index

- In a communication network, large diameter may be acceptable if most pairs can communicate via short paths. This leads us to study the average distance instead of the maximum
- Wiener index  $D(G) = \sum_{u,v \in V(G)} d_G(u, v)$
- Theorem (2.1.14, W) Among trees with  $n$  vertices, the Wiener index  $D(T)$  is minimized by stars and maximized by paths, both uniquely
- Over all connected  $n$ -vertex graphs,  $D(G)$  is minimized by  $K_n$  and maximized (2.1.16, W) by paths
  - (Lemma 2.1.15, W) If  $H$  is a subgraph of  $G$ , then  $d_G(u, v) \leq d_H(u, v)$

# Prefix coding

- A binary tree is a rooted plane tree where each vertex has at most two children
- Given large computer files and limited storage, we want to encode characters as binary lists to minimize (expected) total length
- Prefix-free coding: no code word is an initial portion of another

- Example: 11001111011



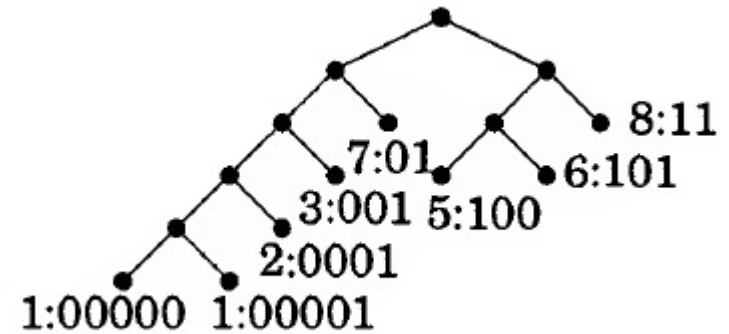
A binary prefix code for the alphabet {*a, b, c, d, r, !*}

# Huffman's Algorithm (2.3.13, W)

- Input: Weights (frequencies or probabilities)  $p_1, \dots, p_n$
- Output: Prefix-free code (equivalently, a binary tree)
- Idea: Infrequent items should have longer codes; put infrequent items deeper by combining them into parent nodes.
- Recursion: replace the two least likely items with probabilities  $p, p'$  with a single item of weight  $p + p'$

# Example (2.3.14, W)

a	5	100
b	1	00000
c	1	00001
d	7	01
e	8	11
f	2	0001
g	3	001
h	6	101



The average length is  $\frac{5 \times 3 + 5 + 5 + 7 \times 2 + \dots}{33} = \frac{30}{11} < 3$

# Huffman coding is optimal

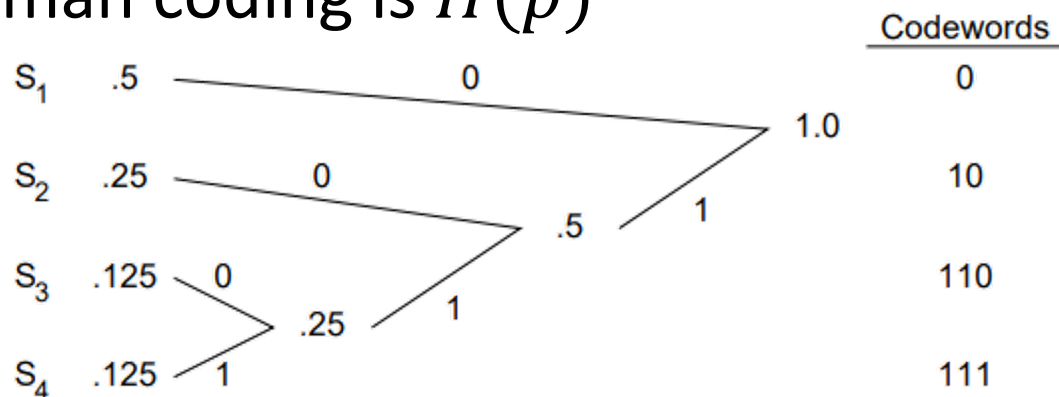
- Theorem (2.3.15, W) Given a probability distribution  $\{p_i\}$  on  $n$  items, Huffman's Algorithm produces the prefix-free code with minimum expected length

# Huffman coding and entropy

- The entropy of a discrete probability distribution  $\{p_i\}$  is that

$$H(p) = - \sum_i p_i \log_2 p_i$$

- Exercise (Ex2.3.31, W)  $H(p) \leq$  average length of Huffman coding  $\leq H(p) + 1$
- Exercise (Ex2.3.30, W) When each  $p_i$  is a power of  $1/2$ , average length of Huffman coding is  $H(p)$



$$\begin{aligned} \text{average length} &= (1) \left(\frac{1}{2}\right) + (2) \left(\frac{1}{4}\right) + (3) \left(\frac{1}{8}\right) + (3) \left(\frac{1}{8}\right) \\ &= 1.75 \text{ bits/symbol} \end{aligned}$$

$$\begin{aligned} H &= \frac{1}{2} \log_2 2 + \frac{1}{4} \log_2 4 + \frac{1}{8} \log_2 8 + \frac{1}{8} \log_2 8 \\ &= \frac{1}{2} + \frac{1}{2} + \frac{3}{8} + \frac{3}{8} \\ &= 1.75 \end{aligned}$$

# Lecture 4: Circuits

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# Eulerian circuit

- A closed walk through a graph using every edge once is called an **Eulerian circuit**
- A graph that has such a walk is called an **Eulerian graph**
- Theorem (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree
- (possibly with multiple edges)
- Proof “ $\Rightarrow$ ” That  $G$  must be connected is obvious.  
Since the path enters a vertex through some edge and leaves by another edge, it is clear that all degrees must be even



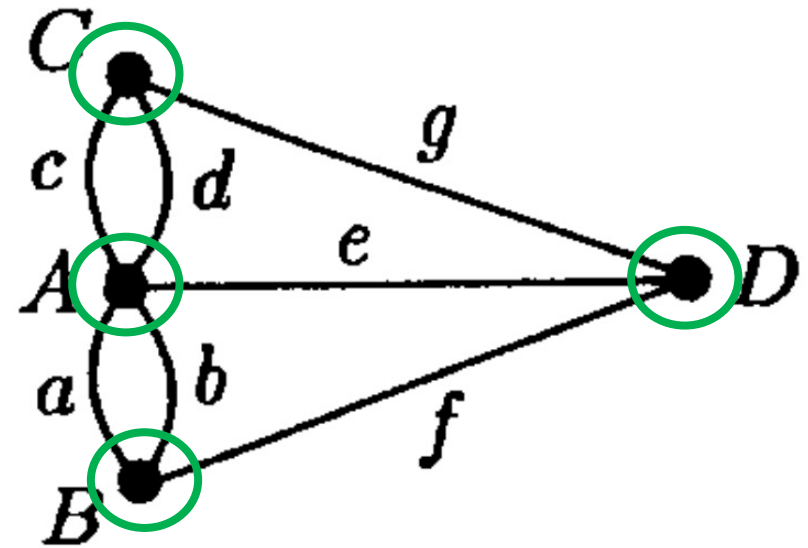
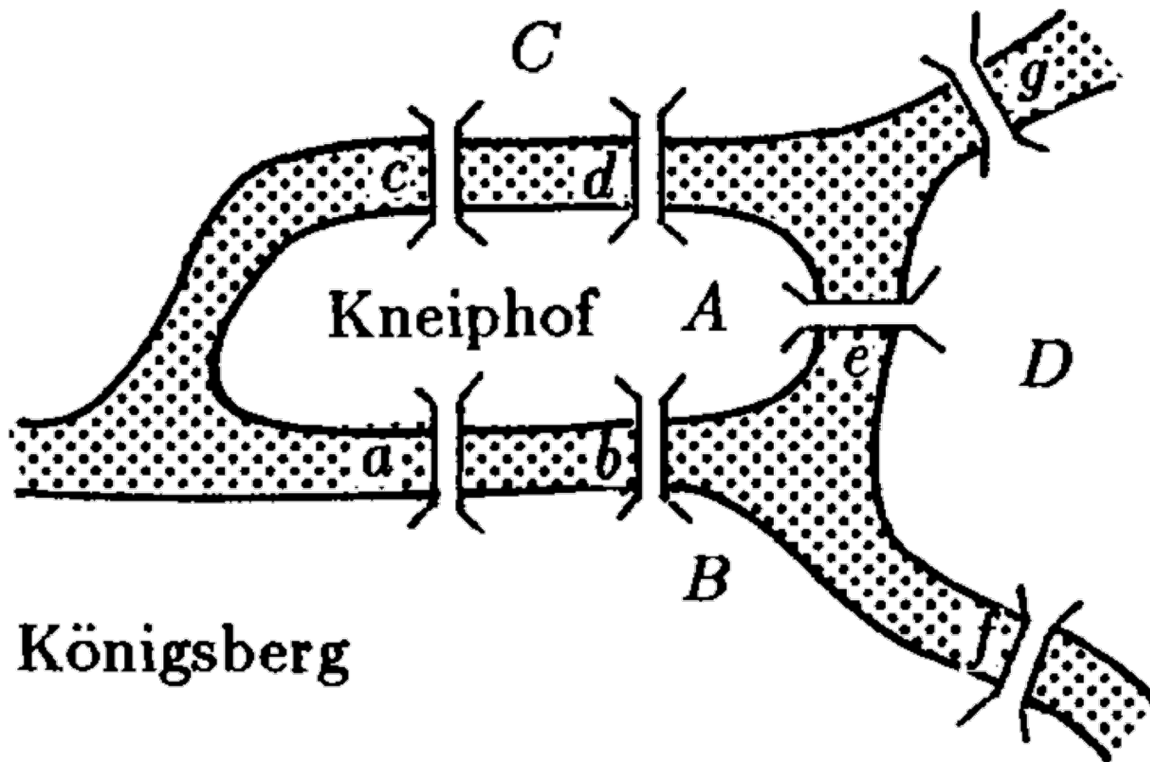
# Key lemma

- Lemma (1.2.25, W) If every vertex of a graph  $G$  has degree at least 2, then  $G$  contains a cycle.

**Proposition** (1.3.1, D) Every graph  $G$  contains a path of length  $\delta(G)$  and a cycle of length at least  $\delta(G) + 1$ , provided  $\delta(G) \geq 2$ .

# Eulerian circuit

- **Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree



# Other properties

- Proposition (1.2.27, W) Every even graph decomposes into cycles
- The necessary and sufficient condition for a directed Eulerian circuit is that the graph is connected and that each vertex has the same 'in-degree' as 'out-degree'

# TONCAS

- **TONCAS:** The obvious necessary condition is also sufficient
- **Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree
- **Proposition** (1.3.28, W) The nonnegative integers  $d_1, \dots, d_n$  are the vertex degrees of some graph  $\Leftrightarrow \sum_{i=1}^n d_i$  is even
- (Possibly with loops)
- Otherwise  $(2,0,0)$  is not realizable
- **1.3.63.** (!) Let  $d_1, \dots, d_n$  be integers such that  $d_1 \geq \dots \geq d_n \geq 0$ . Prove that there is a loopless graph (multiple edges allowed) with degree sequence  $d_1, \dots, d_n$  if and only if  $\sum d_i$  is even and  $d_1 \leq d_2 + \dots + d_n$ . (Hakimi [1962])

# Hamiltonian path/circuits

- A **path**  $P$  is **Hamiltonian** if  $V(P) = V(G)$ 
  - Any graph contains a Hamiltonian path is called **traceable**
- A **cycle**  $C$  is called **Hamiltonian** if it spans all vertices of  $G$ 
  - A graph is called **Hamiltonian** if it contains a Hamiltonian circuit
- In the mid-19th century, Sir William Rowan Hamilton tried to popularize the exercise of finding such a closed path in the graph of the dodecahedron (正十二面体)

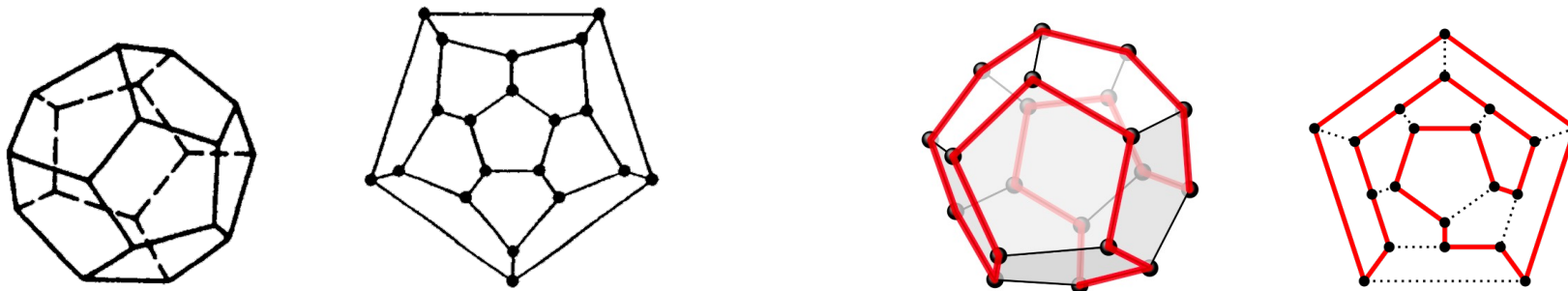
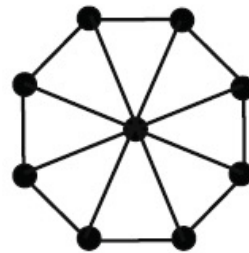


Figure 1.9

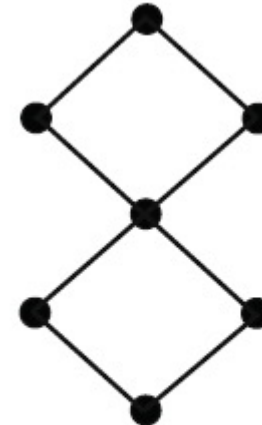
# Degree parity is not a criterion

**Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree

- Hamiltonian graphs
  - all even degrees  $C_{10}$
  - all odd degrees  $K_{10}$
  - a mixture  $G_1$
- non-Hamiltonian graphs
  - all even  $G_2$
  - all odd  $K_{5,7}$
  - mixed  $P_9$



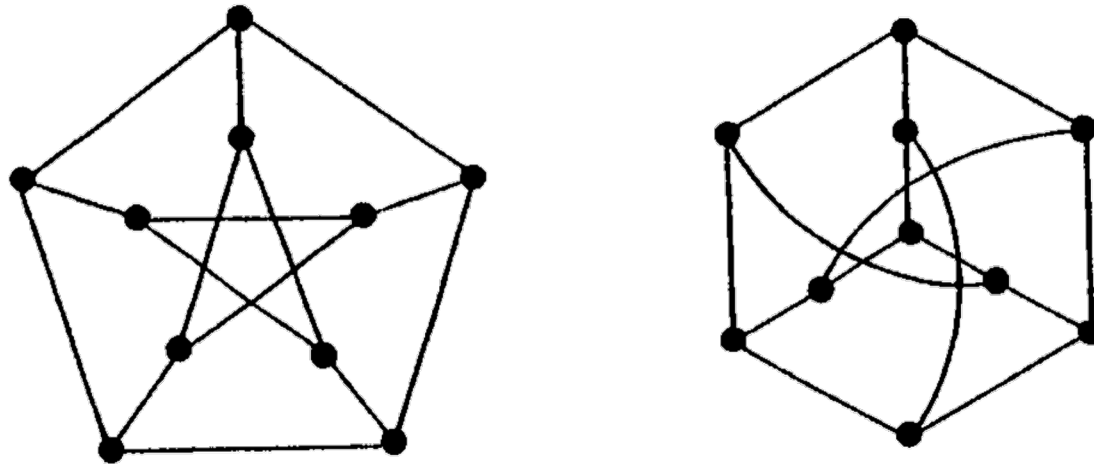
$G_1$



$G_2$

# Example

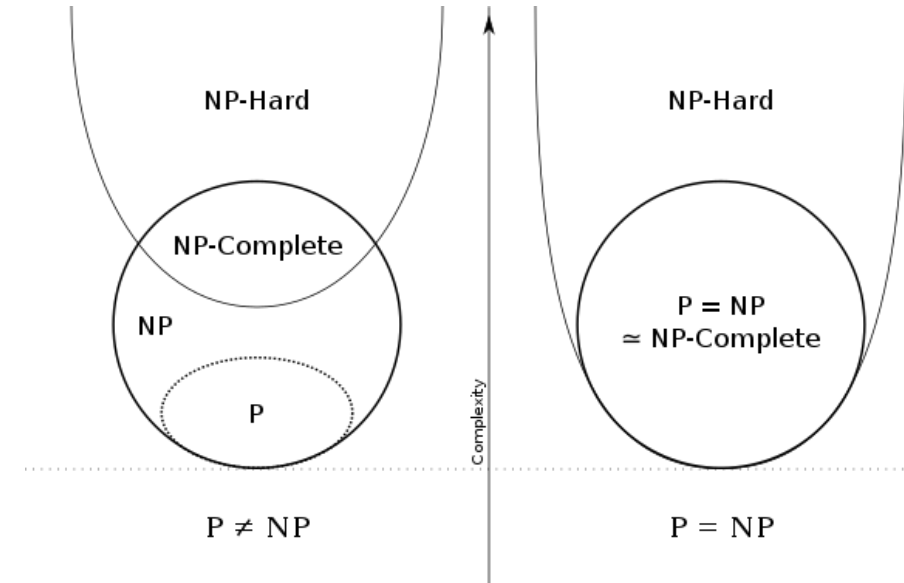
- The Petersen graph has a Hamiltonian path but no Hamiltonian cycle



- Determining whether such paths and cycles exist in graphs is the Hamiltonian path problem, which is NP-complete

# P, NP, NPC, NP-hard

- P The general class of questions for which some algorithm can provide an answer in polynomial time
- NP (nondeterministic polynomial time) The class of questions for which an answer can be *verified* in polynomial time
- NP-Complete
  1.  $c$  is in NP
  2. Every problem in NP is reducible to  $c$  in polynomial time
- NP-hard
  - ~~$c$  is in NP~~
  - Every problem in NP is reducible to  $c$  in polynomial time





# Large minimal degree implies Hamiltonian

- Theorem (1.22, H, Dirac) Let  $G$  be a graph of order  $n \geq 3$ . If  $\delta(G) \geq n/2$ , then  $G$  is Hamiltonian

**Proposition** (1.3.15, W) If  $\delta(G) \geq \frac{n-1}{2}$ , then  $G$  is connected

(Ex16, S1.1.2, H) (1.3.16, W)

If  $\delta(G) \geq \frac{n-2}{2}$ , then  $G$  need not be connected

- The bound is tight  
(Ex12b, S1.4.3, H)  $G = K_{r,r+1}$  is not Hamiltonian  
Exercise The condition when  $K_{r,s}$  is Hamiltonian
- The condition is not necessary
  - $C_n$  is Hamiltonian but with small minimum (and even maximum) degree

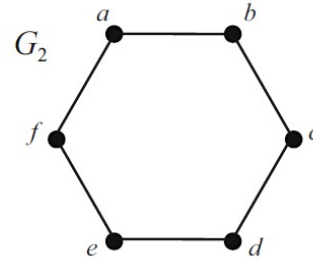
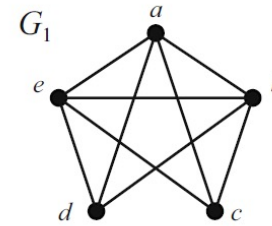
# Generalized version

- Exercise (Theorem 1.23, H, Ore; Ex3, S1.4.3, H) Let  $G$  be a graph of order  $n \geq 3$ . If  $\deg(x) + \deg(y) \geq n$  for all pairs of nonadjacent vertices  $x, y$ , then  $G$  is Hamiltonian

**Theorem** (1.22, H, Dirac) Let  $G$  be a graph of order  $n \geq 3$ . If  $\delta(G) \geq n/2$ , then  $G$  is Hamiltonian

# Independence number & Hamiltonian

- A set of vertices in a graph is called **independent** if they are pairwise nonadjacent
- The **independence number** of a graph  $G$ , denoted as  $\alpha(G)$ , is the largest size of an independent set
- Example:  $\alpha(G_1) = 2, \alpha(G_2) = 3$
- Theorem (1.24, H) Let  $G$  be a connected graph of order  $n \geq 3$ . If  $\kappa(G) \geq \alpha(G)$ , then  $G$  is Hamiltonian



(Ex14, S1.1.2, H)  $\kappa(G) \geq 2$  implies  $G$  has at least one cycle

# Independence number & Hamiltonian 2

**Theorem** (1.24, H) Let  $G$  be a connected graph of order  $n \geq 3$ . If  $\kappa(G) \geq \alpha(G)$ , then  $G$  is Hamiltonian

- The result is tight:  $\kappa(G) \geq \alpha(G) - 1$  is not enough
  - $K_{r,r+1}$ :  $\kappa = r, \alpha = r + 1$
  - **Exercise** (Ex4, S1.4.3, H) Peterson graph:  $\kappa = 3, \alpha = 4$

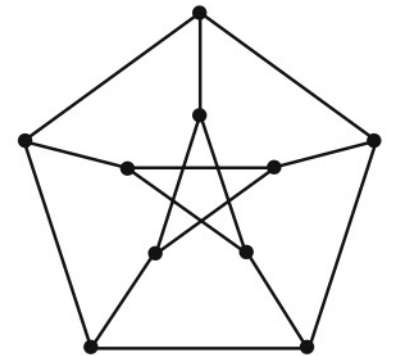
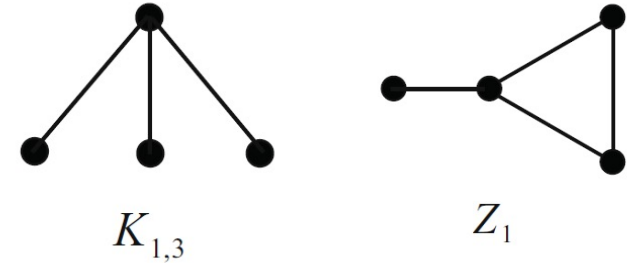


FIGURE 1.63. The Petersen Graph.

# Pattern-free & Hamiltonian



- $G$  is  $H$ -free if  $G$  doesn't contain a copy of  $H$  as induced subgraph
- Theorem (1.25, H) If  $G$  is 2-connected and  $\{K_{1,3}, Z_1\}$ -free, then  $G$  is Hamiltonian

(Ex14, S1.1.2, H)  $\kappa(G) \geq 2$  implies  $G$  has at least one cycle

- The condition 2-connectivity is necessary
- (Ex2, S1.4.3, H) If  $G$  is Hamiltonian, then  $G$  is 2-connected

# Lecture 5: Matchings

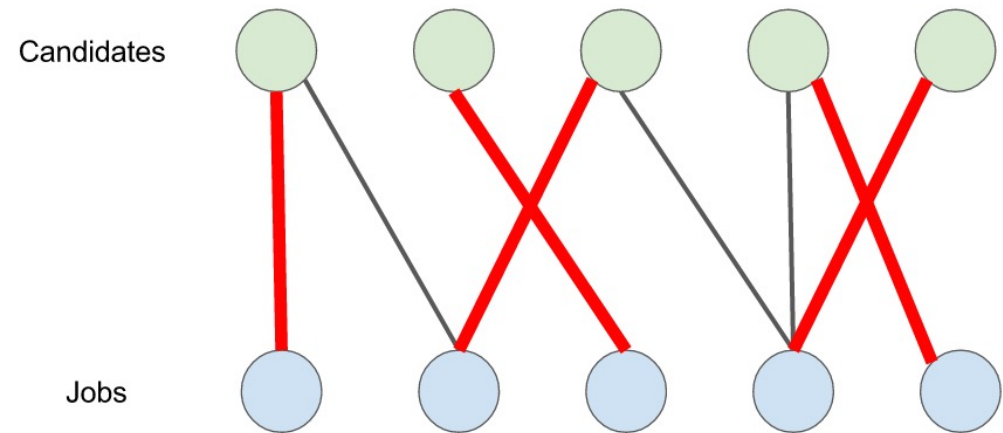
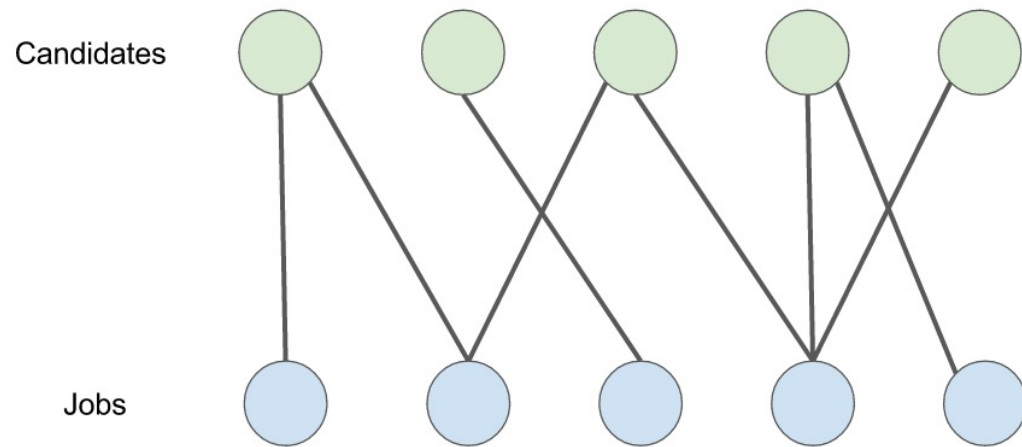
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# Motivating example



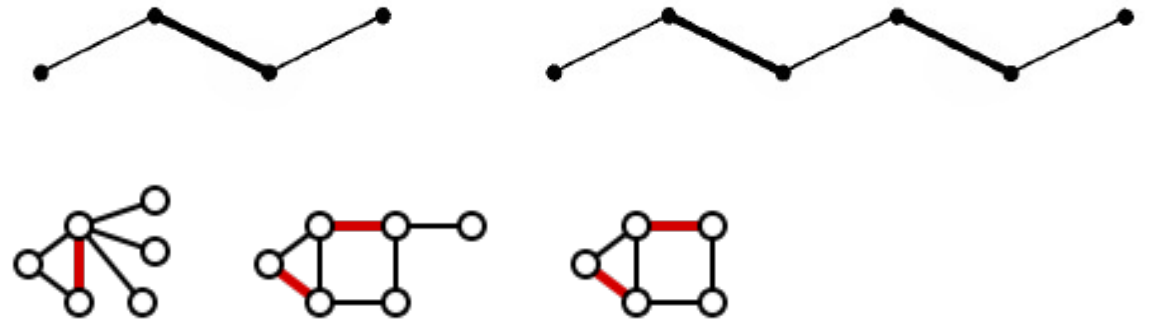
# Definitions

- A **matching** is a set of independent edges, in which no pair of edges shares a vertex
- The vertices incident to the edges of a matching  $M$  are  **$M$ -saturated** (饱和的); the others are  **$M$ -unsaturated**
- A **perfect matching** in a graph is a matching that saturates every vertex
- Example (3.1.2, W) The number of perfect matchings in  $K_{n,n}$  is  $n!$
- Example (3.1.3, W) The number of perfect matchings in  $K_{2n}$  is
$$f_n = (2n - 1)(2n - 3) \cdots 1 = (2n - 1)!!$$



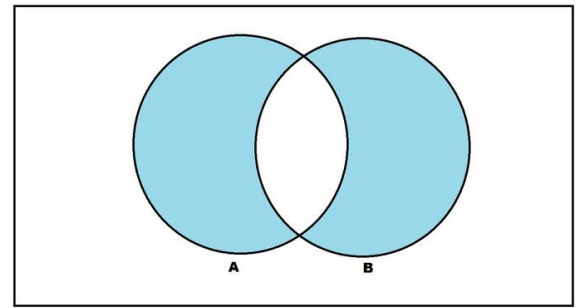
# Maximal/maximum matchings 极大/最大

- A **maximal matching** in a graph is a matching that cannot be enlarged by adding an edge
- A **maximum matching** is a matching of maximum size among all matchings in the graph
- Example:  $P_3, P_5$

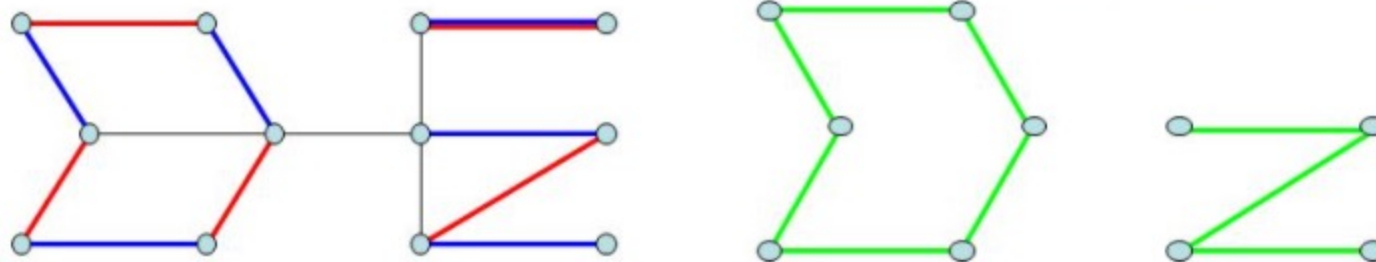


- Every maximum matching is maximal, but not every maximal matching is a maximum matching

# Symmetric difference of matchings

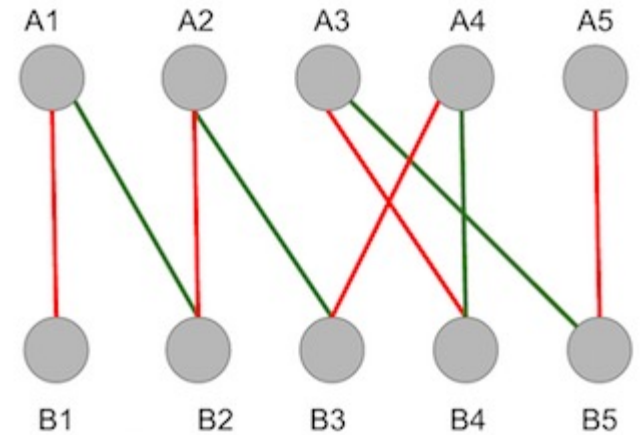


- The symmetric difference of  $M, M'$  is  $M \Delta M' = (M - M') \cup (M' - M)$
- Lemma (3.1.9, W) Every component of the symmetric difference of two matchings is a path or an even cycle

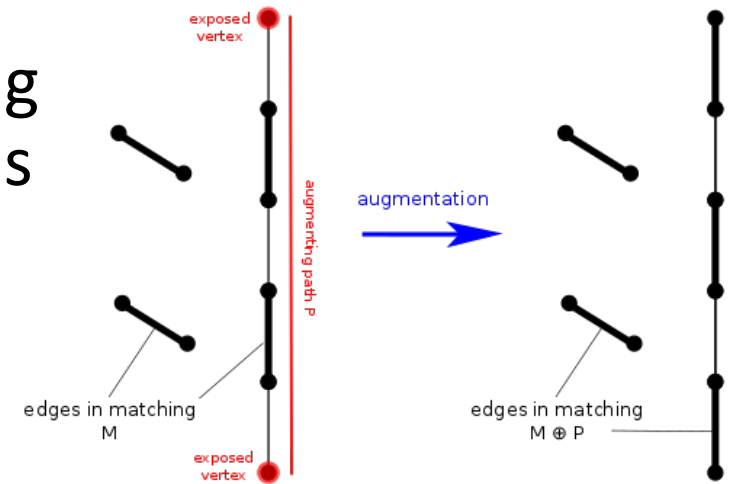


# Maximum matching and augmenting path

- Given a matching  $M$ , an  $M$ -alternating path is a path that alternates between edges in  $M$  and edges not in  $M$
- An  $M$ -alternating path whose endpoints are  $M$ -unsaturated is an  $M$ -augmenting path
- Theorem (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a maximum matching in  $G \iff G$  has no  $M$ -augmenting path



**Lemma** (3.1.9, W) Every component of the symmetric difference of two matchings is a path or an even cycle



# Hall's theorem (TONCAS)

- Theorem (3.1.11, W; 1.51, H; 2.1.2, D; Hall 1935) Let  $G$  be a bipartite graph with partition  $X, Y$ .  
 $G$  contains a matching of  $X \Leftrightarrow |N(S)| \geq |S|$  for all  $S \subseteq X$

**Theorem** (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a **maximum** matching in  $G \Leftrightarrow G$  has no  $M$ -augmenting path

- **Exercise.** Read the other two proofs in Diestel.
- Corollary (3.1.13, W; 2.1.3, D) Every  $k$ -regular ( $k > 0$ ) bipartite graph has a perfect matching

# General regular graph

- Corollary (2.1.5, D) Every regular graph of positive even degree has a 2-factor
  - A  $k$ -regular spanning subgraph is called a  $k$ -factor
  - A perfect matching is a 1-factor

**Theorem** (1.2.26, W) A graph  $G$  is Eulerian  $\Leftrightarrow$  it has at most one nontrivial component and its vertices all have even degree

**Corollary** (3.1.13, W; 2.1.3, D) Every  $k$ -regular ( $k > 0$ ) bipartite graph has a perfect matching

# Application to SDR

- Given some family of sets  $X$ , a system of distinct representatives for the sets in  $X$  is a ‘representative’ collection of distinct elements from the sets of  $X$

$$S_1 = \{2, 8\},$$

$$S_2 = \{8\},$$

$$S_3 = \{5, 7\},$$

$$S_4 = \{2, 4, 8\},$$

$$S_5 = \{2, 4\}.$$

The family  $X_1 = \{S_1, S_2, S_3, S_4\}$  does have an SDR, namely  $\{2, 8, 7, 4\}$ . The family  $X_2 = \{S_1, S_2, S_4, S_5\}$  does not have an SDR.

- Theorem(1.52, H) Let  $S_1, S_2, \dots, S_k$  be a collection of finite, nonempty sets. This collection has SDR  $\Leftrightarrow$  for every  $t \in [k]$ , the union of any  $t$  of these sets contains at least  $t$  elements

**Theorem** (3.1.11, W; 1.51, H; 2.1.2, D; Hall 1935) Let  $G$  be a bipartite graph with partition  $X, Y$ .

$G$  contains a matching of  $X \Leftrightarrow |N(S)| \geq |S|$  for all  $S \subseteq X$

# König Theorem

## Augmenting Path Algorithm

# Vertex cover

- A set  $U \subseteq V$  is a **(vertex) cover** of  $E$  if every edge in  $G$  is incident with a vertex in  $U$
- Example:
  - Art museum is a graph with hallways are edges and corners are nodes
  - A security camera at the corner will guard the paintings on the hallways
  - The minimum set to place the cameras?



# König-Egeváry Theorem (Min-max theorem)

- Theorem (3.1.16, W; 1.53, H; 2.1.1, D; König 1931; Egeváry 1931)  
Let  $G$  be a bipartite graph. The **maximum** size of a matching in  $G$  is equal to the **minimum** size of a vertex cover of its edges

**Theorem** (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a **maximum** matching in  $G \Leftrightarrow G$  has no  $M$ -augmenting path

# Weighted Bipartite Matching

## Hungarian Algorithm

# Weighted bipartite matching

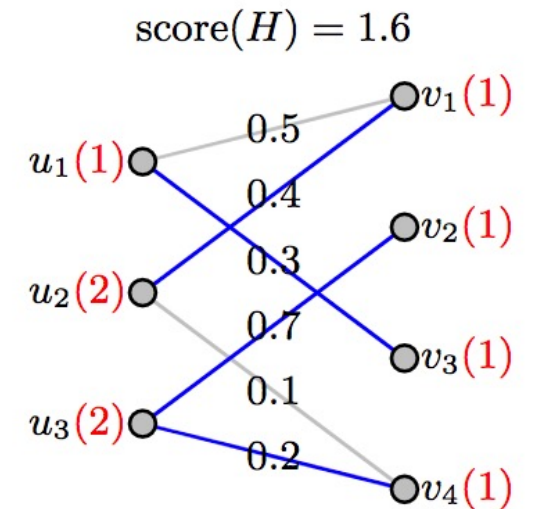
- The **maximum weighted matching problem** is to seek a perfect matching  $M$  to maximize the total weight  $w(M)$
- Bipartite graph
  - W.l.o.g. Assume the graph is  $K_{n,n}$  with  $w_{i,j} \geq 0$  for all  $i, j \in [n]$

- Optimization:

$$\max w(M_a) = \sum_{i,j} a_{i,j} w_{i,j}$$

$$\begin{aligned} \text{s.t. } & a_{i,1} + \dots + a_{i,n} \leq 1 \text{ for any } i \\ & a_{1,j} + \dots + a_{n,j} \leq 1 \text{ for any } j \\ & a_{i,j} \in \{0,1\} \end{aligned}$$

- Integer programming
- General IP problems are NP-Complete

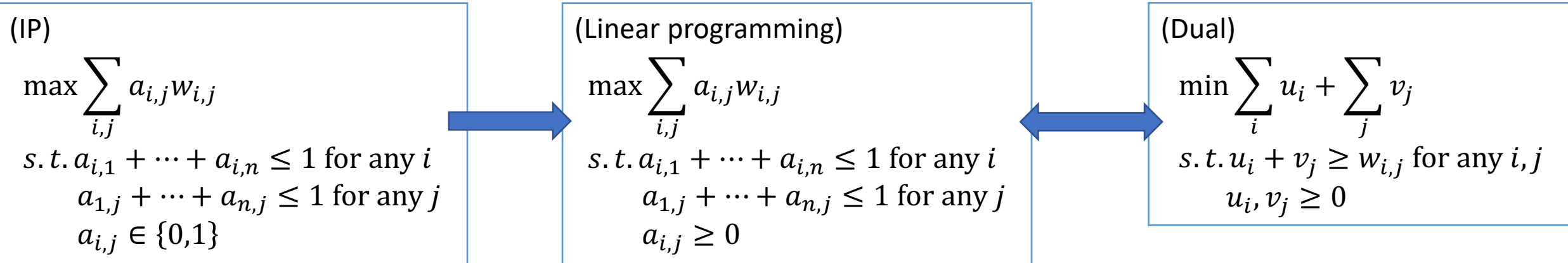


# (Weighted) cover

- A (weighted) **cover** is a choice of labels  $u_1, \dots, u_n$  and  $v_1, \dots, v_n$  such that  $u_i + v_j \geq w_{i,j}$  for all  $i, j$ 
  - The **cost**  $c(u, v)$  of a cover  $(u, v)$  is  $\sum_i u_i + \sum_j v_j$
  - The **minimum weighted cover problem** is that of finding a cover of minimum cost
- Optimization problem

$$\begin{aligned} \min c(u, v) &= \sum_i u_i + \sum_j v_j \\ \text{s. t. } u_i + v_j &\geq w_{i,j} \text{ for any } i, j \\ u_i, v_j &\geq 0 \text{ for any } i, j \end{aligned}$$

# Duality



- Weak duality theorem

- For each feasible solution  $a$  and  $(u, v)$

$$\sum_{i,j} a_{i,j} w_{i,j} \leq \sum_i u_i + \sum_j v_j$$

thus  $\max \sum_{i,j} a_{i,j} w_{i,j} \leq \min \sum_i u_i + \sum_j v_j$

# Duality (cont.)

- Strong duality theorem

- If one of the two problems has an optimal solution, so does the other one and that the bounds given by the weak duality theorem are tight

$$\max \sum_{i,j} a_{i,j} w_{i,j} = \min \sum_i u_i + \sum_j v_j$$

- Lemma (3.2.7, W) For a perfect matching  $M$  and cover  $(u, v)$  in a weighted bipartite graph  $G$ ,  $c(u, v) \geq w(M)$ .

$c(u, v) = w(M) \Leftrightarrow M$  consists of edges  $x_i y_j$  such that  $u_i + v_j = w_{i,j}$

In this case,  $M$  and  $(u, v)$  are optimal.

# Equality subgraph

- The **equality subgraph**  $G_{u,v}$  for a cover  $(u, v)$  is the **spanning** subgraph of  $K_{n,n}$  having the edges  $x_i y_j$  such that  $u_i + v_j = w_{i,j}$ 
  - So if  $c(u, v) = w(M)$  for some perfect matching  $M$ , then  $M$  is composed of edges in  $G_{u,v}$
  - And if  $G_{u,v}$  contains a perfect matching  $M$ , then  $(u, v)$  and  $M$  (whose weights are  $u_i + v_j$ ) are both optimal

# Back to (unweighted) bipartite graph

- The weights are binary 0,1
- Hungarian algorithm always maintain integer labels in the weighted cover, thus the solution will always be 0,1
- The vertices receiving label 1 must cover the weight on the edges, thus cover all edges
- So the solution is a minimum vertex cover



# Matchings in General Graphs

# Perfect matchings

- $K_{2n}, C_{2n}, P_{2n}$  have perfect matchings
- **Corollary** (3.1.13, W; 2.1.3, D) Every  $k$ -regular ( $k > 0$ ) bipartite graph has a perfect matching
- **Theorem**(1.58, H) If  $G$  is a graph of order  $2n$  such that  $\delta(G) \geq n$ , then  $G$  has a perfect matching

**Theorem** (1.22, H, Dirac) Let  $G$  be a graph of order  $n \geq 3$ . If  $\delta(G) \geq n/2$ , then  $G$  is Hamiltonian

# Tutte's Theorem (TONCAS)

- Let  $q(G)$  be the number of connected components with odd order

- Theorem (1.59, H; 2.2.1, D; 3.3.3, W)

Let  $G$  be a graph of order  $n \geq 2$ .  $G$  has a perfect matching  $\Leftrightarrow q(G - S) \leq |S|$  for all  $S \subseteq V$

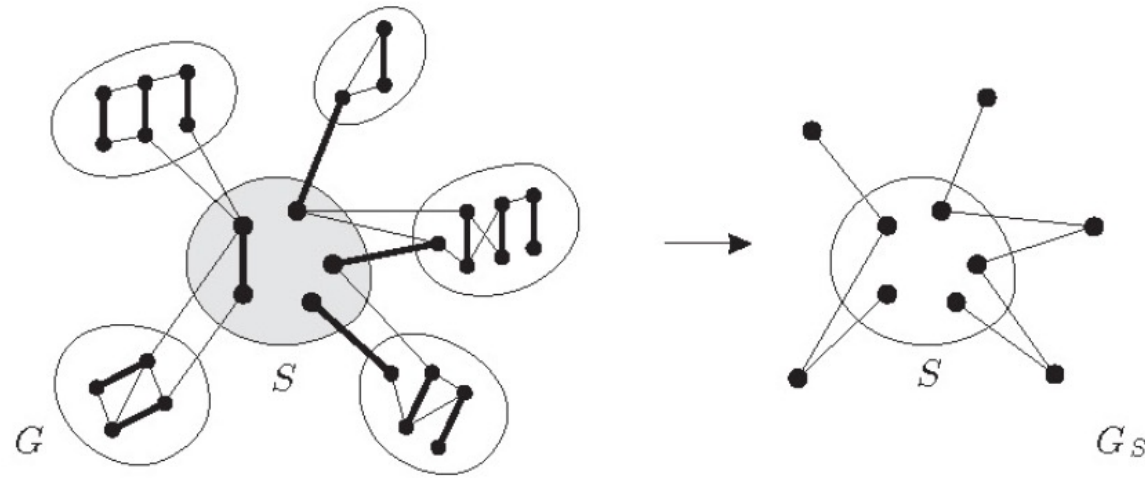


Fig. 2.2.1. Tutte's condition  $q(G - S) \leq |S|$  for  $q = 3$ , and the contracted graph  $G_S$  from Theorem 2.2.3.

# Petersen's Theorem

- Theorem (1.60, H; 2.2.2, D; 3.3.8, W)  
Every bridgeless, 3-regular graph contains a perfect matching

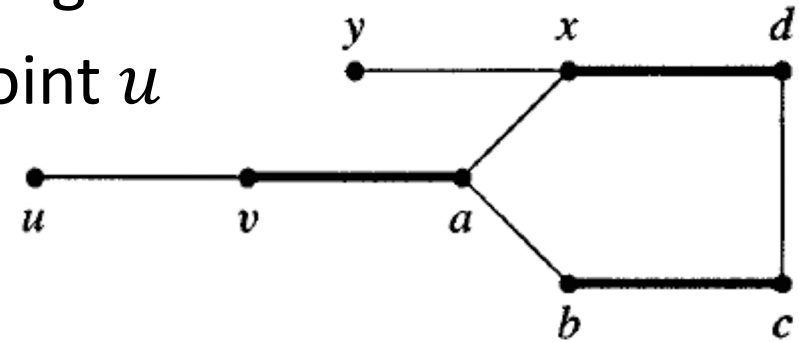
**Theorem** (1.59, H; 2.2.1, D; 3.3.3, W)

Let  $G$  be a graph of order  $n \geq 2$ .  $G$  has a perfect matching  $\Leftrightarrow q(G - S) \leq |S|$  for all  $S \subseteq V$

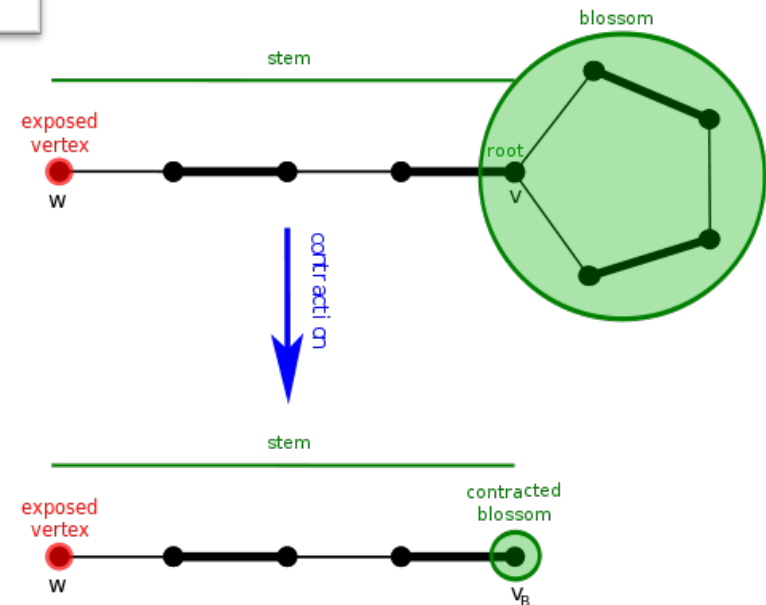
# Find augmenting paths in general graphs

- Different from bipartite graphs, a vertex can belong to both  $S$  and  $T$
- Example: How to explore from  $M$ -unsaturated point  $u$

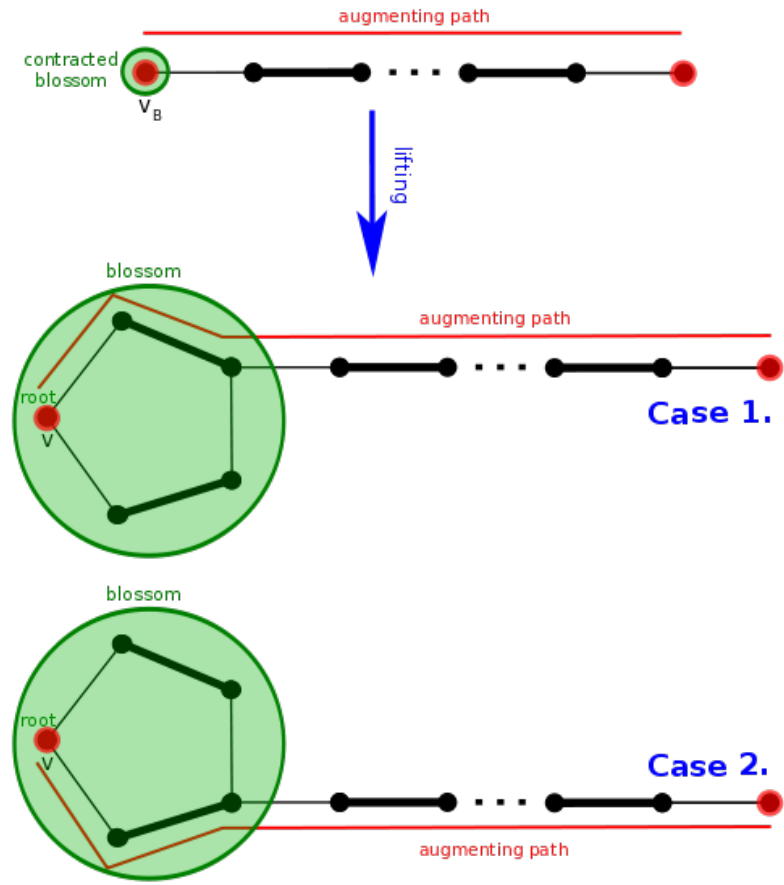
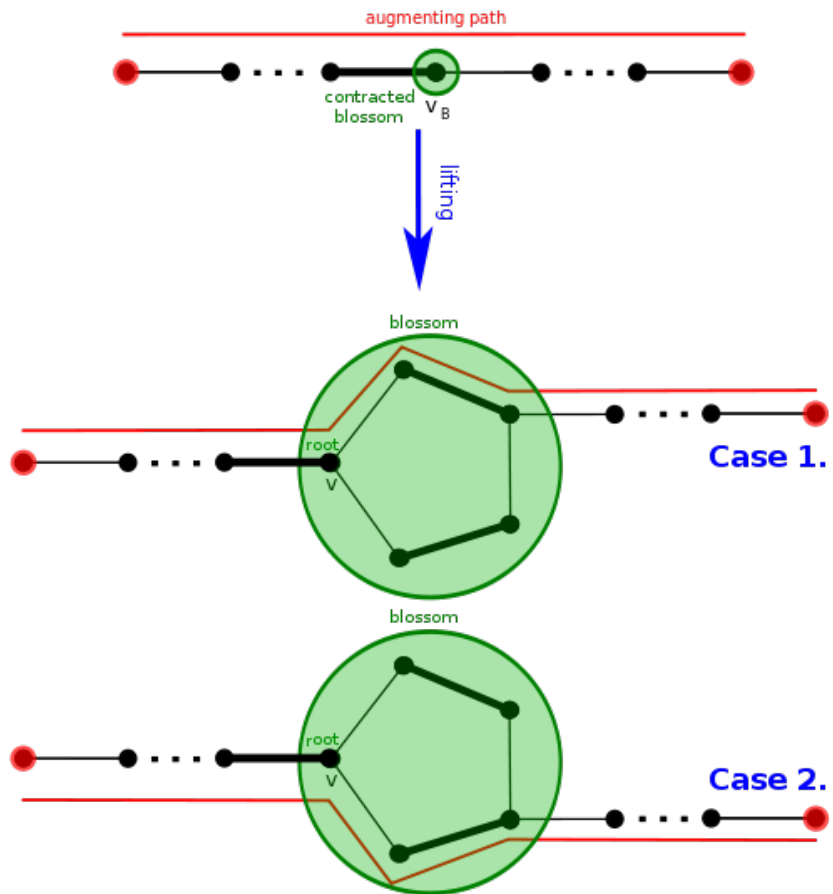
**Theorem** (3.1.10, W; 1.50, H; Berge 1957) A matching  $M$  in a graph  $G$  is a **maximum** matching in  $G \Leftrightarrow G$  has no  $M$ -augmenting path



- Flower/stem/blossom



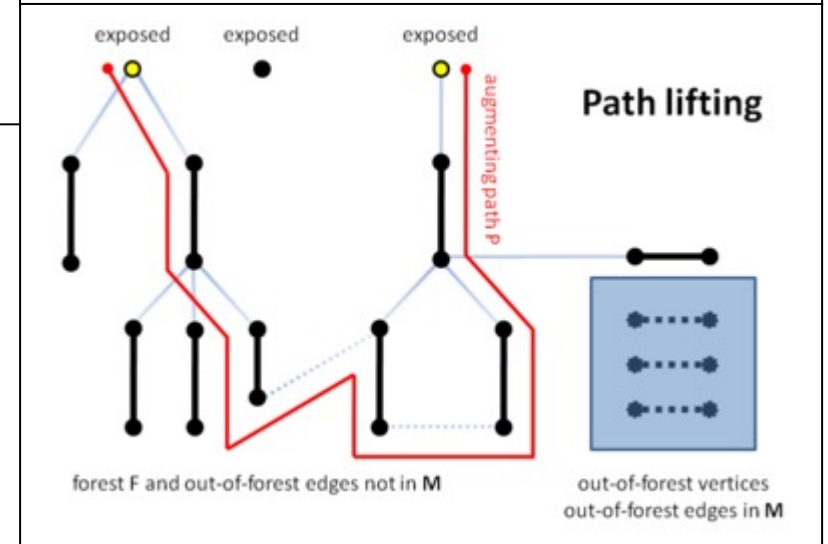
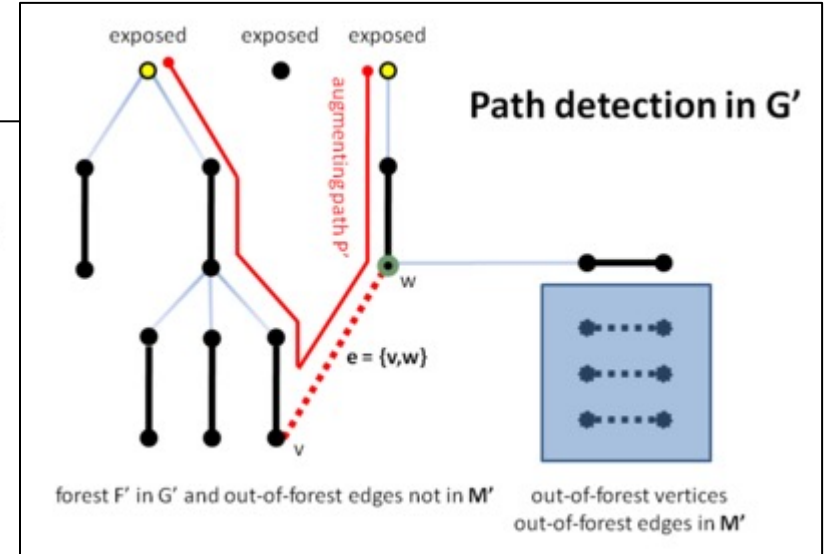
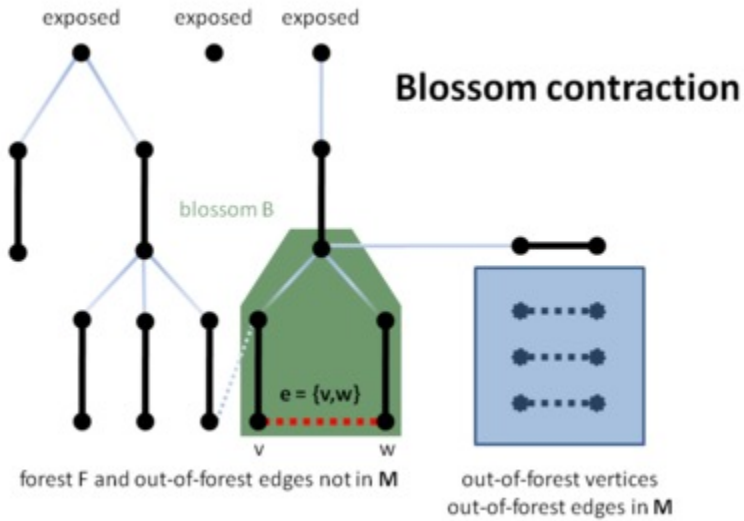
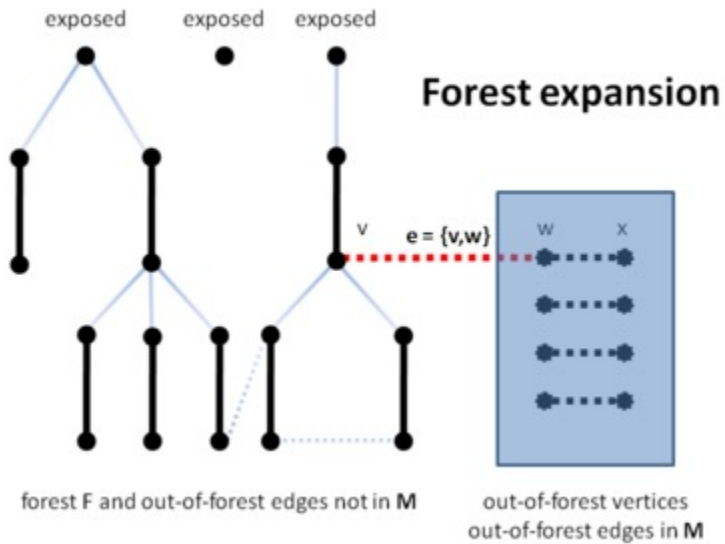
# Lifting



# Edmonds' blossom algorithm (3.3.17, W)

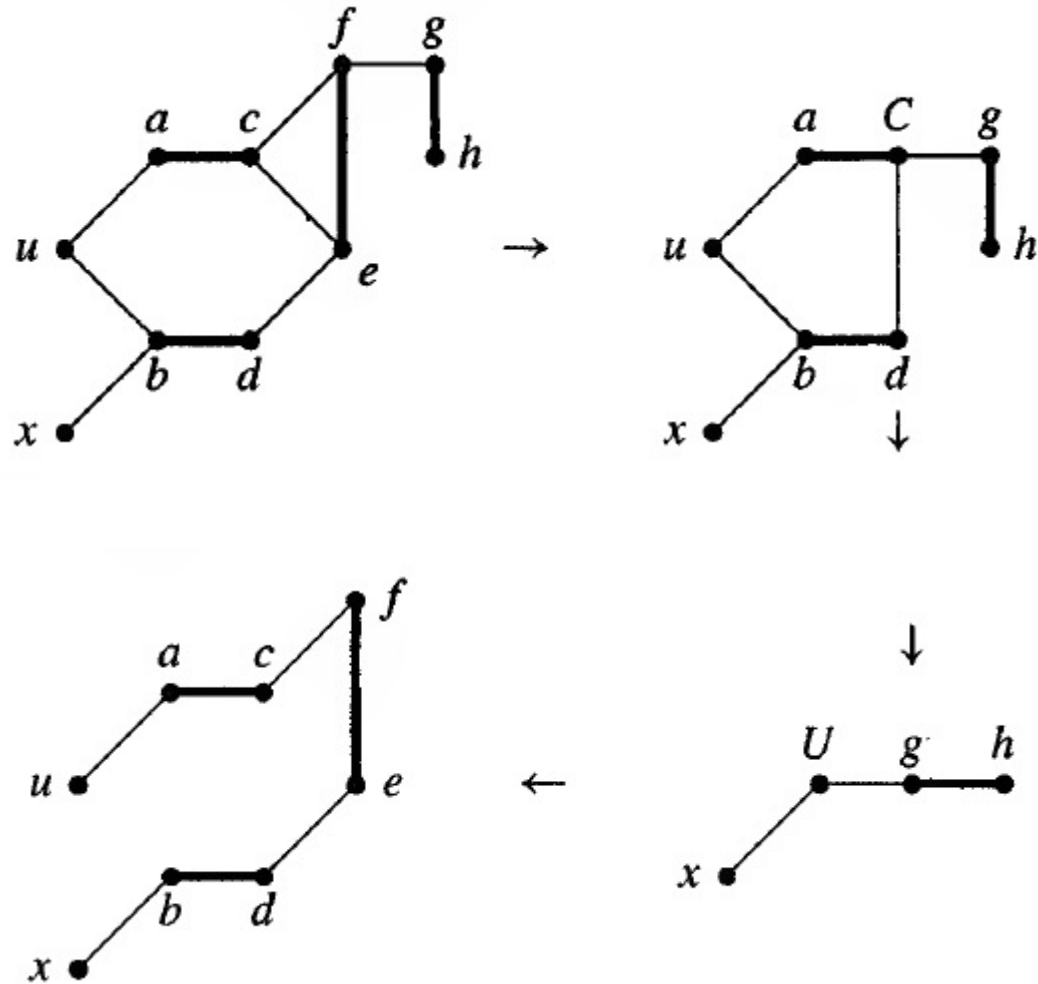
- **Input:** A graph  $G$ , a matching  $M$  in  $G$ , an  $M$ -unsaturated vertex  $u$
- **Idea:** Explore  $M$ -alternating paths from  $u$ , recording for each vertex the vertex from which it was reached, and **contracting blossoms** when found
  - Maintain sets  $S$  and  $T$  analogous to those in Augmenting Path Algorithm, with  $S$  consisting of  $u$  and the vertices reached along saturated edges
  - Reaching an unsaturated vertex yields an augmentation.
- **Initialization:**  $S = \{u\}$  and  $T = \emptyset$
- **Iteration:** If  $S$  has no unmarked vertex, stop; there is no  $M$ -augmenting path from  $u$ 
  - Otherwise, select an unmarked  $v \in S$ . To explore from  $v$ , successively consider each  $y \in N(v)$  s.t.  $y \notin T$ 
    - If  $y$  is unsaturated by  $M$ , then trace back from  $y$  (expanding blossoms as needed) to report an  $M$ -augmenting  $u, y$ -path
    - **If  $y \in S$ , then a blossom has been found. Suspend the exploration of  $v$  and contract the blossom**, replacing its vertices in  $S$  and  $T$  by a single new vertex in  $S$ . Continue the search from this vertex in the smaller graph.
    - Otherwise,  $y$  is matched to some  $w$  by  $M$ . Include  $y$  in  $T$  (reached from  $v$ ), and include  $w$  in  $S$  (reached from  $y$ )
  - After exploring all such neighbors of  $v$ , mark  $v$  and iterate

# Illustration

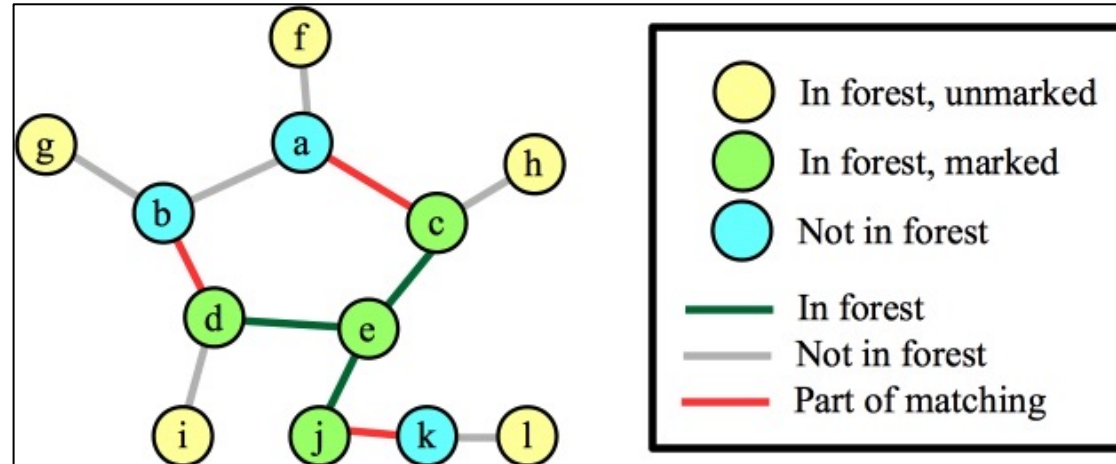
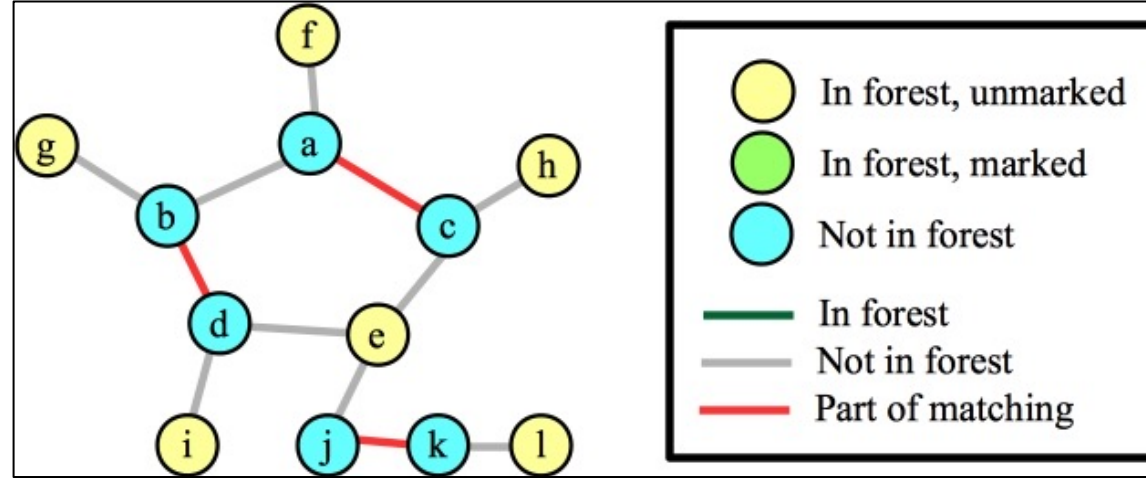
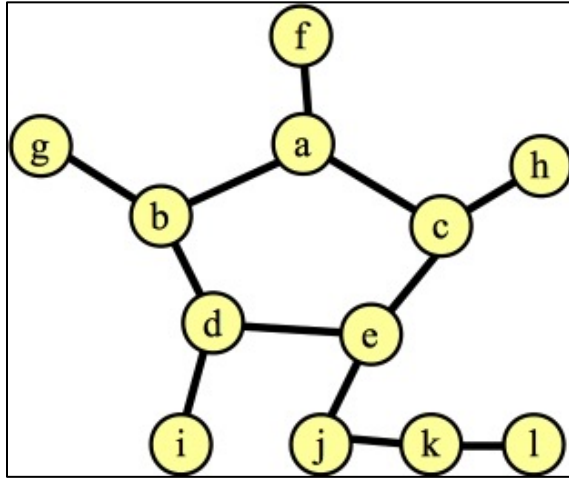




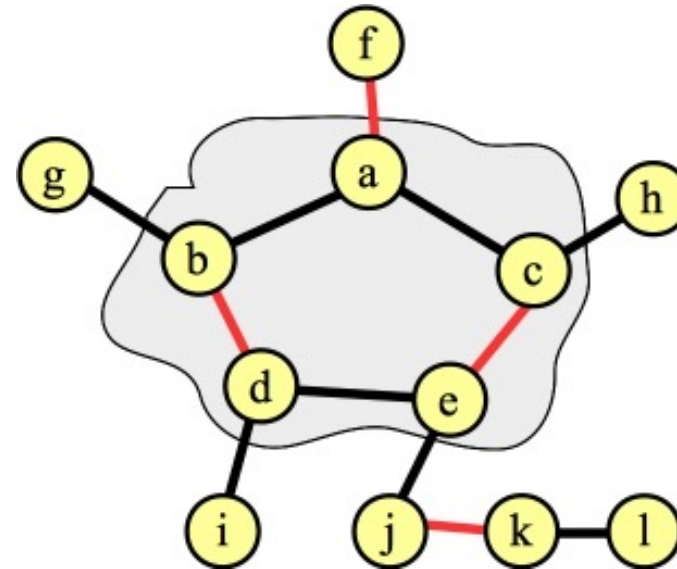
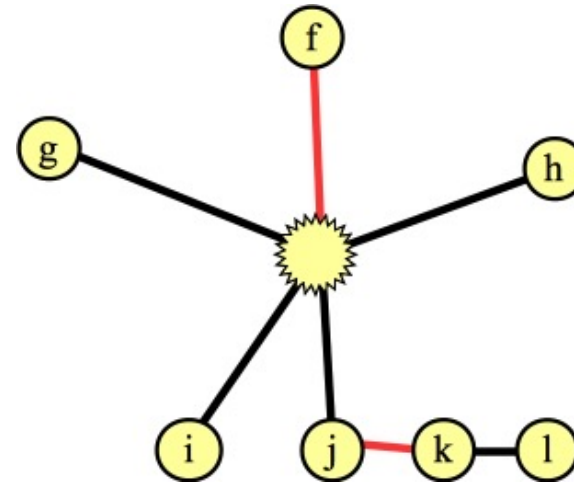
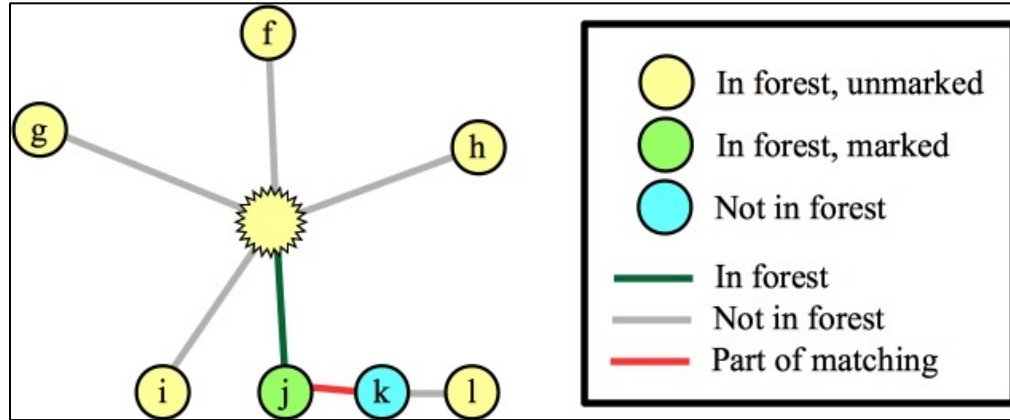
# Example



# Example 2



# Example 2 (cont.)



# Lecture 6: More on Connectivity

Shuai Li

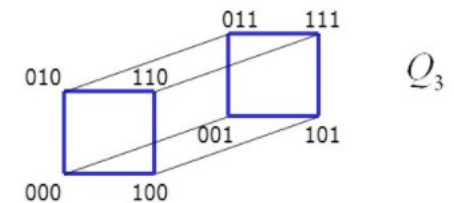
John Hopcroft Center, Shanghai Jiao Tong University

<https://shuaili8.github.io>

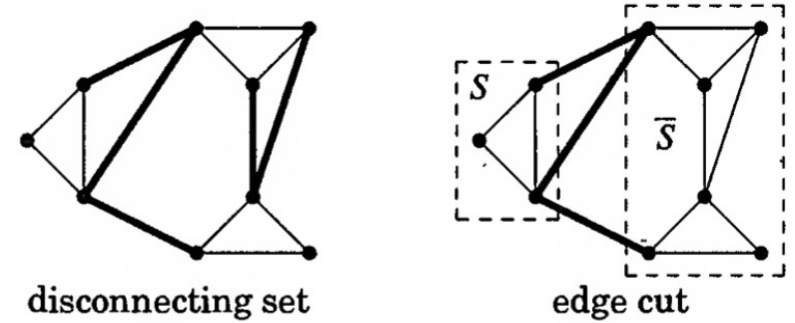
<https://shuaili8.github.io/Teaching/CS445/index.html>

# Vertex cut set and connectivity

- A proper subset  $S$  of vertices is a **vertex cut set** if the graph  $G - S$  is disconnected
- The **connectivity**,  $\kappa(G)$ , is the minimum size of a vertex set  $S$  of  $G$  such that  $G - S$  is disconnected or has only one vertex
  - The graph is  $k$ -connected if  $k \leq \kappa(G)$
- $\kappa(K_n) := n - 1$
- If  $G$  is disconnected,  $\kappa(G) = 0$ 
  - $\Rightarrow$  A graph is connected  $\Leftrightarrow \kappa(G) \geq 1$
- If  $G$  is connected, non-complete graph of order  $n$ , then
$$1 \leq \kappa(G) \leq n - 2$$
- For convention,  $\kappa(K_1) = 0$
- Example (4.1.3, W) For  $k$ -dimensional cube  $Q_k = \{0,1\}^k$ ,  $\kappa(Q_k) = k$



# Edge-connectivity



- A **disconnecting set** of edges is a set  $F \subseteq E(G)$  such that  $G - F$  has more than one component
  - A graph is  **$k$ -edge-connected** if every disconnecting set has at least  $k$  edges
  - The **edge-connectivity** of  $G$ , written  $\lambda(G)$ , is the minimum size of a disconnecting set
- Given  $S, T \subseteq V(G)$ , we write  $[S, T]$  for the set of edges having one endpoint in  $S$  and the other in  $T$ 
  - An **edge cut** is an edge set of the form  $[S, S^c]$  where  $S$  is a nonempty proper subset of  $V(G)$
- Every edge cut is a disconnecting set, but not vice versa
- Remark (4.1.8, W) Every minimal disconnecting set of edges is an edge cut

# Connectivity and edge-connectivity

- **Proposition** (1.4.2, D) If  $G$  is non-trivial, then  $\kappa(G) \leq \lambda(G) \leq \delta(G)$

- If  $\delta(G) \geq n - 2$ , then  $\kappa(G) = \delta(G)$

that is  $\kappa(G) = \lambda(G) = \delta(G)$

- **Theorem** (4.1.11, W) If  $G$  is a 3-regular graph, then  $\kappa(G) = \lambda(G)$

# Properties of edge cut

- When  $\lambda(G) < \delta(G)$ , a minimum edge cut cannot isolate a vertex
- Similarly for (any) edge cut

- Proposition (4.1.12, W) If  $S$  is a set of vertices in a graph  $G$ , then

$$|[S, S^c]| = \sum_{v \in S} d(v) - 2e(G[S])$$

- Corollary (4.1.13, W) If  $G$  is a simple graph and  $|[S, S^c]| < \delta(G)$ , then  $|S| > \delta(G)$ 
  - $|S|$  must be much larger than a single vertex



# Blocks

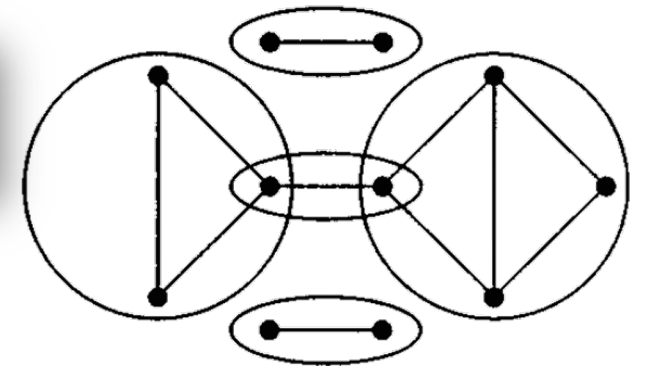
- A **block** of a graph  $G$  is a maximal connected subgraph of  $G$  that has no cut-vertex. If  $G$  itself is connected and has no cut-vertex, then  $G$  is a block

**Proposition** (1.2.14, W)

An edge  $e$  is a bridge  $\Leftrightarrow e$  lies on no cycle of  $G$

- Or equivalently, an edge  $e$  is not a bridge  $\Leftrightarrow e$  lies on a cycle of  $G$

- **Example**
- An edge of a cycle cannot itself be a block
  - An edge is block  $\Leftrightarrow$  it is a bridge
  - The blocks of a tree are its edges
- If a block has more than two vertices, then it is 2-connected
  - The blocks of a loopless graph are its isolated vertices, bridges, and its maximal 2-connected subgraphs

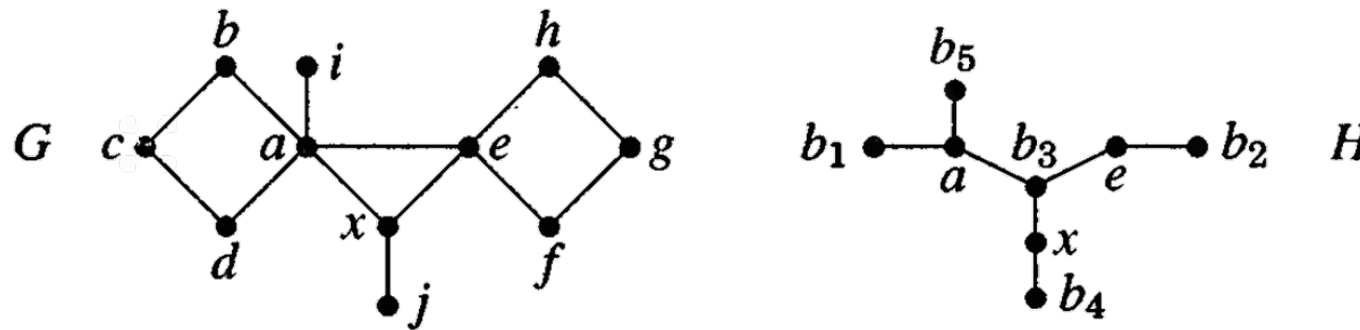


# Intersection of two blocks

- Proposition (4.1.19, W) Two blocks in a graph share at most one vertex
  - When two blocks share a vertex, it must be a cut-vertex
- Every edge is a subgraph with no cut-vertex and hence is in a block. Thus blocks in a graph decompose the edge set

# Block-cutpoint graph

- The **block-cutpoint graph** of a graph  $G$  is a bipartite graph  $H$  in which one partite set consists of the cut-vertices of  $G$ , and the other has a vertex  $b_i$  for each block  $B_i$  of  $G$ . We include  $vb_i$  as an edge of  $H \iff v \in B_i$

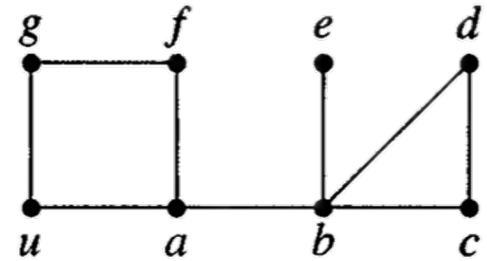


- (Ex34, S4.1, W) When  $G$  is connected, its block-cutpoint graph is a tree

# Depth-first search (DFS)

- Depth-first search

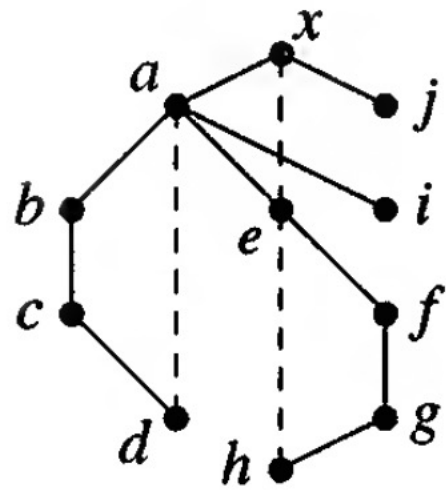
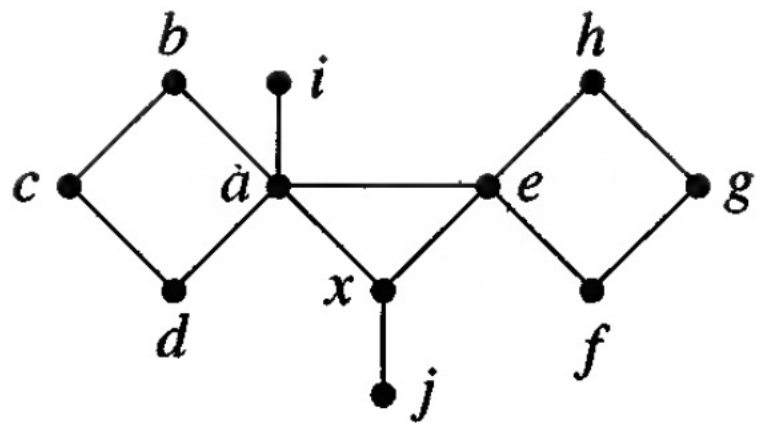
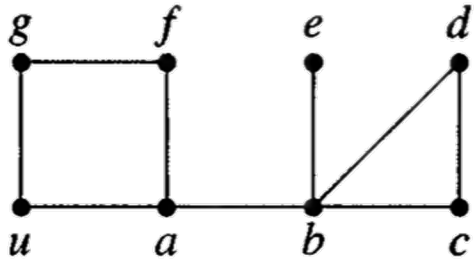
- Lemma (4.1.22, W) If  $T$  is a spanning tree of a connected graph grown by DFS from  $u$ , then every edge of  $G$  not in  $T$  consists of two vertices  $v, w$  such that  $v$  lies on the  $u, w$ -path in  $T$



# Finding blocks by DFS

- **Input:** A connected graph  $G$
- **Idea:** Build a DFS tree  $T$  of  $G$ , discarding portions of  $T$  as blocks are identified. Maintain one vertex called ACTIVE
- **Initialization:** Pick a root  $x \in V(H)$ ; make  $x$  ACTIVE; set  $T = \{x\}$
- **Iteration:** Let  $v$  denote the current active vertex
  - If  $v$  has an unexplored incident edge  $vw$ , then
    - If  $w \notin V(T)$ , then add  $vw$  to  $T$ , mark  $vw$  explored, make  $w$  ACTIVE
    - If  $w \in V(T)$ , then  $w$  is an ancestor of  $v$ ; mark  $vw$  explored
  - If  $v$  has no more unexplored incident edges, then
    - If  $v \neq x$  and  $w$  is a parent of  $v$ , make  $w$  ACTIVE. If no vertex in the current subtree  $T'$  rooted at  $v$  has an explored edge to an ancestor above  $w$ , then  $V(T') \cup \{w\}$  is the vertex set of a block; record this information and delete  $V(T')$
    - if  $v = x$ , terminate

# Example



# Strong orientation

- Theorem (2.5, L; 4.2.14, W; Robbins 1939) A graph has a strong orientation, i.e. an orientation that is a strongly connected digraph  $\Leftrightarrow$  it is 2-edge-connected
  - A directed graph is **strongly connected** if for every pair of vertices  $(v, w)$ , there is a directed path from  $v$  to  $w$
  - Proposition (2.4, L) Let  $xy \in T$  which is not a bridge in  $G$  and  $x$  is a parent of  $y$ . Then there exists an edge in  $G$  but not in  $T$  joining some descendant  $a$  of  $y$  and some ancestor  $b$  of  $x$

• The blocks of a loopless graph are its isolated vertices, bridges, and its maximal 2-connected subgraphs

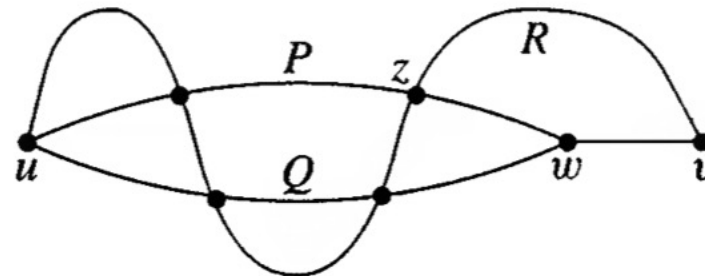
**Lemma** (4.1.22, W) If  $T$  is a spanning tree of a connected graph grown by DFS from  $u$ , then every edge of  $G$  not in  $T$  consists of two vertices  $v, w$  such that  $v$  lies on the  $u, w$ -path in  $T$

# 2-Connected Graphs



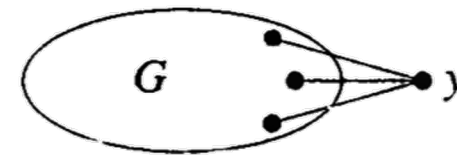
# 2-connected graphs

- Two paths from  $u$  to  $v$  are **internally disjoint** if they have no common internal vertex
- **Theorem** (4.2.2, W; Whitney 1932)  
A graph  $G$  having at least three vertices is 2-connected  $\Leftrightarrow$  for each pair  $u, v \in V(G)$  there exist internally disjoint  $u, v$ -paths in  $G$

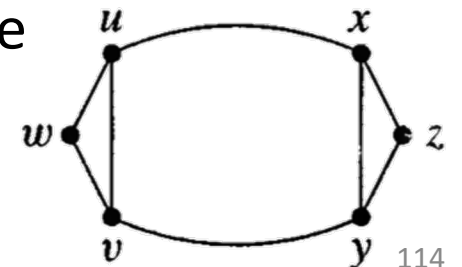


# Equivalent definitions for 2-connected graphs

- Lemma (4.2.3, W; Expansion Lemma) If  $G$  is a  $k$ -connected graph, and  $G'$  is obtained from  $G$  by adding a new vertex  $y$  with at least  $k$  neighbors in  $G$ , then  $G'$  is  $k$ -connected



- Theorem (4.2.4, W) For a graph  $G$  with at least three vertices, TFAE
  - $G$  is connected and has no cut-vertex
  - For all  $x, y \in V(G)$ , there are internally disjoint  $x, y$ -paths
  - For all  $x, y \in V(G)$ , there is a cycle through  $x$  and  $y$
  - $\delta(G) \geq 1$  and every pair of edges in  $G$  lies on a common cycle



# Ear decomposition

- An **ear** of a graph  $G$  is a maximal **path** whose internal vertices have degree 2 in  $G$

- An **ear decomposition** of  $G$  is a decomposition  $P_0, \dots, P_k$  such that  $P_0$  is a cycle and  $P_i$  for  $i \geq 1$  is an ear of  $P_0 \cup \dots \cup P_i$

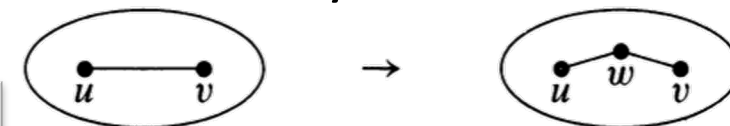
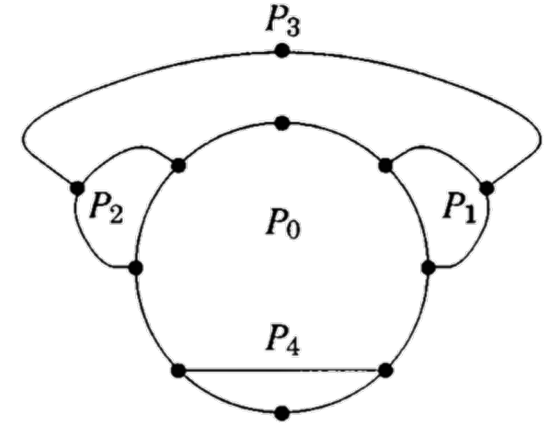
- Theorem (4.2.8, W)

A graph is 2-connected  $\iff$  it has an ear decomposition.

Furthermore, every cycle in a 2-connected graph is the initial cycle in some ear decomposition

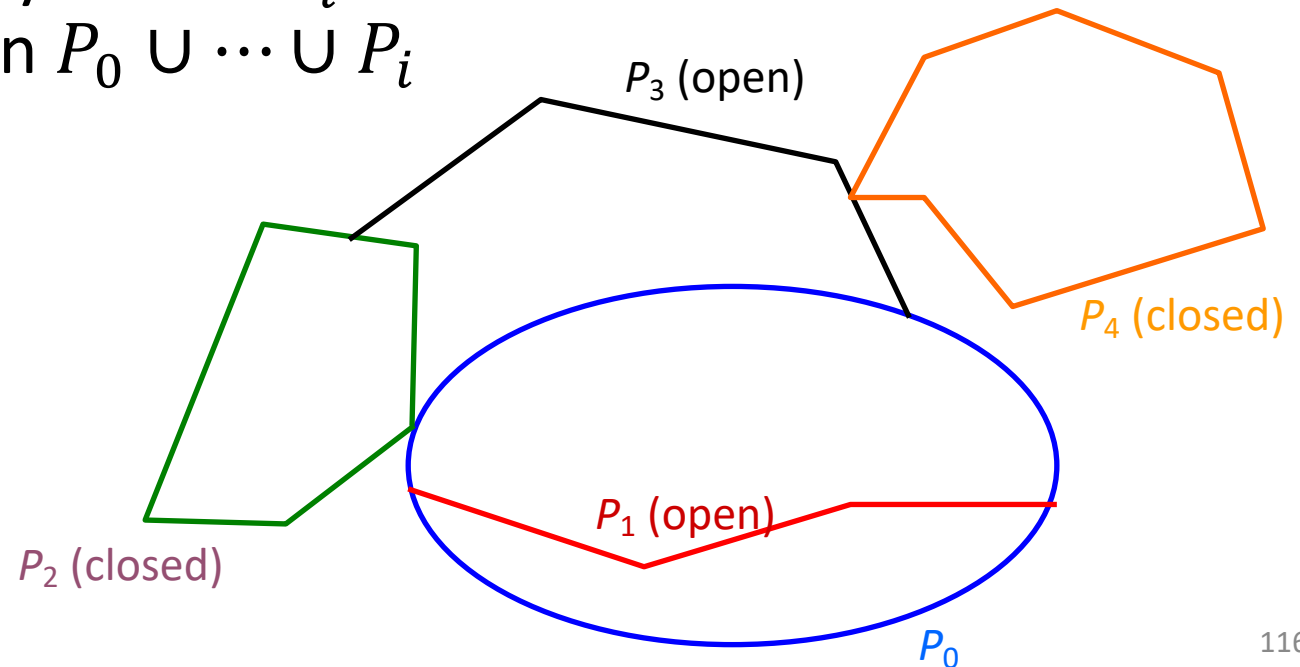
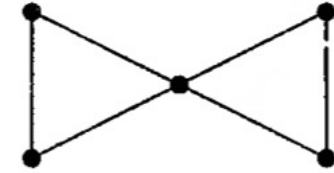
- Corollary (4.2.6, W) If  $G$  is 2-connected, then the graph  $G'$  obtained by **subdividing** an edge of  $G$  is 2-connected

- (Ex14, S1.1.2, H)  $\kappa(G) \geq 2$  implies  $G$  has at least one cycle



# Closed-ear

- A **closed ear** of a graph  $G$  is a **cycle**  $C$  such that all vertices of  $C$  except one have degree 2 in  $G$
- A **closed-ear decomposition** of  $G$  is a decomposition  $P_0, \dots, P_k$  such that  $P_0$  is a cycle and  $P_i$  for  $i \geq 1$  is an (open) ear or a closed ear in  $P_0 \cup \dots \cup P_i$



# Closed-ear decomposition

- Theorem (4.2.10, W)

A graph is 2-edge-connected  $\Leftrightarrow$  it has a closed-ear decomposition.  
Every cycle in a 2-edge-connected graph is the initial cycle in some such decomposition

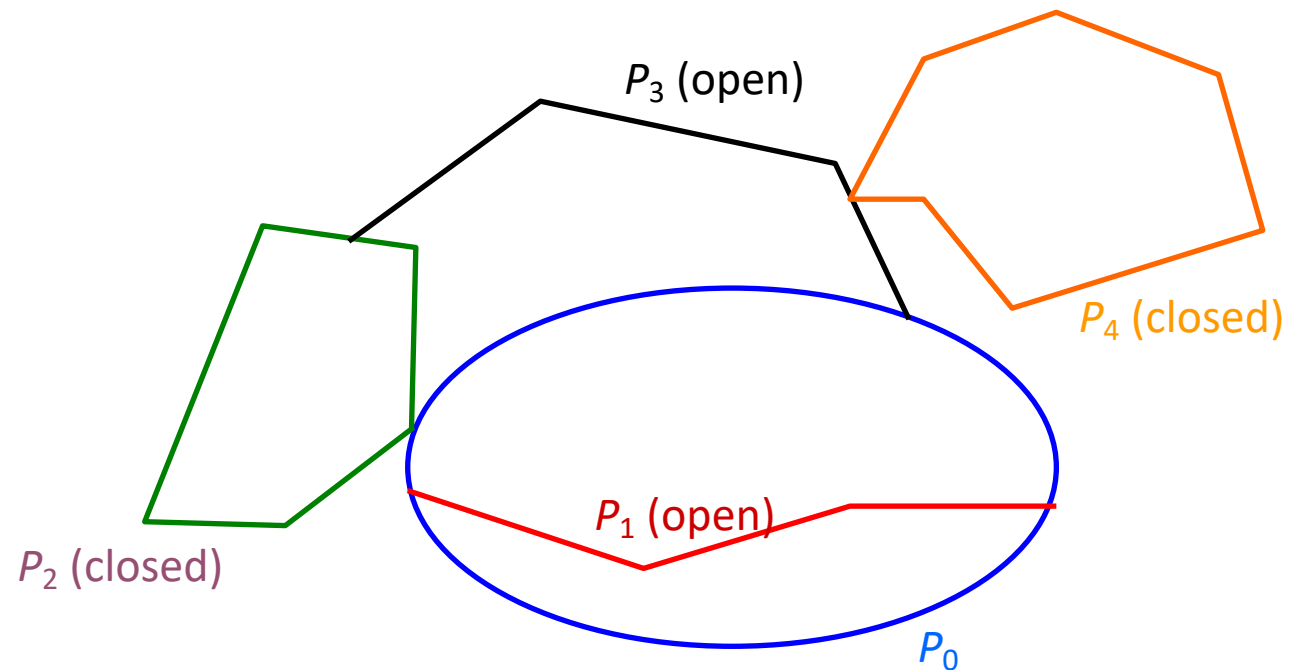
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An edge  $e$  is a bridge  $\Leftrightarrow e$  lies on no cycle of  $G$

- Or equivalently, an edge  $e$  is not a bridge  $\Leftrightarrow e$  lies on a cycle of  $G$

# Strong orientation (Revisited)

- **Theorem** (2.5, L; 4.2.14, W; Robbins 1939) A graph has a strong orientation, i.e. an orientation that is a strongly connected digraph  $\Leftrightarrow$  it is 2-edge-connected



# k-Connected and k-Edge- Connected graphs

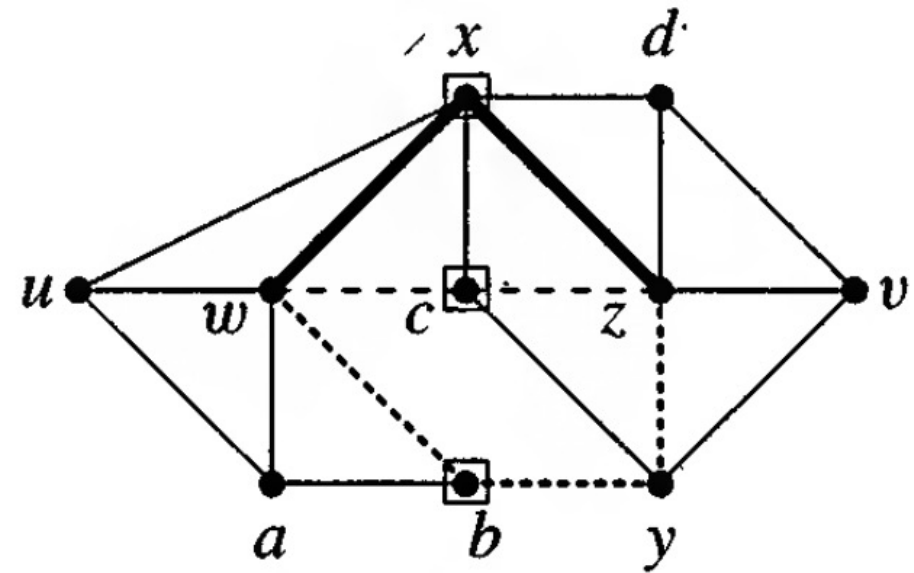
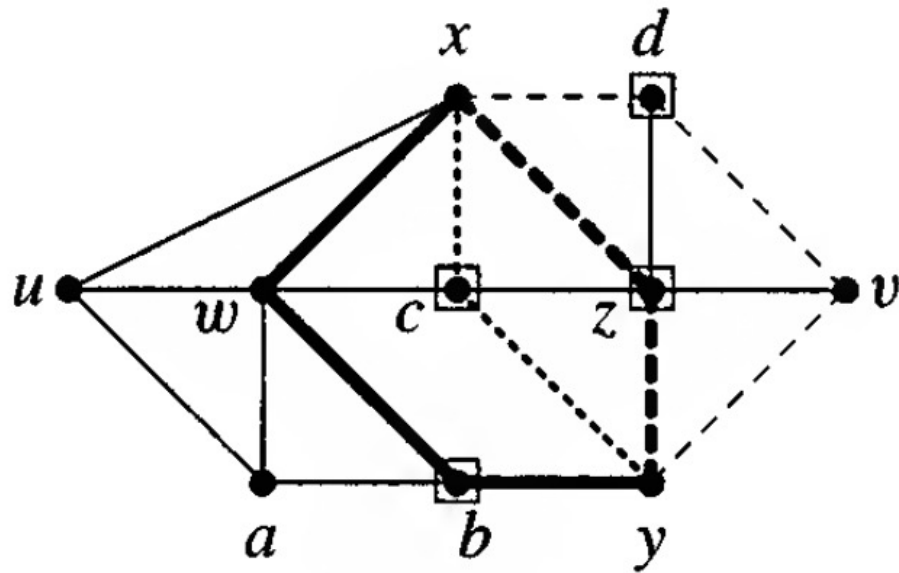
# $x, y$ -cut

- Given  $x, y \in V(G)$ , a set  $S \subseteq V(G) - \{x, y\}$  is an  $x, y$ -separator or  **$x, y$ -cut** if  $G - S$  has no  $x, y$ -path
  - Let  $\kappa(x, y)$  be the minimum size of an  $x, y$ -cut
  - Let  $\lambda(x, y)$  be the maximum size of a set of pairwise internally disjoint  $x, y$ -paths
  - $\kappa(x, y) \geq \lambda(x, y)$
- For  $X, Y \subseteq V(G)$ , an  **$X, Y$ -path** is a path having first vertex in  $X$ , last vertex in  $Y$ , and no other vertex in  $X \cup Y$



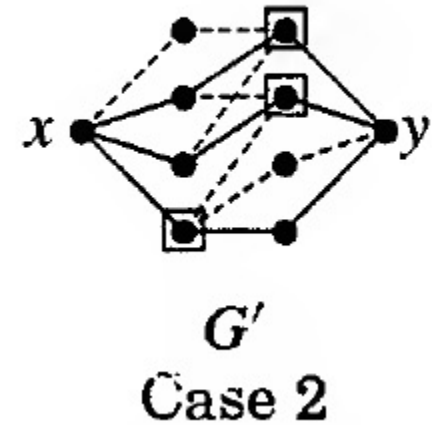
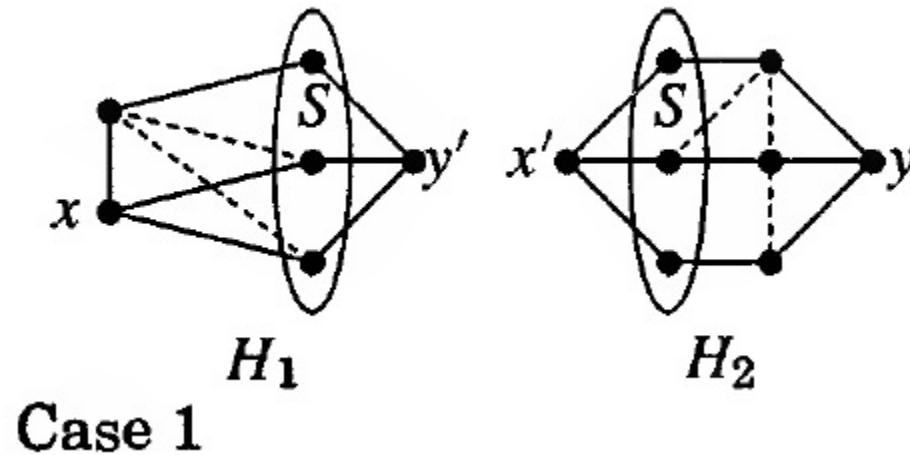
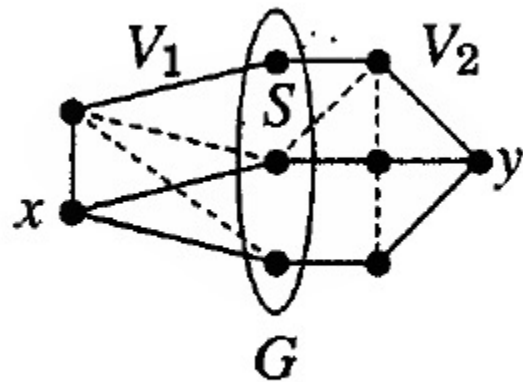
# Example (4.2.16, W)

- $S = \{b, c, z, d\}$
- $\kappa(x, y) = \lambda(x, y) = 4$
- $\kappa(w, z) = \lambda(w, z) = 3$



# Menger's Theorem

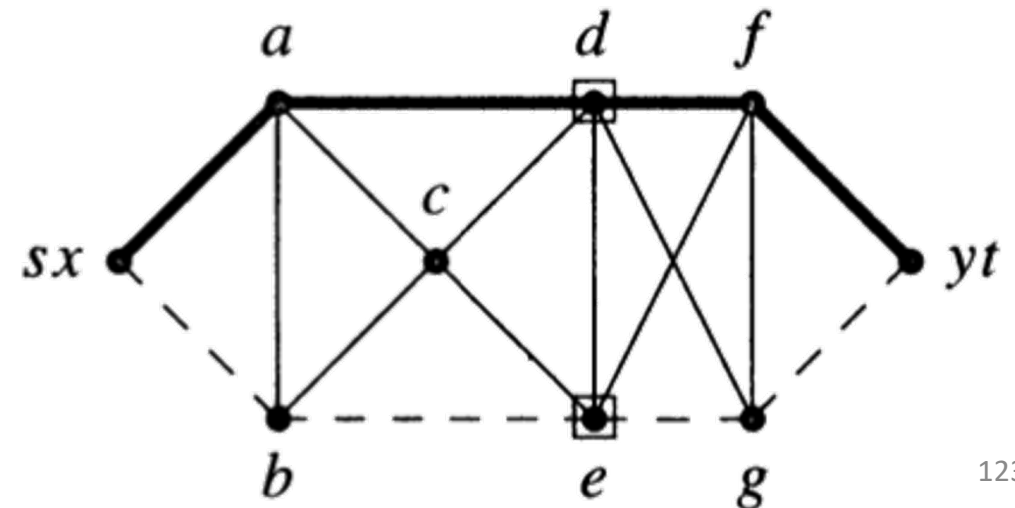
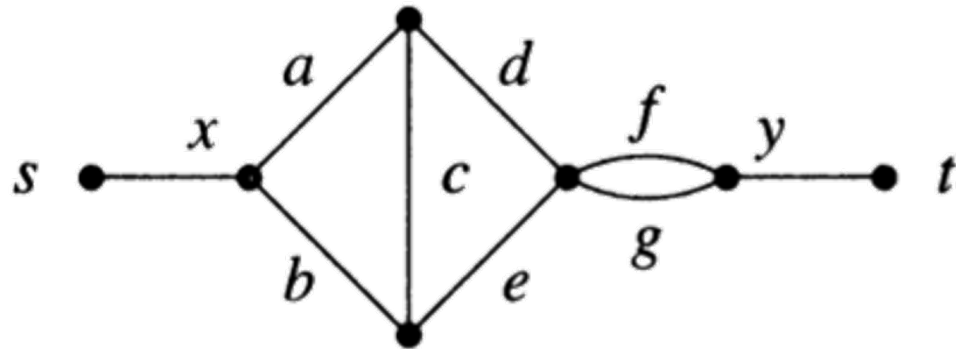
- **Theorem** (4.2.17, W; 3.3.1, D; Menger, 1927) If  $x, y$  are vertices of a graph  $G$  and  $xy \notin E(G)$ , then  $\kappa(x, y) = \lambda(x, y)$



**Theorem** (3.1.16, W; 1.53, H; 2.1.1, D; König 1931; Egeváry 1931)  
 Let  $G$  be a bipartite graph. The **maximum** size of a matching in  $G$  is equal to the **minimum** size of a vertex cover of its edges

# Edge version

- Theorem (4.2.19, W) If  $x$  and  $y$  are **distinct** vertices of a graph  $G$ , then the minimum size  $\kappa'(x, y)$  of an  $x, y$ -disconnecting set of edges equals the maximum number  $\lambda'(x, y)$  of pairwise edge-disjoint  $x, y$ -paths
  - The **line graph**  $L(G)$  of a graph  $G$  is the graph whose vertices are the edges of  $G$  with  $ef \in E(L(G))$  when  $e = uv$  and  $f = vw$  in  $G$

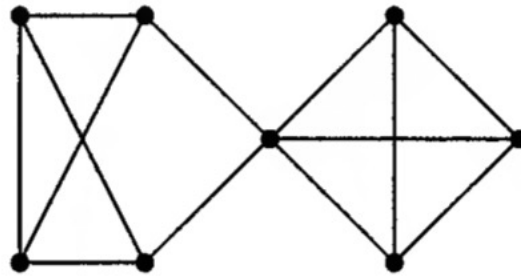


# Back to connectivity

- Theorem (4.2.21, W)

$$\kappa(G) = \min_{x \neq y \in V(G)} \lambda(x, y), \quad \lambda(G) = \min_{x \neq y \in V(G)} \lambda'(x, y)$$

- Lemma (4.2.20, W) Deletion of an edge reduces connectivity by at most 1



# Application of Menger's Theorem

# CSDR

- Let  $\mathbf{A} = A_1, \dots, A_m$  and  $\mathbf{B} = B_1, \dots, B_m$  be two family of sets. A **common system of distinct representatives (CSDR)** is a set of  $m$  elements that is both an system of distinct representatives (SDR) for  $\mathbf{A}$  and an SDR for  $\mathbf{B}$

- Given some family of sets  $X$ , a **system of distinct representatives** for the sets in  $X$  is a 'representative' collection of distinct elements from the sets of  $X$

$$S_1 = \{2, 8\},$$

$$S_2 = \{8\},$$

$$S_3 = \{5, 7\},$$

$$S_4 = \{2, 4, 8\},$$

$$S_5 = \{2, 4\}.$$

The family  $X_1 = \{S_1, S_2, S_3, S_4\}$  does have an SDR, namely  $\{2, 8, 7, 4\}$ . The family  $X_2 = \{S_1, S_2, S_4, S_5\}$  does not have an SDR.

- Theorem(1.52, H)** Let  $S_1, S_2, \dots, S_k$  be a collection of finite, nonempty sets. This collection has SDR  $\Leftrightarrow$  for every  $t \in [k]$ , the union of any  $t$  of these sets contains at least  $t$  elements

# Equivalent condition for CSDR

- Theorem (4.2.25, W; Ford-Fulkerson 1958) Families  $\mathbf{A} = \{A_1, \dots, A_m\}$  and  $\mathbf{B} = \{B_1, \dots, B_m\}$  have a common system of distinct representatives (CSDR)  $\Leftrightarrow$

$$\left| \left( \bigcup_{i \in I} A_i \right) \cap \left( \bigcup_{j \in J} B_j \right) \right| \geq |I| + |J| - m$$

for every pair  $I, J \subseteq [m]$

# Lecture 7: Coloring

Shuai Li

John Hopcroft Center, Shanghai Jiao Tong University

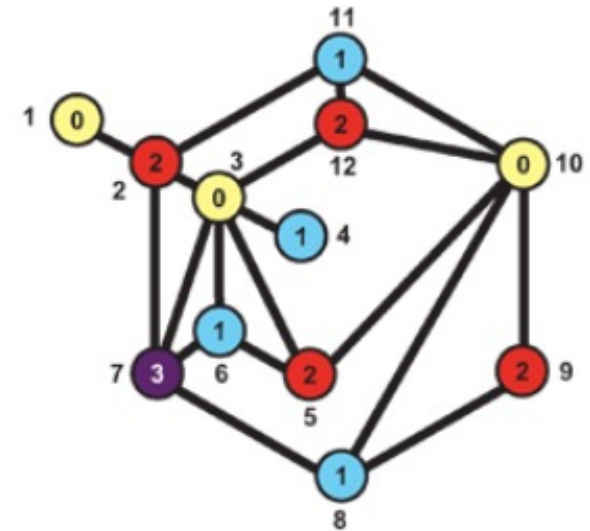
<https://shuaili8.github.io>

<https://shuaili8.github.io/Teaching/CS445/index.html>



# Motivation: Scheduling and coloring

- University examination timetabling
  - Two courses linked by an edge if they have the same students
- Meeting scheduling
  - Two meetings are linked if they have same member



# Definitions

- Given a graph  $G$  and a positive integer  $k$ , a  **$k$ -coloring** is a function  $K: V(G) \rightarrow \{1, \dots, k\}$  from the vertex set into the set of positive integers less than or equal to  $k$ . If we think of the latter set as a set of  $k$  “colors,” then  $K$  is an assignment of one color to each vertex.
- We say that  $K$  is a **proper  $k$ -coloring** of  $G$  if for every pair  $u, v$  of adjacent vertices,  $K(u) \neq K(v)$  — that is, if adjacent vertices are colored differently. If such a coloring exists for a graph  $G$ , we say that  $G$  is  **$k$ -colorable**
- In a proper coloring, each color class is an independent set. Then  $G$  is  $k$ -colorable  $\iff V(G)$  is the union of  $k$  independent sets

# Chromatic number

- Given a graph  $G$ , the **chromatic number** of  $G$ , denoted by  $\chi(G)$ , is the smallest integer  $k$  such that  $G$  is  $k$ -colorable.  $G$  is said to be  **$k$ -chromatic**

- Examples

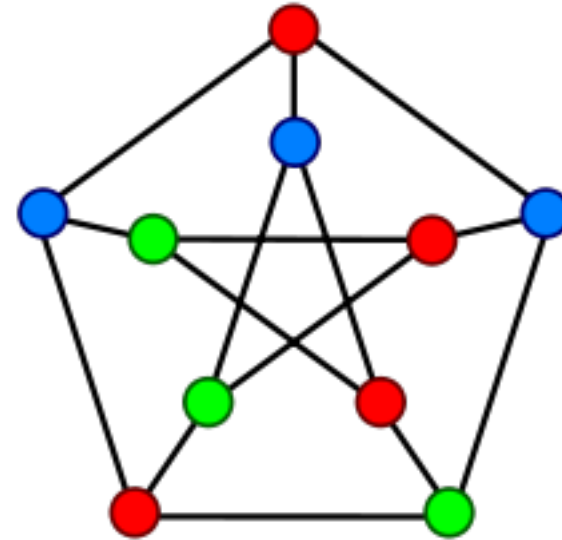
$$\chi(C_n) = \begin{cases} 2 & \text{if } n \text{ is even,} \\ 3 & \text{if } n \text{ is odd,} \end{cases}$$

$$\chi(P_n) = \begin{cases} 2 & \text{if } n \geq 2, \\ 1 & \text{if } n = 1, \end{cases}$$

$$\chi(K_n) = n,$$

$$\chi(E_n) = 1, \leftarrow \text{Empty graph}$$

$$\chi(K_{m,n}) = 2.$$



- (Ex5, S1.6.1, H) A graph  $G$  of order at least two is bipartite  $\Leftrightarrow$  it is 2-colorable

**Theorem** (1.2.18, W, König 1936)

A graph is bipartite  $\Leftrightarrow$  it contains no odd cycle

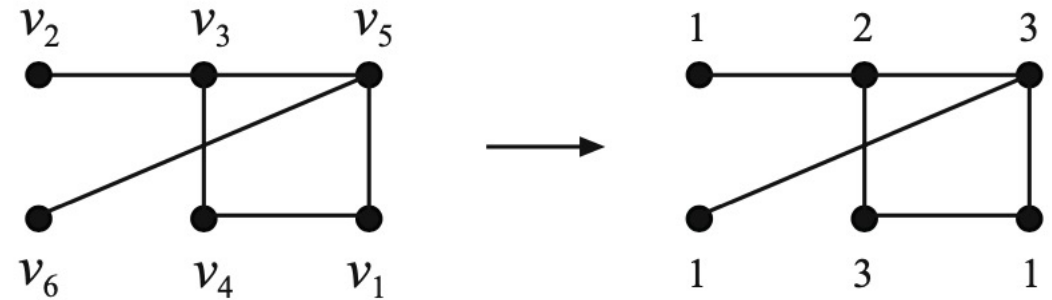
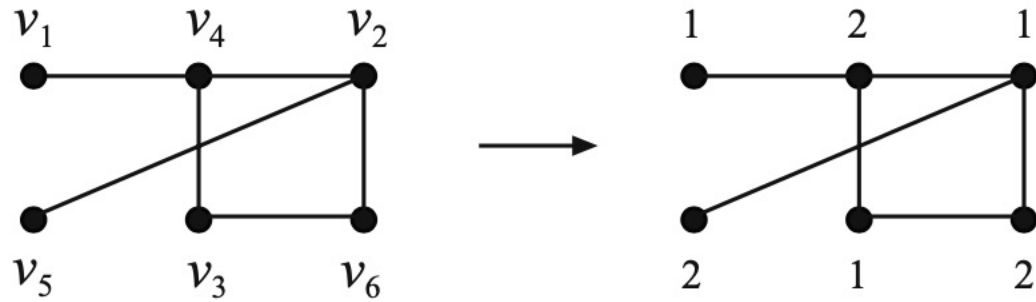
# Bounds on Chromatic number

- Theorem (1.41, H) For any graph  $G$  of order  $n$ ,  $\chi(G) \leq n$
- It is tight since  $\chi(K_n) = n$
- $\chi(G) = n \iff G = K_n$

# Greedy algorithm

- First label the vertices in some order—call them  $v_1, v_2, \dots, v_n$
- Next, order the available colors  $(1, 2, \dots, n)$  in some way
  - Start coloring by assigning color 1 to vertex  $v_1$
  - If  $v_1$  and  $v_2$  are adjacent, assign color 2 to vertex  $v_2$ ; otherwise, use color 1
  - To color vertex  $v_i$ , use the first available color that has not been used for any of  $v_i$ 's previously colored neighbors

# Examples: Different orders result in different number of colors

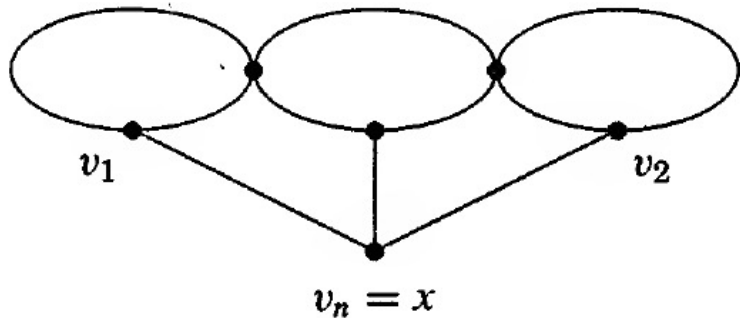


# Bound using the greedy algorithm

- Theorem (1.42, H) For any graph  $G$ ,  $\chi(G) \leq \Delta(G) + 1$   
The equality is obtained for complete graphs and odd cycles

# Brooks's theorem

- **Theorem** (1.43, H; 5.1.22, W; 5.2.4, D; Brooks 1941)  
If  $G$  is a connected graph that is neither an odd cycle or a complete graph, then  $\chi(G) \leq \Delta(G)$

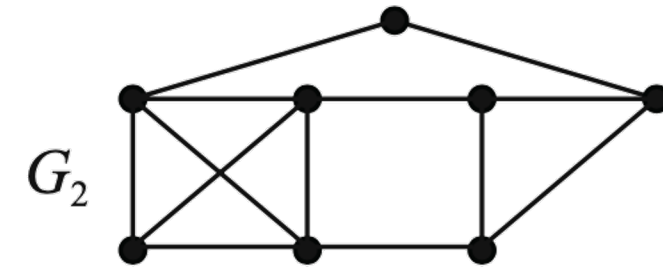
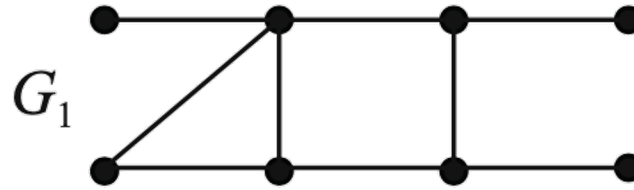


- $\Rightarrow$  The Petersen graph is 3-colorable

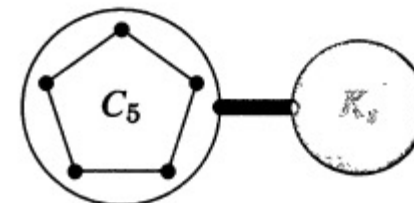


# Chromatic number and clique number

- The **clique number**  $\omega(G)$  of a graph is defined as the order of the largest complete graph that is a subgraph of  $G$
- Example:  $\omega(G_1) = 3, \omega(G_2) = 4$



- Theorem (1.44, H; 5.1.7, W) For any graph  $G$ ,  $\chi(G) \geq \omega(G)$
- Example (5.1.8, W) For  $G = C_{2r+1} \vee K_s$ ,  $\chi(G) > \omega(G)$



# Chromatic number and independence number

- Theorem (1.45, H; 5.1.7, W; Ex6, S1.6.2, H) For any graph  $G$  of order  $n$ ,

$$\frac{n}{\alpha(G)} \leq \chi(G) \leq n + 1 - \alpha(G)$$

The **independence number** of a graph  $G$ , denoted as  $\alpha(G)$ , is the largest size of an independent set

In a proper coloring, each color class is an independent set. Then  $G$  is  $k$ -colorable  $\Leftrightarrow V(G)$  is the union of  $k$  independent sets

# Extremal properties for $k$ -chromatic graphs

- Proposition (5.2.5, W) Every  $k$ -chromatic graph with  $n$  vertices has **at least**  $\binom{k}{2}$  edges
  - Equality holds for a complete graph plus isolated vertices.

In a proper coloring, each color class is an independent set. Then  $G$  is  $k$ -colorable  $\Leftrightarrow V(G)$  is the union of  $k$  independent sets

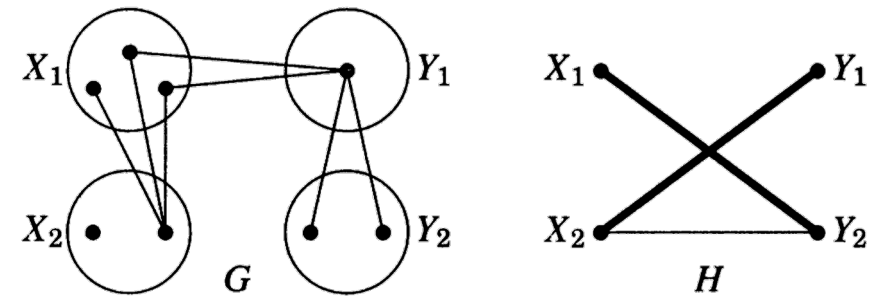
- The **Turán graph**  $T_{n,r}$  is the complete  $r$ -partite graph with  $n$  vertices whose partite sets differ by at most 1 vertex
  - Every partite set has size  $\lfloor n/r \rfloor$  or  $\lceil n/r \rceil$
- Lemma (5.2.8, W) Among simple  $r$ -partite (that is,  $r$ -colorable) graphs with  $n$  vertices, the Turán graph is the unique graph with the **most** edges
- Turán's Theorem (5.2.9, W; Turán 1941) Among the  $n$ -vertex simple  $K_{r+1}$ -free graphs,  $T_{n,r}$  has the maximum number of edges

# Color-critical

- If  $\chi(H) < \chi(G) = k$  for every proper subgraph  $H$ , then  $G$  is **color-critical** or  **$k$ -critical**
- $K_2$  is the only 2-critical graph  
 $K_1$  is the only 1-critical graph
- (5.2.12, W) A graph with no isolated vertices is color-critical  $\Leftrightarrow \chi(G - e) < \chi(G)$  for every edge  $e \in E(G)$
- Proposition (5.2.13, W) Let  $G$  be a  $k$ -critical graph
  - (a) For every  $v \in V(G)$ , there is a proper coloring such that  $v$  has a unique color and other  $k - 1$  colors all appear on  $N(v)$   
 $\Rightarrow \delta(G) \geq k - 1$
  - (b) For every  $e \in E(G)$ , every proper  $(k - 1)$ -coloring of  $G - e$  gives the same color to the two endpoints of  $e$

# Color-critical has edge-connectivity

- Theorem (5.2.16, W; Dirac 1953) Every  $k$ -critical graph is  $(k - 1)$ -edge-connected
- Lemma (5.2.15, W; Kainen) Let  $G$  be a graph with  $\chi(G) > k$  and let  $X, Y$  be a partition of  $V(G)$ . If  $G[X]$  and  $G[Y]$  are  $k$ -colorable, then the edge cut  $[X, Y]$  has at least  $k$  edges

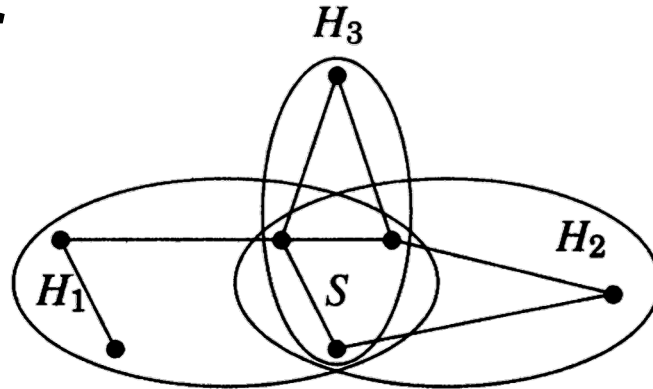


**Theorem** (3.1.16, W; 1.53, H; 2.1.1, D; König 1931; Egeváry 1931)  
Let  $G$  be a bipartite graph. The **maximum** size of a matching in  $G$  is equal to the **minimum** size of a vertex cover of its edges

**Remark** (4.1.8, W) Every minimal disconnecting set of edges is an edge cut

# Color-critical and vertex cut set

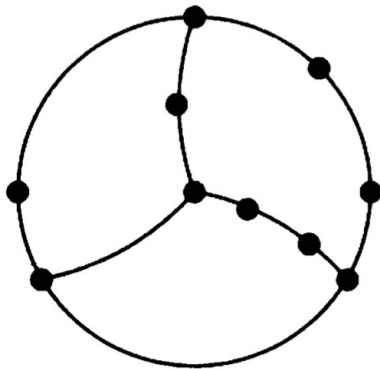
- Let  $S$  be a set of vertices in a graph  $G$ . An  **$S$ -lobe** of  $G$  is an induced subgraph of  $G$  whose vertex set consists of  $S$  and the vertices of a component in  $G - S$



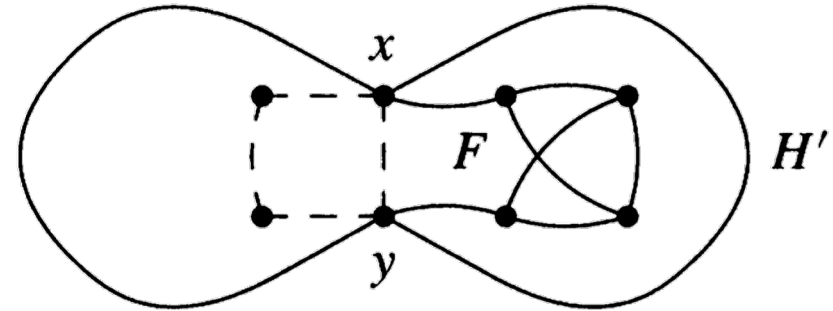
- Proposition (5.2.18, W) If  $G$  is  $k$ -critical, then  $G$  has no clique cutset. In particular, if  $G$  has a cutset  $S = \{x, y\}$ , then  $x, y$  are non-adjacent and  $G$  has an  $S$ -lobe  $H$  such that  $\chi(H + xy) = k$

# Chromatic number 4 has a $K_4$ -subdivision

- Theorem (5.2.20, W; Dirac 1952) Every graph with chromatic number at least 4 contains a  $K_4$ -subdivision



a subdivision of  $K_4$



**Proposition** (5.2.18, W) If  $G$  is  $k$ -critical, then  $G$  has no clique cutset. In particular, if  $G$  has a cutset  $S = \{x, y\}$ , then  $x, y$  are non-adjacent and  $G$  has an  $S$ -lobe  $H$  such that  $\chi(H + xy) = k$

**Lemma** (4.2.3, W; Expansion Lemma) If  $G$  is a  $k$ -connected graph, and  $G'$  is obtained from  $G$  by adding a new vertex  $y$  with at least  $k$  neighbors in  $G$ , then  $G'$  is  $k$ -connected



# Hajós' conjecture

- Hajós' conjecture [1961]: Every  $k$ -chromatic graph contains a subdivision of  $K_k$
- $k = 2$ : Every 2-chromatic graph has a nontrivial path
- $k = 3$ : Every 3-chromatic graph has a cycle
- It is open for  $k = 5, 6$
- **Exercise** (Ex5.2.40, W) It is false for  $k = 7$  or 8



# Chromatic Polynomials

# Definition and examples

- It is brought up by George David Birkhoff in 1912 in an attempt to prove the four color theorem
- Define  $\chi(G; k)$  to be the number of different colorings of a graph  $G$  using at most  $k$  colors
- Examples:
  - How many different colorings of  $K_4$  using 4 colors?
    - $4 \times 3 \times 2 \times 1$
    - $\chi(K_4; 4) = 24$
  - How many different colorings of  $K_4$  using 6 colors?
    - $6 \times 5 \times 4 \times 3$
    - $\chi(K_4; 6) = 360$
  - How many different colorings of  $K_4$  using 2 colors?
    - 0
    - $\chi(K_4; 2) = 0$

# Examples

- If  $k \geq n$

$$\chi(K_n; k) = k(k - 1) \cdots (k - n + 1)$$

- If  $k < n$

$$\chi(K_n; k) = 0$$

- $G$  is  $k$ -colorable  $\Leftrightarrow \chi(G) \leq k \Leftrightarrow \chi(G; k) > 0$
- $\chi(G) = \min\{k \geq 1: \chi(G; k) > 0\}$

# Chromatic recurrence

- $G - e$  and  $G/e$

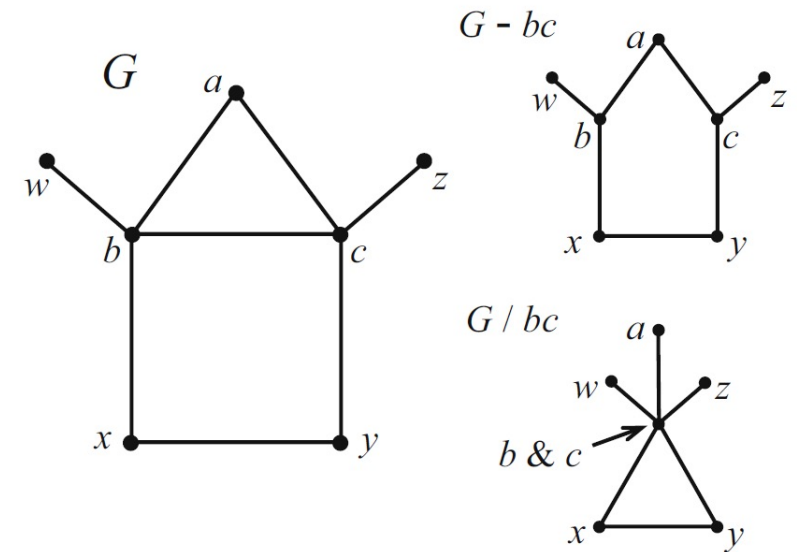


FIGURE 1.98. Examples of the operations.

- **Theorem** (1.48, H; 5.3.6, W) Let  $G$  be a graph and  $e$  be any edge of  $G$ . Then

$$\chi(G; k) = \chi(G - e; k) - \chi(G/e; k)$$

# Use chromatic recurrence to compute $\chi(G; k)$

- Example: Compute  $\chi(P_3; k) = k^4 - 3k^3 + 3k^2 - k$
- Check:  $\chi(P_3; 1) = 0, \chi(P_3; 2) = 2$



FIGURE 1.102. Two 2-colorings of  $P_3$

- Example: What is  $\chi(K_n - e; k)$ ?

# More examples

- Path  $P_{n-1}$  has  $n - 1$  edges ( $n$  vertices)

$$\chi(P_{n-1}; k) = k(k - 1)^{n-1}$$

- Any tree  $T$  on  $n$  vertices

$$\chi(T; k) = k(k - 1)^{n-1}$$

- Cycle  $C_n$

$$\chi(C_n; k) = (k - 1)^n + (-1)^n (k - 1)$$

- When  $n$  is odd,  $\chi(C_n; 2) = 0, \chi(C_n; 3) > 0$
- When  $n$  is even,  $\chi(C_n; 2) > 0$

# Properties of chromatic polynomials

- Theorem (1.49, H; Ex 3, S1.6.4, H) Let  $G$  be a graph of order  $n$ 
  - $\chi(G; k)$  is a polynomial in  $k$  of degree  $n$
  - The leading coefficient of  $\chi(G; k)$  is 1
  - The constant term of  $\chi(G; k)$  is 0
    - If  $G$  has  $i$  components, then the coefficients of  $k^0, \dots, k^{i-1}$  are 0
    - $G$  is connected  $\Leftrightarrow$  the coefficient of  $k$  is nonzero
  - The coefficients of  $\chi(G; k)$  alternate in sign
  - The coefficient of the  $k^{n-1}$  term is  $-|E(G)|$ 
    - A graph  $G$  is a tree  $\Leftrightarrow \chi(G; k) = k(k-1)^{n-1}$ 
      - $\Leftrightarrow$  (Theorem 1.10, 1.12, H)  $T$  is connected with  $n - 1$  edges
  - A graph  $G$  is complete  $\Leftrightarrow \chi(G; k) = k(k-1) \cdots (k-n+1)$

# Simplicial elimination ordering

- Roots for the chromatic polynomials?  
Fundamental theorem of algebra
- A vertex of  $G$  is **simplicial** if its neighborhood in  $G$  induces a clique
- A **simplicial elimination ordering** is an ordering  $v_n, \dots, v_1$  for deletion of vertices s.t. each vertex  $v_i$  is a simplicial vertex of the graph reduced by  $\{v_1, \dots, v_i\}$
- Chromatic polynomials  
If we have colored  $v_1, \dots, v_{i-1}$ , then there are  $k - d(i)$  ways to color  $v_i$  where  $d(i) = |N(v_i) \cap \{v_1, \dots, v_{i-1}\}|$ . Thus

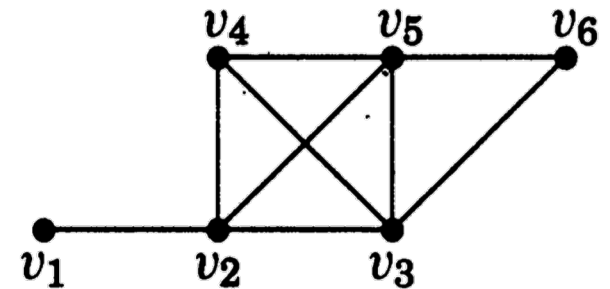
$$\chi(G; k) = \prod_{i=1}^n (k - d(i))$$

Nice factorization property!



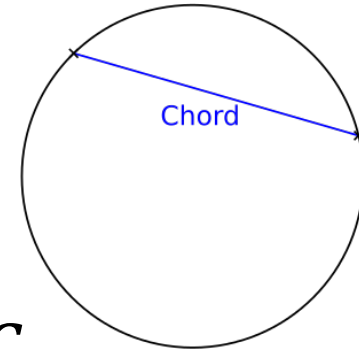
# Examples

- In a tree, a simplicial elimination ordering is a successive deletion of leaves
  - Another proof for  $\chi(T; k) = k(k - 1)^{n-1}$
- Example (5.3.13, W)  $v_6, \dots, v_1$  is a simplicial elimination ordering. The values  $d(i)$  are 0,1,1,2,3,2. Thus the chromatic polynomial is  $k(k - 1)(k - 1)(k - 2)(k - 3)(k - 2)$

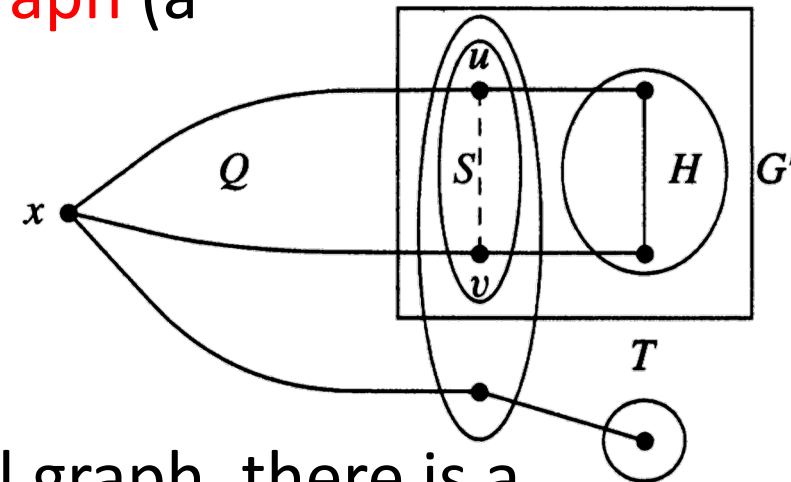


- **Exercise** (Ex 5.3.19, W) There exists some graph without simplicial elimination ordering but has a nice factorization form for chromatic polynomial
  - The existence of simplicial elimination ordering is a **sufficient** condition for the chromatic polynomial having all real roots, but **not necessary**

# Chordal graphs



- A **chord** of a cycle  $C$  is an edge not in  $C$  whose endpoints lie in  $C$
- A **chordless cycle** in  $G$  is a cycle of length at least 4 that has no chord
- Theorem (5.3.17, W; Dirac 1961) A simple graph has a simplicial elimination ordering  $\Leftrightarrow$  it is a **chordal graph** (a simple graph without chordless cycle)
- TONCAS!
- Further  $\chi(C_n; k) = (k - 1)^n + (-1)^n (k - 1)$  does not have a degree-1 decomposition
- Lemma (5.3.16, W) For every vertex  $x$  in a chordal graph, there is a simplicial vertex of  $G$  among the vertices farthest from  $x$



# Lecture 8: Planarity

Shuai Li

John Hopcroft Center, Shanghai Jiao Tong University

<https://shuaili8.github.io>

<https://shuaili8.github.io/Teaching/CS445/index.html>

# Motivation

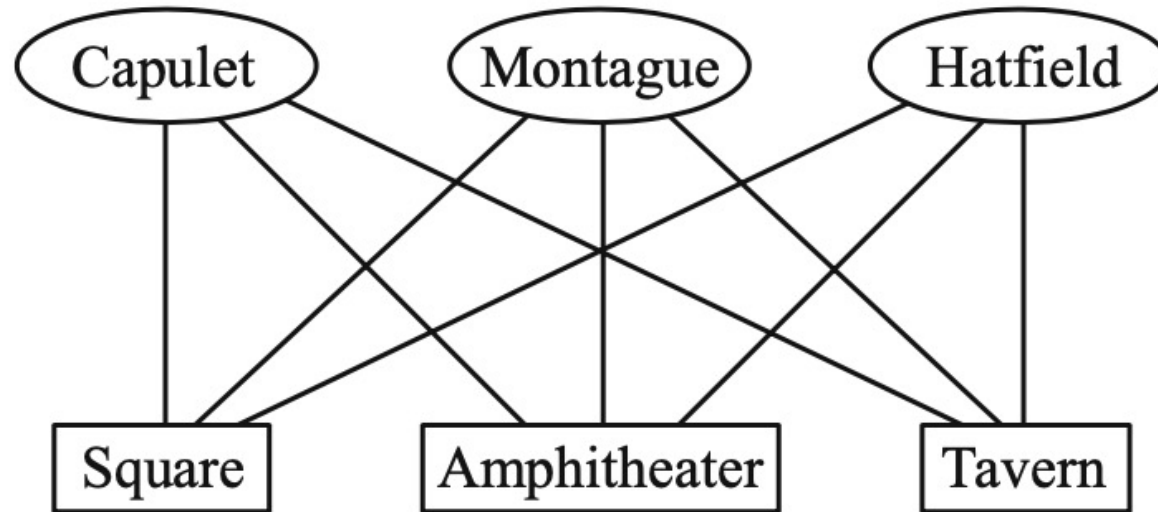


FIGURE 1.72. Original routes.

# Definition and examples

- A graph  $G$  is said to be **planar** if it can be drawn in the plane in such a way that pairs of edges intersect only at vertices
- If  $G$  has no such representation,  $G$  is called **nonplanar**
- A drawing of a planar graph  $G$  in the plane in which edges intersect only at vertices is called a **planar representation** (or a planar embedding) of  $G$

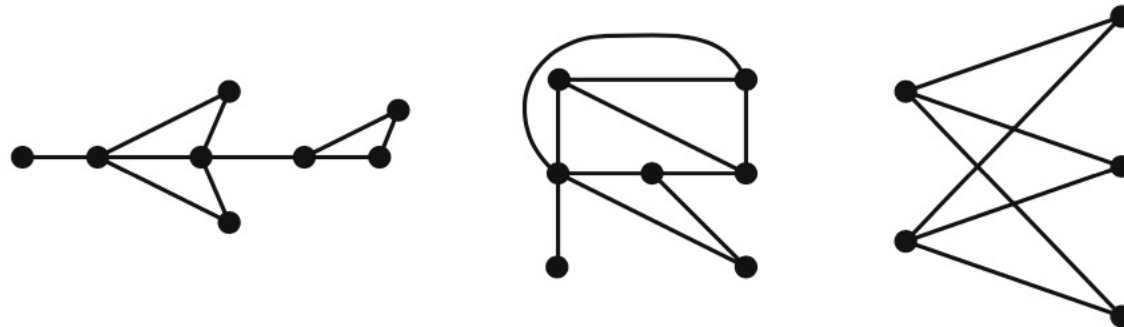
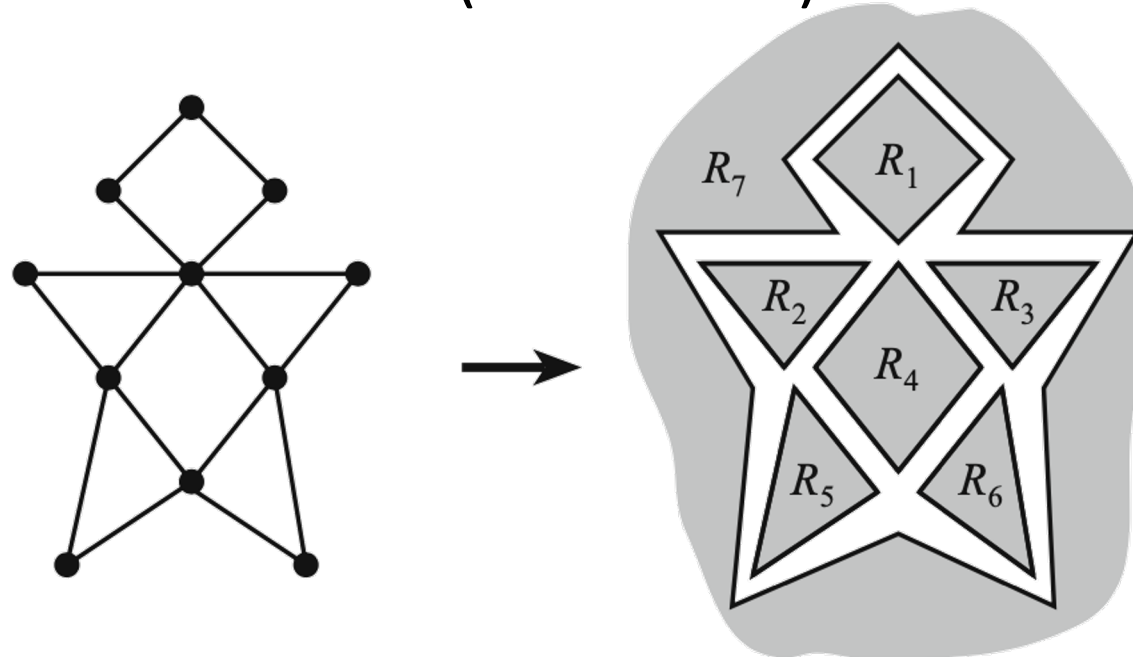


FIGURE 1.73. Examples of planar graphs.

# Face

- Given a planar representation of a graph  $G$ , a **face** is a maximal region (polygonal open set) of the plane in which any two points can be joined by a curve that does not intersect any part of  $G$
- The face  $R_7$  is called the **outer** (or exterior) face



# Face - properties

- An edge can come into **contact** with either one or two faces
- Example:
  - Edge  $e_1$  is only in contact with one face  $S_1$
  - Edge  $e_2, e_3$  are only in contact with  $S_2$
  - Each of other edges is in contact with two faces
- An edge  $e$  **bounds** a face  $F$  if  $e$  comes into contact with  $F$  and with a face **different** from  $F$
- The **bounded degree**  $b(F)$  is the number of edges that bound the face
  - Example:  $b(S_1) = b(S_3) = 3, b(S_2) = 6$

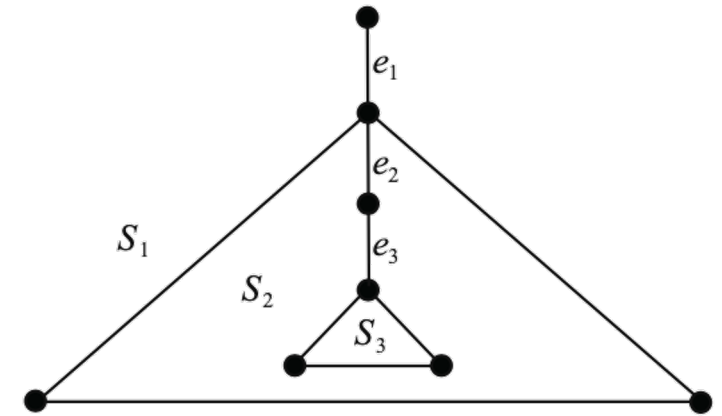


FIGURE 1.76. Edges  $e_1, e_2,$  and  $e_3$  touch one face only.

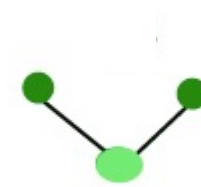
# Face - properties 2

- The **length** of a face in a plane graph  $G$  is the total length of the closed walk(s) in  $G$  bounding the face
- Proposition (6.1.13, W) If  $l(F)$  denotes the length of face  $F$  in a plane graph  $G$ , then  $2|E(G)| = \sum l(F_i)$
- **Theorem** (Restricted Jordan Curve Theorem) A simple closed polygonal curve  $C$  consisting of finitely many segments partitions the plane into exactly two faces, each having  $C$  as boundary



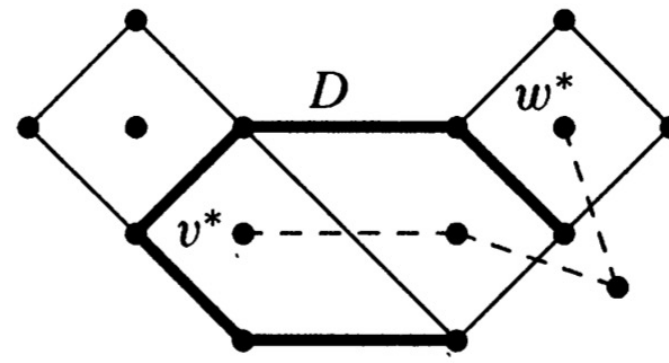
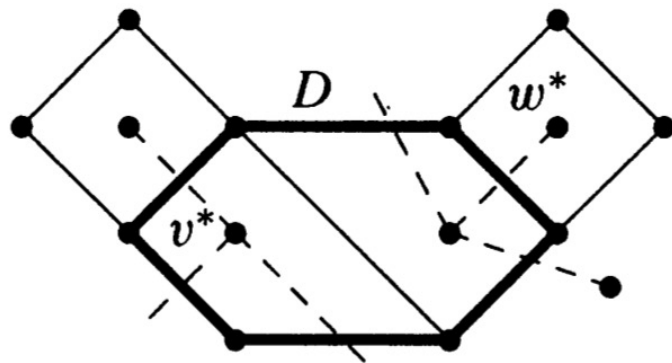
# Bond

- An edge cut may contain another edge cut
- Example:  $K_{1,2}$  or star graphs
- A **bond** is a minimal nonempty edge cut
- Proposition (4.1.15, W) If  $G$  is a connected graph, then an edge cut  $F$  is a bond  $\iff G - F$  has exactly two components



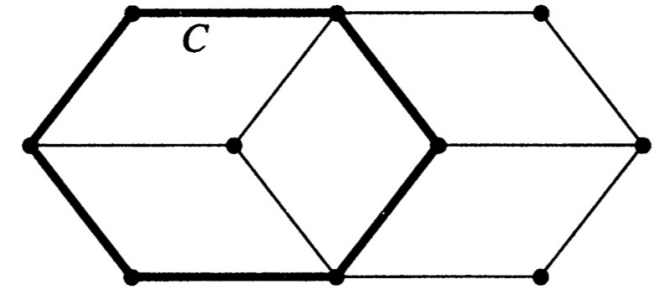
# Dual graph

- The **dual graph**  $G^*$  of a plane graph  $G$  is a plane graph whose vertices are faces of  $G$  and edges are those contacting two faces
- Theorem (6.1.14, W) Edges in a plane graph  $G$  form a cycle in  $G \Leftrightarrow$  the corresponding dual edges form a bond in  $G^*$



# Dual graph of bipartite graph

- Theorem (6.1.16, W) TFAE for a plane graph  $G$ 
  - (a)  $G$  is bipartite
  - (b) Every face of  $G$  has even length
  - (c) The dual graph  $G^*$  is Eulerian

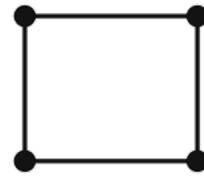


**Theorem** (1.2.18, W, König 1936)

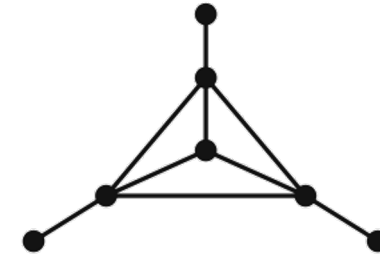
A graph is bipartite  $\Leftrightarrow$  it contains no odd cycle

# The relationship between numbers of vertices, edges and faces

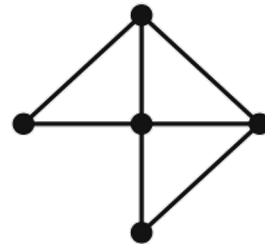
- The number of vertices  $n$
- The number of edges  $m$
- The number of faces  $f$



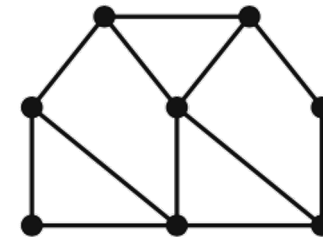
$$\begin{aligned}n &= 4 \\m &= 4 \\f &= 2\end{aligned}$$



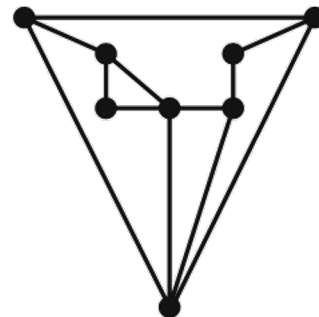
$$\begin{aligned}n &= 7 \\m &= 9 \\f &= 4\end{aligned}$$



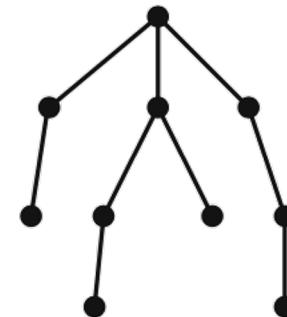
$$\begin{aligned}n &= 5 \\m &= 7 \\f &= 4\end{aligned}$$



$$\begin{aligned}n &= 8 \\m &= 12 \\f &= 6\end{aligned}$$



$$\begin{aligned}n &= 8 \\m &= 12 \\f &= 6\end{aligned}$$



$$\begin{aligned}n &= 10 \\m &= 9 \\f &= 1\end{aligned}$$

# Euler's formula

- **Theorem** (1.31, H; 6.1.21, W; Euler 1758) If  $G$  is a connected planar graph with  $n$  vertices,  $m$  edges, and  $f$  faces, then

$$n - m + f = 2$$

- Need Lemma: (Ex4, S1.5.1, H) Every tree is planar
- (Ex6, S1.5.2, H) Let  $G$  be a planar graph with  $k$  components. Then

$$n - m + f = k + 1$$

$K_{3,3}$  is nonplanar

- Theorem (1.32, H)  $K_{3,3}$  is nonplanar

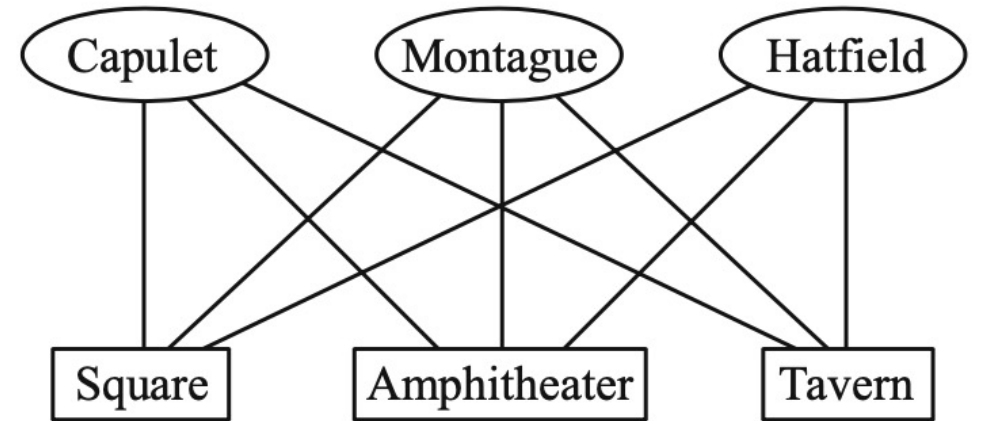


FIGURE 1.72. Original routes.

# Upper bound for $m$

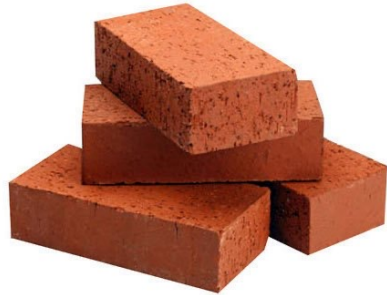
- **Theorem** (1.33, H; 6.1.23, W) If  $G$  is a planar graph with  $n \geq 3$  vertices and  $m$  edges, then  $m \leq 3n - 6$ . Furthermore, if equality holds, then every face is bounded by 3 edges. In this case,  $G$  is maximal
- (Ex4, S1.5.2, H) Let  $G$  be a connected, planar,  $K_3$ -free graph of order  $n \geq 3$ . Then  $G$  has no more than  $2n - 4$  edges
- Corollary (1.34, H)  $K_5$  is nonplanar
- Theorem (1.35, H) If  $G$  is a planar graph, then  $\delta(G) \leq 5$
- (Ex5, S1.5.2, H) If  $G$  is bipartite planar graph, then  $\delta(G) < 4$

# Polyhedra



# (Convex) Polyhedra 多面体

- A **polyhedron** is a solid that is bounded by flat surfaces



# Polyhedra are planar

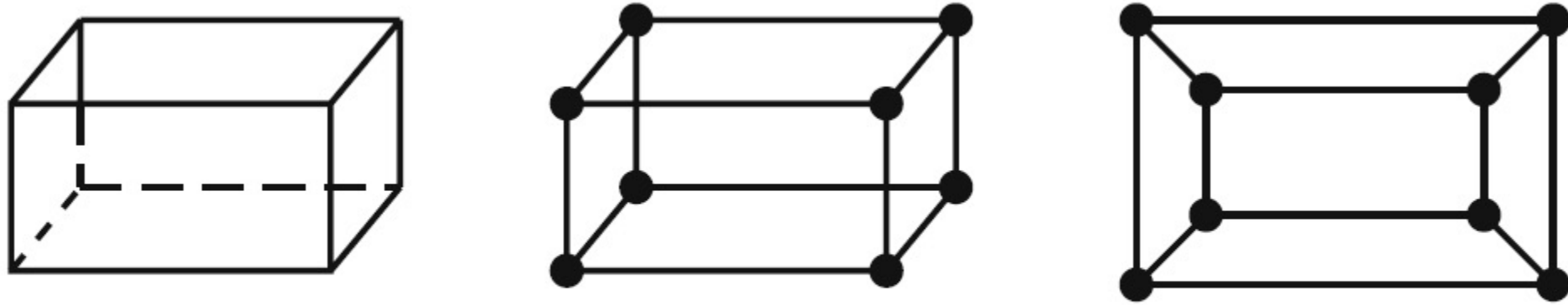


FIGURE 1.81. A polyhedron and its graph.

# Properties

- Theorem (1.36, H) If a polyhedron has  $n$  vertices,  $m$  edges, and  $f$  faces, then

$$n - m + f = 2$$

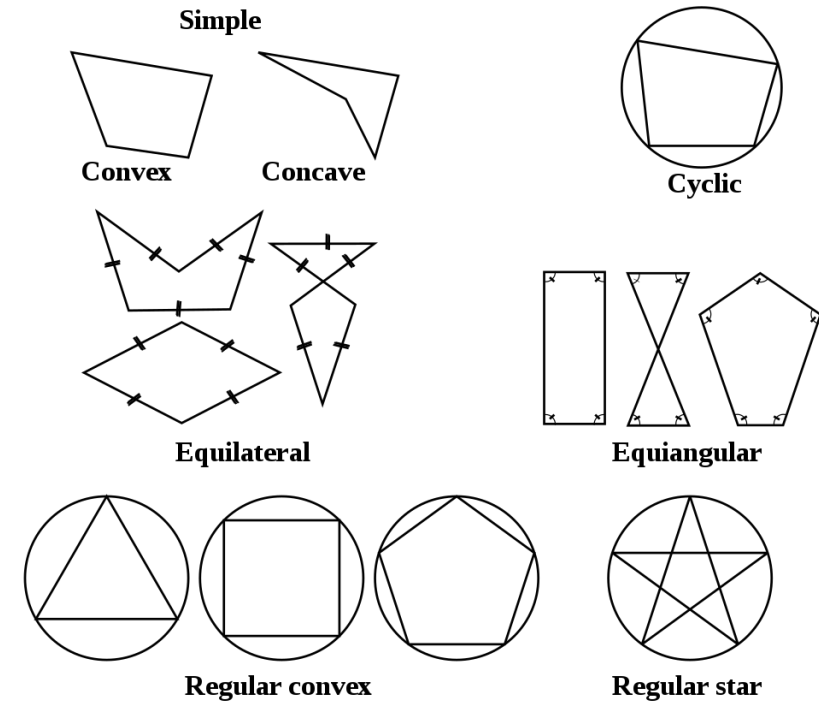
- Given a polyhedron  $P$ , define

$$\rho(P) = \min\{l(F) : F \text{ is a face of } P\}$$

- Theorem (1.37, H) For all polyhedron  $P$ ,  $3 \leq \rho(P) \leq 5$

# Regular polyhedron 正多面体

- A **regular polygon** is one that is equilateral and equiangular  
正多边形(cycle), 等边、等角
- A polyhedron is **regular** if its faces are mutually congruent, regular polygons and if the number of faces meeting at a vertex is the same for every vertex  
正多面体  
面是相互全等的、正多边形、点的度数相等



# Regular polyhedron 正多面体

- Theorem (1.38, H; 6.1.28, W) There are exactly five regular polyhedral
- 正四面体
- 立方体（正六面体）
- 正八面体
- 正十二面体
- 正二十面体

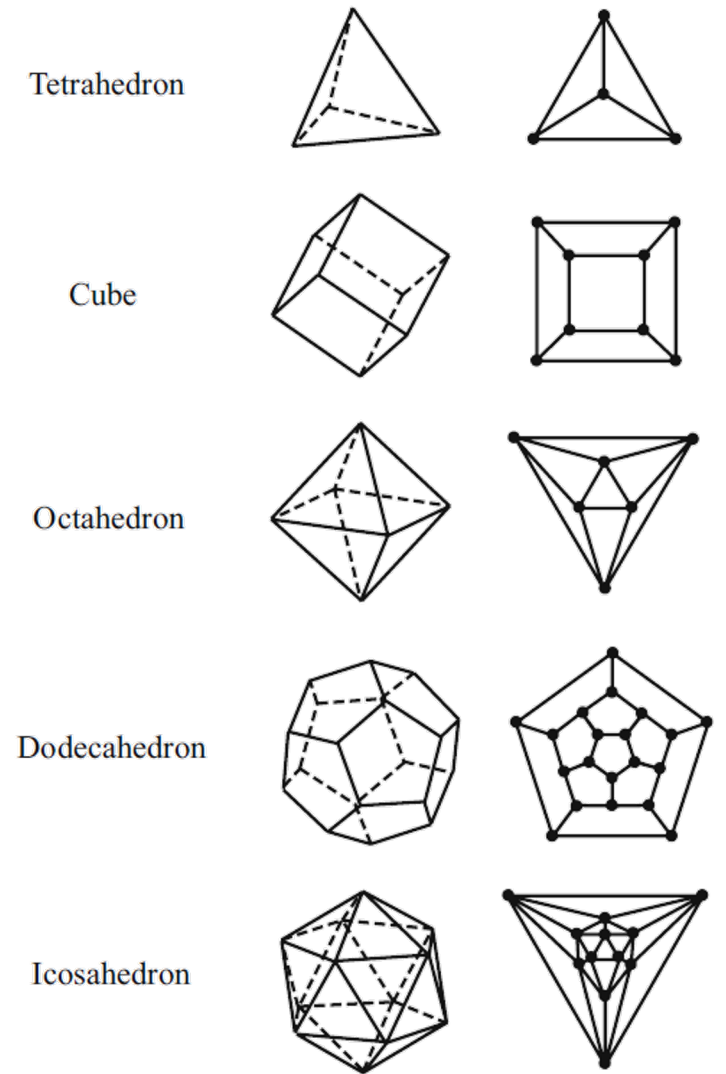


FIGURE 1.82. The five regular polyhedra and their graphical representations.

# Kuratowski's Theorem

# Kuratowski's Theorem

- Theorem (1.39, H; Ex1, S1.5.4, H) A graph  $G$  is planar  $\Leftrightarrow$  every subdivision of  $G$  is planar
- **Theorem** (1.40, H; Kuratowski 1930) A graph is planar  $\Leftrightarrow$  it contains no subdivision of  $K_{3,3}$  or  $K_5$

# The Four Color Problem



# The Four Color Problem

- Q: Is it true that the countries on any given map can be colored with four or fewer colors in such a way that adjacent countries are colored differently?
- **Theorem** (Four Color Theorem) Every planar graph is 4-colorable
- **Theorem** (Five Color Theorem) (1.47, H; 6.3.1, W) Every planar graph is 5-colorable

**Theorem** (1.35, H) If  $G$  is a planar graph, then  $\delta(G) \leq 5$

- **Exercise** (Ex5, S1.6.3, H) Where does the proof go wrong for four colors?

# Lecture 9: Ramsey Theory

Shuai Li

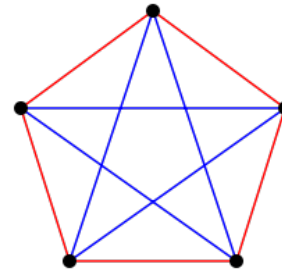
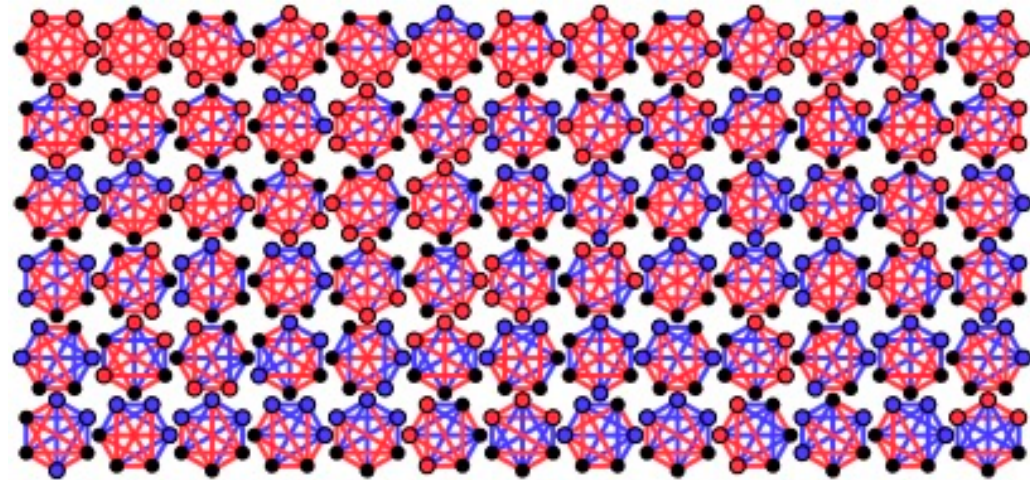
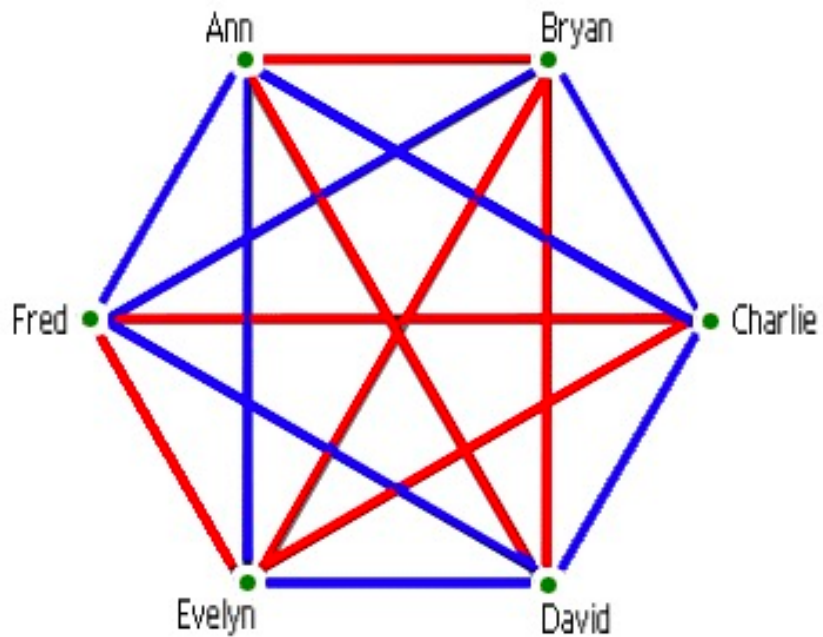
John Hopcroft Center, Shanghai Jiao Tong University

<https://shuaili8.github.io>

<https://shuaili8.github.io/Teaching/CS445/index.html>

# The friendship riddle

- Does every set of six people contain three mutual acquaintances or three mutual strangers?



$$R(3,3)=6$$

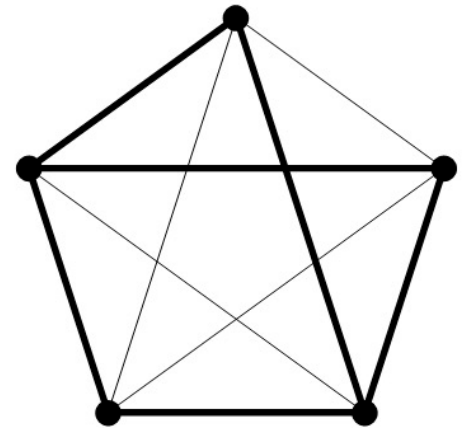
$$R(3,4)=R(4,3)=9$$

$$R(3,5)=R(5,3)=14$$

$$R(3,6)=R(6,3)=18$$

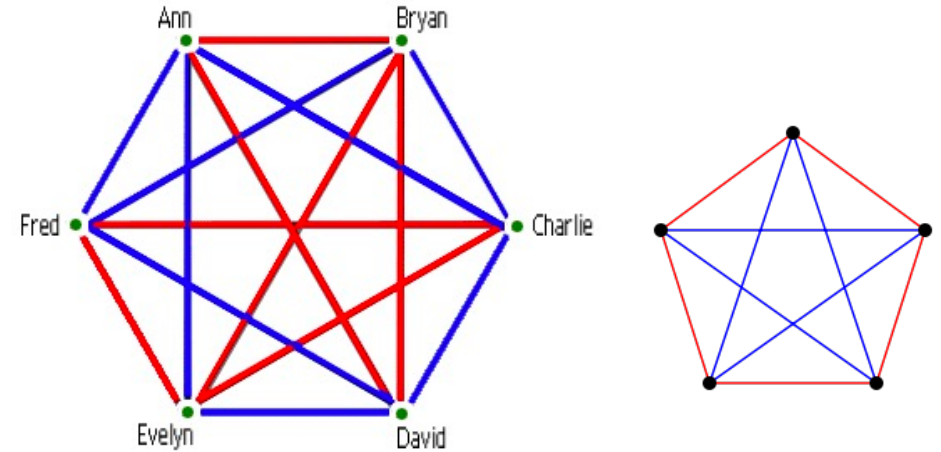
# (classical) Ramsey number

- A **2-coloring of the edges** of a graph  $G$  is any assignment of one of two colors of each of the edges of  $G$
- Let  $p$  and  $q$  be positive integers. The (classical) **Ramsey number** associated with these integers, denoted by  $R(p, q)$ , is defined to be the smallest integer  $n$  such that every 2-coloring of the edges of  $K_n$  either contains a red  $K_p$  or a blue  $K_q$  as a subgraph
- It is a typical problem of extremal graph theory

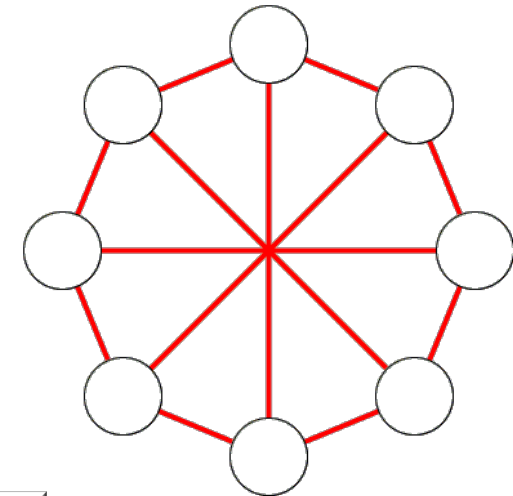
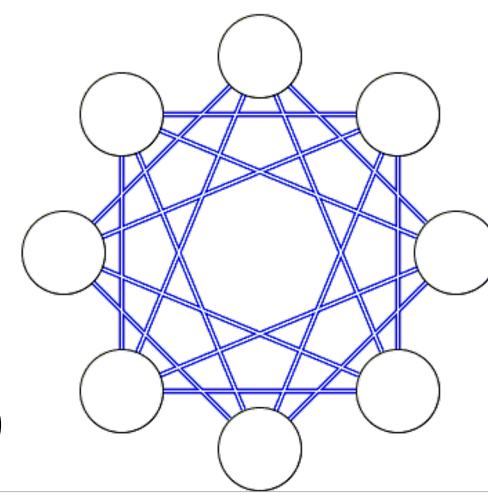


# Examples

- $R(1,3) = 1$
- (Ex2, S1.8.1, H)  $R(1, k) = 1$
- $R(2,4) = 4$
- (Ex3, S1.8.1, H)  $R(2, k) = k$
- Theorem (1.61, H; 8.3.1, 8.3.9, W)  $R(3,3) = 6$



# Examples (cont.)



- Theorem (1.62, H; 8.3.10, W)  $R(3,4) = 9$

**Theorem** A finite graph  $G$  has an even number of vertices with odd degree

- (Ex4, S1.8.1, H)  $R(p, q) = R(q, p)$

Values / known bounding ranges for Ramsey numbers  $R(r, s)$  (sequence [A212954](#) in the [OEIS](#))

$r \backslash s$	1	2	3	4	5	6	7	8	9	10
1	1	1	1	1	1	1	1	1	1	1
2		2	3	4	5	6	7	8	9	10
3			6	9	14	18	23	28	36	40–42
4				18	25 <sup>[10]</sup>	36–41	49–61	59 <sup>[14]</sup> –84	73–115	92–149
5					43–48	58–87	80–143	101–216	133–316	149 <sup>[14]</sup> –442
6						102–165	115 <sup>[14]</sup> –298	134 <sup>[14]</sup> –495	183–780	204–1171
7							205–540	217–1031	252–1713	292–2826
8								282–1870	329–3583	343–6090
9									565–6588	581–12677
10										798–23556

# Bounds on Ramsey numbers

- **Theorem** (1.64, H; 2.28, H; 8.3.11, W) If  $q \geq 2, q \geq 2$ , then
$$R(p, q) \leq R(p - 1, q) + R(p, q - 1)$$

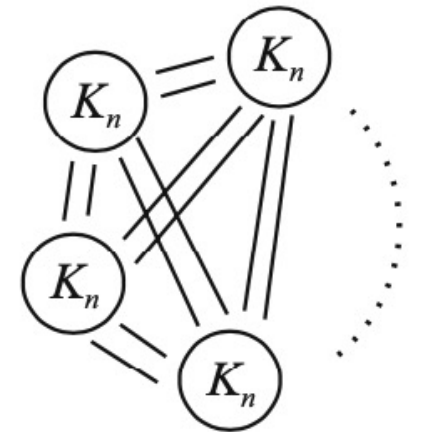
Furthermore, if both terms on the RHS are even, then the inequality is strict

**Theorem** A finite graph  $G$  has an even number of vertices with odd degree

- Theorem (1.63, H; 2.29, H)  $R(p, q) \leq \binom{p + q - 2}{p - 1}$
- Theorem (1.65, H) For integer  $q \geq 3$ ,  $R(3, q) \leq \frac{q^2 + 3}{2}$
- Theorem (1.66, H; 8.3.12, W; Erdős and Szekeres 1935)  
If  $p \geq 3$ ,  $R(p, p) > \lfloor 2^{p/2} \rfloor$

# Graph Ramsey Theory

- Given two graphs  $G$  and  $H$ , define the graph **Ramsey number  $R(G, H)$**  to be the smallest value of  $n$  such that any 2-coloring of the edges of  $K_n$  contains either a red copy of  $G$  or a blue copy of  $H$ 
  - The classical Ramsey number  $R(p, q)$  would in this context be written as  $R(K_p, K_q)$
- Theorem (1.67, H) If  $G$  is a graph of order  $p$  and  $H$  is a graph of order  $q$ , then  $R(G, H) \leq R(p, q)$
- Theorem (1.68, H) Suppose the order of the largest component of  $H$  is denoted as  $C(H)$ . Then  $R(G, H) \geq (\chi(G) - 1)(C(H) - 1) + 1$





# Graph Ramsey Theory (cont.)

- **Theorem** (1.69, H; 8.3.14, W)  $R(T_m, K_n) = (m - 1)(n - 1) + 1$

**Theorem** (1.45, H; Ex6, S1.6.2, H) For any graph  $G$  of order  $n$ ,

$$\frac{n}{\alpha(G)} \leq \chi(G) \leq n + 1 - \alpha(G)$$

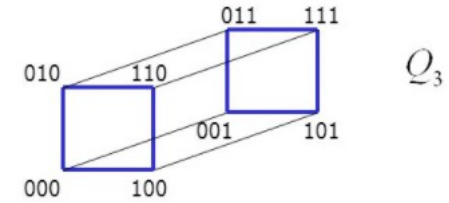
**Proposition** (5.2.13, W) Let  $G$  be a  $k$ -critical graph

(a) For every  $v \in V(G)$ , there is a proper coloring such that  $v$  has a unique color and other  $k - 1$  colors all appear on  $N(v)$

$\Rightarrow \delta(G) \geq k - 1$

**Theorem** (1.16, H) Let  $T$  be a tree of order  $k + 1$  with  $k$  edges. Let  $G$  be a graph with  $\delta(G) \geq k$ . Then  $G$  contains  $T$  as a subgraph

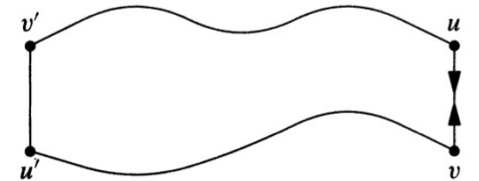
# More on pigeonhole principle



- Proposition (8.3.1, W) Among 6 people, it is possible to find 3 mutual acquaintances or 3 mutual non-acquaintances
  - $\Leftrightarrow$  For every simple graph with 6 vertices, there is a triangle in  $G$  or in  $\bar{G}$
- Theorem (8.3.2, W) If  $T$  is a spanning tree of the  $k$ -dimensional cube  $Q_k$ , then there is an edge of  $Q_k$  outside  $T$  whose addition to  $T$  creates a cycle of length at least  $2k$

$T$  is a tree of order  $n$

$\Leftrightarrow$  Any two vertices of  $T$  are linked by a unique path in  $T$



- $\Rightarrow$  Every spanning tree of  $Q_k$  has diameter at least  $2k - 1$

# More on pigeonhole principle 2

- Theorem (8.3.3, W; Erdős–Szekeres 1935) Every list of  $\geq n^2 + 1$  distinct numbers has a monotone sublist of length  $\geq n + 1$ 
  - Generalization.  $(r - 1)(s - 1) + 1$
- Theorem (8.3.4, W; Graham-Kleitman 1973) In every labeling of  $E(K_n)$  using distinct integers, there is a walk of length at least  $n - 1$  along which the labels strictly increase